

RELEASE IN PART
B6

Hartmann, Lorraine (Lori)

From: Fowler, Valerie C <FowlerVC@state.gov>
Sent: Thursday, July 30, 2015 7:12 PM
To: PA Clearances Mailbox; [REDACTED] (Havana)
Subject: FW: Request for interview permission -- PA Clearances malfunction

B6

[REDACTED]
You are cleared to proceed. Cheers, Val

This email is UNCLASSIFIED.

From: Choi, Grace H
Sent: Thursday, July 30, 2015 5:23 PM
To: Lanier, Madison K; [REDACTED]
Cc: EAP-P-Office-DL; Fowler, Valerie C
Subject: RE: Request for interview permission -- PA Clearances malfunction

EAP Clears!

Best,

Grace

Grace Choi
Office of Public Affairs
Bureau of East Asian and Pacific Affairs
U.S. Department of State
Phone: (202) 647-2538
Email: choigh2@state.gov

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Tuesday, July 28, 2015 4:36 PM
To: Lanier, Madison K
Cc: EAP-P-Office-DL; Fowler, Valerie C; PA Clearances Mailbox
Subject: EAP: Request for interview permission -- PA Clearances malfunction

EAP Colleagues – Information regarding Mr. [] request to interview with Hong Kong magazine Yazhou Zhōukan is B6 also attached. Thank you.

From: PA Clearances Mailbox

Sent: Tuesday, July 28, 2015 4:05 PM

To: Fowler, Valerie C; [] W; Lanier, Madison K; Yoo, Donny H; []

Cc: EAP-P-Office-DL; PA Clearances Mailbox; WHA-Press

Subject: RE: Request for interview permission -- PA Clearances malfunction

Happy to help here!

EAP Colleagues – Mr. [] book about China's global environmental impacts was cleared by the Department on June B6 27, 2012. Since then, Mr. [] have received prior clearances from EAP, L and PA (in 2013 and 2014) to talk with media about the book in his personal capacity, which he wrote prior to joining the Department of State. As a reminder from L, if Mr. [] is to be identified as being affiliated with the USG, he must use a standard disclaimer in his discussions.

We understand that Mr. [] was experiencing some trouble with submitting an interview request through the PA Clearances database. Typically submissions from posts would reach regional bureau press offices first; once they approve, it reaches PA. We have updated Mr. [] account with the Database to reflect his current location within WHA and kindly request that he submit future interview requests through the Database. We are copying WHA-Press here for their awareness; future requests can be submitted either way- through WHA-Press or directly to PA Clearance database. EAP will have input either way before it reaches PA for final clearance.

Please let us know if there are any questions.

Thank you,
PA Clearances

From: Fowler, Valerie C [mailto:FowlerVC@state.gov]

Sent: Tuesday, July 28, 2015 1:39 PM

To: [] Lanier, Madison K; Yoo, Donny H; [] PA Clearances Mailbox

Cc: EAP-P-Office-DL

Subject: RE: Request for interview permission -- PA Clearances malfunction

Adding PA Clearances, as they are tracking all clearance requests.

SBU
This email is UNCLASSIFIED.

From: []

Sent: Tuesday, July 28, 2015 8:38 AM

To: Fowler, Valerie C; Lanier, Madison K; Yoo, Donny H; [] Zia, Shelly R

Cc: EAP-P-Office-DL

Subject: RE: Request for interview permission -- PA Clearances malfunction

Thanks Val and all!

Madison, to answer your questions:

- 1) Yazhouzhoubkan (亚洲周刊) magazine. My understanding is that it is published weekly only in Chinese and that it is distributed in Hong Kong, Taiwan, Singapore and Malaysia.
- 2) New Century Press published the Chinese language edition of my book in April and this interview is related to the publication. They will ask questions about the book and its relevance to Asia. I expect the contents of the interview to be similar to other interviews I have done related to the book. I've done a number of interviews about the book now and will stay within the range of answers I've given in the past. The journalist told me the article will be short, so that's helpful.

Please let me know if you need anything else from me or have questions.

Best regards,

[redacted]

B6

SBU:

This email is UNCLASSIFIED.

From: Fowler, Valerie C

Sent: Monday, July 27, 2015 1:31 PM

To: Lanier, Madison K; Yoo, Donny H; [redacted] Zia, Shelly R; [redacted]

Cc: EAP-P-Office-DL

Subject: RE: Request for interview permission -- PA Clearances malfunction

The attachment was lost when Donny copied EAP Press. I have reattached it here. Also lost was my response in a separate email chain:

[redacted] Lines below barely legible, not redacted.

B6

[redacted] I certainly remember you and your book well, and with high regard. [redacted] clear this media engagement for

PA
T.A.

Cheers, Val

[redacted] you will be happy to know that the updated 3 FAM 4170 (available electronically as of today) contains accelerated B6 timelines for clearances. I note that the interview is arranged for Thursday, and I'm confident that EAP will have a response well before Thursday.

Thanks, Val

Valerie Crites Fowler
Principal Deputy Assistant Secretary
Bureau of Public Affairs
U.S. Department of State
FowlerVC@state.gov
(w) 202 647-7192

SBU

This email is UNCLASSIFIED.

From: Lanier, Madison K

Sent: Monday, July 27, 2015 1:18 PM

To: Yoo, Donny H; [REDACTED]; Zia, Shelly R; Fowler, Valerie C; [REDACTED]

Cc: EAP-P-Office-DL

Subject: RE: Request for interview permission -- PA Clearances malfunction

B6

Hi all -

Can we get more information about this interview? Which Hong Kong magazine is this with? What will be discussed in the interview? We need this information in order to determine how it needs to be cleared.

Thanks,
Madison

Madison K Lanier
Public Affairs Intern
Bureau of East Asian and Pacific Affairs
U.S. Department of State
LanierMK@state.gov

SBU

This email is UNCLASSIFIED.

From: Yoo, Donny H

Sent: Monday, July 27, 2015 12:48 AM

To: [REDACTED]; Zia, Shelly R; Fowler, Valerie C; [REDACTED]

Cc: EAP-P-Office-DL

Subject: RE: Request for interview permission -- PA Clearances malfunction

Hi [REDACTED]

I have no idea who would cover this in EAP-P, so I'm cc'ing the entire distro in hopes that someone can take charge of this clearance from that side. EAP-P colleagues, sorry for the mass email!

Best regards,
donny

SBU

This email is UNCLASSIFIED.

From: [redacted] [mailto:[redacted]]
 Sent: Monday, July 27, 2015 12:14 AM
 To: Yoo, Donny H; Zia, Shelly R; Fowler, Valerie C; [redacted]
 Subject: Request for interview permission -- PA Clearances malfunction

Hi Donny, Shelly, and Valerie:

I'm an FSO based in Havana and was unable to use the PA Clearances system today to send a request to be interviewed about a book I wrote before joining the State Dept.

Donny and Shelly: I was a PolOff in Chengdu from 2012 to 2014 and am now a Consular Officer in Havana. I wrote a book before joining the State Dept and it was published in 2013. A Chinese-language edition was recently published in Hong Kong and my request is to be interviewed for a short article in a Hong Kong magazine. I didn't see any way to determine who of the PAOs in DC covers WHA from my home computer and selected you both because, well, you were last on the list. Please forward this to whomever is appropriate or let me know who I should contact. Valerie and Dana Smith know the back story on my book and clearances. This is my first application for an interview after moving into WHA. (Hi Valerie, sorry to put you on this email, but hoping it might make the process a bit faster; hope you're well!)

The PA Clearances system gave me the message at the bottom here, when I tried to send my request today.

I'm attaching a screen shot of my application. Please let me know if I need to try to resend the request through the system. Perhaps it will work from my office but the error might also be because the bandwidth out of Cuba is very slow. I'm hoping to receive clearance this coming week.

I look forward to hearing from someone.

Thanks, [redacted]

If it's helpful, here's what the Clearance system said when I tried to submit:

Server Error in '/' Application.

The resource cannot be found.

Description: HTTP 404. The resource you are looking for (or one of its dependencies) could have been removed, had its name changed, or is temporarily unavailable. Please review the following URL and make sure that it is spelled correctly.

Requested URL: /clearancemod/CloseIt3.aspx

Version Information: Microsoft .NET Framework Version:4.0.30319; ASP.NET Version:4.0.30319.272

RELEASE IN PART
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Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, March 09, 2015 8:38 AM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Publication Review - U.S. Model BIT Sets the Global Standard for ISDS Transparency

B6

Dear Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

RELEASE IN PART
B6

Hartmann, Lorraine (Lori)

From: [redacted] [redacted]
Sent: Wednesday, March 11, 2015 9:04 AM
To: PA Clearances Mailbox
Subject: Re: Publication Review

B6

Thank you very much. I'm sorry this one was difficult to process. I'll confirm and clarify the detail flagged by SCA before finalizing.

Sent from my iPhone

On Mar 11, 2015, at 8:50 AM, PA Clearances Mailbox <paclearances@state.gov> wrote:

Dear Mr. [redacted]

In addition, we wanted to flag SCA's note below for your consideration. Thank you.

By SCA/Mark Thornburg - He gets the countries/locations of arrests wrong – it was a Kazakh and an Uzbek arrested in NY, and another Uzbek arrested in Florida.

Last week, two U.S.-resident citizens of Uzbekistan were arrested in New York on charges of conspiring to provide material support to a ISIL; a third man, a citizen of Kazakhstan, was arrested in Florida as part of the conspiracy.

From: PA Clearances Mailbox
Sent: Wednesday, March 11, 2015 2:53 AM
To: [redacted]; PA Clearances Mailbox
Subject: Publication Review

Dear Mr. [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

RELEASE IN PART
B5,B6

Hartmann, Lorraine (Lori)

From: [REDACTED] <[REDACTED]>
Sent: Monday, June 29, 2015 4:44 PM
To: PA Clearances Mailbox
Cc: Rosen, Rebecca T
Subject: Re: Publication Review Status - Post-Ramadi Prospects for Iraq's Christians

B6

Thanks. I am working with publication on incorporating suggested edits.

Sent from my iPhone

On Jun 29, 2015, at 3:47 PM, PA Clearances Mailbox <paclearances@state.gov> wrote:

Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), *that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.* Your paper is attached with suggested edits included for your consideration. Please also note that during the course of review it was suggested that the paragraph (on page 2) below be revised or removed.

Thank you,
PA Clearances

[REDACTED]

B5

<[REDACTED] IRF.edits.docx>

B6

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, June 15, 2015 2:55 AM
To: [REDACTED] Schwartz, Larry; NEA-Press-DL
Cc: PA Clearances Mailbox
Subject: Article on Egypt-Israel relations since 2011

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

From: PA Clearances Mailbox
Sent: Thursday, June 11, 2015 3:55 PM
To: Schwartz, Larry; NEA-Press-DL; Hall Godfrey, Jennifer J
Cc: PA Clearances Mailbox
Subject: RE: For clearance: Article on Egypt-Israel relations since 2011

Including Jen here. Thank you.

From: Schwartz, Larry [mailto:SchwartzL@state.gov]
Sent: Thursday, June 11, 2015 3:49 PM
To: PA Clearances Mailbox; NEA-Press-DL
Subject: FW: For clearance: Article on Egypt-Israel relations since 2011

Hi Guys:

Please clear this Article on Egypt-Israel relations by our Diplomat in Residence at the Washington Institute.
Larry

From: [REDACTED] [mailto:[REDACTED]]
Sent: Thursday, June 11, 2015 3:32 PM
To: Schwartz, Larry
Subject: For clearance: Article on Egypt-Israel relations since 2011

Larry, attached is the article I mentioned to you. The Washington Institute intends to publish it as a Policy Note once State has cleared it, as you'll see it's considerably longer (almost 5,000 words) than my previous articles here. I'll be out of town for ten days starting Monday but I'll have access to this e-mail as well as my State e-mail throughout. Thanks.

RELEASE IN PART
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Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, June 19, 2015 2:35 AM
To:
Cc: PA Clearances Mailbox
Subject: Publication Review - Competing for the 21st Century International Economic Policy in a Multipolar World

B6

Dear Mr.

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, July 22, 2015 2:42 AM
To: Jones, Matthew T
Cc: McFarland, Kate L; PA Clearances Mailbox
Subject: RE: Alliance for Peace Article submission

RELEASE IN PART
B6

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that Mr. [redacted] may proceed, provided that he includes the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

B6

Thank you,

PA Clearances

From: Jones, Matthew T [mailto:JonesMT@state.gov]
Sent: Monday, July 20, 2015 6:00 AM
To: PA Clearances Mailbox
Cc: McFarland, Kate L
Subject: FW: Alliance for Peace Article submission

All,

As per the July 16th request to clear an article for publication that I sent last week. The author, Mr. [redacted] works as a third party-contracted American prosecutor at EULEX. His article had to receive clearance from both US Embassy/INL and EULEX. Attached is the latest (final) version with a couple of late minor edits from EULEX. Also, could someone at PA Clearance please acknowledge receipt of this request? Thank you.

Matthew T. Jones
Senior Police Advisor
INL - Kosovo
United States Embassy Pristina
Office: 381-38-5959-3181
Mobile: [redacted]
US VOIP 202-448-3010 x 3181

B6

From: [redacted] [mailto:[redacted]]
Sent: Monday, July 20, 2015 10:38 AM
To: Jones, Matthew T; vsp136 [redacted]; rex.estilov [redacted]; gtrammell [redacted]
breed [redacted]
Subject: FW: Alliance for Peace Article submission

Hi Matt,

Have just now received the EULEX response. The changes are really cosmetic to me; if these are acceptable to

you, may I request your approval of the suggested revisions by EULEX folks? also, may I request that you send the suggestions onwards to the PA Clearances, and whoever else is in the CoC for this to get moved forward?

sorry this has become a bit of a bother, but appreciate whatever support you can offer.

Kind regards,

[redacted]

B6

From: [redacted]
To: [redacted]
Subject: FW: Alliance for Peace Article submission
Date: Mon, 20 Jul 2015 08:34:27 +0000

From: Simone Guerrini
Sent: Monday, 20 July 2015 10:32
To: [redacted]
Subject: RE: Alliance for Peace Article submission

Hi [redacted]

I ran your article with OHOM and we're fine in principle. In line with previous practice, before granting the final approval, we would like to suggest you three minor comments. In particular, we have been struggling really hard to move away from the 'big fish' narrative. We would be grateful if you could consider inserting our suggested changes – if so, let me know asap and we'll proceed formally with the authorization.

Thanks,
Simone

From: [redacted]
Sent: Monday, 20 July 2015 9:48 AM
To: Simone Guerrini
Cc: Claudio Pala; Jonathan Ratel; Jaroslava Novotna; Roland Bless; Dragana Nikolic-Solomon; PPIO Unit
Subject: FW: Alliance for Peace Article submission

Dear Simone,

Good morning, again. Please find attached a copy of the draft article I intend to send to AfP (along with my original request for approval). Appreciate whatever you might have in the way of support, advice, inputs, and approval, of course.

Looking forward to hearing back from you.
Kind regards,

[redacted]

From: [redacted]
Sent: Wednesday, 15 July 2015 14:40
To: [redacted]
Subject: FW: Alliance for Peace Article submission

B6

From: [redacted]
Sent: Tuesday, 14 July 2015 16:54
To: Jonathan Ratel; Jaroslava Novotna; Claudio Pala; PPIO Unit
Subject: Alliance for Peace Article submission

Dear All,

I am interested to submit a short 350 word piece on a small success story here in SPRK; with the approval of those listed on this email, I hope to submit the attached article for publication online with the Alliance for Peace, in the near future. The deadline for submissions is July 24, as the below email suggests.

I would be grateful to everyone for their consideration and approval as soon as possible, if this is feasible.

Kind regards,

[redacted]

Date: Tue, 30 Jun 2015 04:11:08 -0500
From: afp-info [redacted]
To: [redacted]
Subject: Spotlight on AfP Members: Call for Submissions for our next Peacebuilding Post! DEADLINE: Friday, 7/24

Alliance for Peacebuilding logo

Dear [redacted]

We hope you enjoyed reading the last edition of our Peacebuilding Post e-newsletter and learning about the crucial work of AfP's members. The Summer edition is slated for release at the end of July, and we hope you'll take advantage of a great opportunity to highlight your recent work among our 15,000+ network!

To be included in the next edition of the Peacebuilding Post, please submit a short article and a high-resolution photo by Friday, July 24, 2015 to Emily [redacted].

We are eager to collect your: success stories, best practices and lessons learned, case studies, announcements, publications and any other information you would like us to disseminate. Please note that your article should be submitted in Microsoft Word format, no longer than 350 words, and accompanied by a

high-resolution photo. In addition to the e-newsletter, AfP will feature your article on our website, Facebook and Twitter, so any photos and other media are strongly encouraged to boost readership and engagement across our social platforms. For information about other publishing opportunities through AfP that are available to members only, including our online magazine Building Peace, visit our website at: www.allianceforpeacebuilding.org/our-members/member-benefits/.

We look forward to hearing from you!

Cheers,
Emily Mallozzi Emily Mallozzi
Membership & Outreach Manager

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Hartmann, Lorraine (Lori)

From: Jones, Matthew T <JonesMT@state.gov>
Sent: Friday, July 17, 2015 6:02 AM
To: PA Clearances Mailbox
Cc: McFarland, Kate L
Subject: FW: [REDACTED] Article
Attachments: AfPEdited article .doc; Resume May 2015.doc

RELEASE IN PART
B6

B6

Follow Up Flag: Follow up
Flag Status: Flagged

Dear Sir/Madam:

I am forwarding you a request to publish a short article from an INL third party contracted prosecutor to EULEX Mission Kosovo employed by Civilian Police International Inc. Attached is the article and the required background information regarding the request to publish. Please let me know if there is anything else you need regarding this request. Thank you.

Matthew T. Jones
 Senior Police Advisor
 INL - Kosovo
 United States Embassy Pristina
 Office: 381-38-5959-3181
 Mobile: [REDACTED]
 US VOIP 202-448-3010 x 3181

B6

From: [REDACTED] [mailto:[REDACTED]]
Sent: Thursday, July 16, 2015 5:47 PM
To: Jones, Matthew T
Cc: vsp1364@ [REDACTED] gtrammell@ [REDACTED] breed@ [REDACTED] rex.estilow@ [REDACTED]
Subject: RE: [REDACTED] Article

B6

Hi Mathew,

Thanks for the phone conversation of earlier today; my apologies for not being in contact sooner--I missed your call initially, and didn't realize there was an Embassy call until later, and meantime, I got busy in trial, and had a series of urgent filings to tend to. Anyhow, I think I am now clear on what is needed, having read the below, and given our short conversation. Here are my answers to the questions:

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?

name: [REDACTED]

title: EULEX / Special Prosecutor in the Special Prosecutions Office of the Republic of Kosovo (SPRK);

office symbol: this is a national symbol/crest used under Kosovo law; note: I have not identified myself as writing on behalf of any official authority nor used any symbol of any office;

employment arrangement: I am employed directly through contract with Civilian Police International (CPI), in the mentioned position; I am on Secondment through the U.S. Government; and I am placed within the EULEX Rule of Law Mission in the mentioned position in SPRK. I have not mentioned CPI, the U.S. Government, or EULEX in writing this article.

- Is the author writing in his/her private capacity or official capacity?

I am writing in private capacity; however, I have written to Mr. Jones of INL Kosovo, and also to CPI President and representatives, as well as EULEX for their inputs and approval. Having been in international missions for a number of years, I would not want to bring the U.S. Government, EULEX, or CPI into disrepute or cause any awkwardness or breach protocol in any way, shape or form.

- Will the author receive remuneration outside of his/her Department of State salary for the article?

No, there will not be any remuneration (of any kind) outside of my employment salary through CPI. The article is written for the purpose of sharing with fellow practitioners a beginning success story on the RoL development front, and to educate others.

- Does the author intend to use a disclaimer? If so, how will the disclaimer read?

No intention to insert a disclaimer. The article is intended to be sent as written; however, if someone determines that a disclaimer is warranted or appropriate (like, e.g., "The author has written this piece on his own behalf and is not speaking for any other authority or office (or something else))--I'm more than happy to do so.

- Where does the author intend to submit the article/manuscript for publication?

online on the Alliance for Peace website.

- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

The article does somewhat relate to current duties, as a part of those duties include trying to develop capacities of national justice system actors of the Republic of Kosovo. A fuller description of my duties presently

includes, inter alia: investigating and prosecuting cases of corruption, assisting national justice system actors in developing capacities to investigate and prosecute criminal matters, providing substantive and technical advice, trying to develop trust among national actors/institutions, trying to develop court room and legal practice, and more.

Additionally, and with regard to other concerns enumerated below in your email, I have the following answers to share, though I realize others will have to review and/or assess on their own:

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information; There is no classified information contained in the article; matters discussed (e.g., plea bargaining, contents of plea bargains) are publicly known, and have been disclosed in open court on the record;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.); No such information is contained in the article;
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department." I certainly would hope that this article would not result in any high (or low) likelihood of serious (or even slight) adverse consequences to the efficiency (or effectiveness) or Mission of the Department. The article is written to help educate, share experiences of things that can work for developing countries.

Per your instructions, I have included the proposed final draft; also, and for whatever it might be worth, I am attaching my CV, so that everyone can know a bit more about my background.

I greatly appreciate your forwarding the article to PA Clearances, and all other necessary parties; if I can provide further assistance, please do not hesitate to ask.

Thank you for your time and consideration. Wishing you a nice rest of the week and weekend.

Kind regards,

[Redacted]

[Redacted]

SPRK Prosecutor
Special Prosecutions Office of the Republic of Kosovo
Rm 304

B6

PTC Bldg
Fehmi Agani
Pristina, Kosovo 10000
+386 49 78 1784

RELEASE IN PART
B6**Hartmann, Lorraine (Lori)**

From: PA Clearances Mailbox
Sent: Monday, June 15, 2015 10:03 AM
To: EB-A-PD-DL; EAP-P-Office-DL; SCA-Press; EUR-Press; EUR-Press; ENR-PD-Clearances; Kincaid, Trevor [REDACTED] B6
Cc: PA Clearances Mailbox
Subject: Publication Review - Competing for the 21st Century International Economic Policy in a Multipolar World
Attachments: [REDACTED] - Competing for 21st Century ...docx B6
Follow Up Flag: Follow up
Flag Status: Completed

Colleagues:

For your review, attached is a 4-page article entitled "Competing for the 21st Century International Economic Policy in a Multipolar World" written in private capacity by [REDACTED] State Department Visiting Fellow, Center for Strategic and International Studies.

We would appreciate hearing from you by **COB Tuesday, June 16** Please see attached Mr. [REDACTED] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [REDACTED], State Department Visiting Fellow, Center for Strategic and International Studies
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: The views expressed herein are those of the authors and do not necessarily reflect the views of the U.S. Department of State or the U.S. government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No One
- Where does the author intend to submit the article/manuscript for publication?
 - TBD
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;

2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]>
Sent: Thursday, June 04, 2015 2:13 PM
To: PA Clearances Mailbox
Subject: Article for pre-publication clearance

RELEASE IN PART
B6

B6

Dear Sir or Madame:

Attached you will find an article I have penned for ALETEIA.COM, a website that produces news and comment from a Catholic perspective. They have reviewed the article and this is the text agreed with them. I have informed them that it may not be published without clearance from State. I have also told them that if cleared for publication, it must contain the statement that this is a purely personal article (at end of text attached).

The article comments on the prospects for the proximate return of Christians to areas under ISIS control once ISIS is driven out of those areas, and how long that might take.

I am on detail to the Inter-American Defense College, Ft. McNair, D.C. where I teach courses in two subject areas: CIV-Mil relations; Religion-State relations (with an emphasis on Religion-based conflicts' impact on nation state structures).

I ask that you review the article and advise me whether I can permit ALETEIA.COM to publish it as written, with changes, or not at all.

Thank you,

Regards,

[redacted]

[redacted]

U.S. Foreign Service Officer
Faculty – Civ-Mil Relations
Colegio Interamericano de Defensa
Inter-American Defense College
Fort Lesley J. McNair
Washington DC 20319
+1-202-314-2820 (office)
+ [redacted] (cell)

Link to IADC homepage: <http://redirect.state.sbu/?url=http://www.colegio-id.org>

Link to IADC Foundation: <http://redirect.state.sbu/?url=http://www.iadcf.org>

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, February 18, 2015 2:05 AM
To: EUR-Press; SCA-Press; CT_PublicAffairs; AF-Press; NEA-Press; Horne, Emily J
Cc: PA Clearances Mailbox
Subject: Publication Review: Satan's Little Helpers
Attachments: [REDACTED] - Sata's Little Fighters.docx

RELEASE IN PART
B6

B6

Dear Colleagues:

For your review, attached is a 2-page article entitled "Satan's Little Helpers" written in private capacity by [REDACTED] a State Department employee in training at NDU.

We would appreciate hearing from you by **COB Thursday, February 19**. Please see attached Mr. [REDACTED] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [REDACTED] Student at NDU, FSI/NDU/CISA
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: The views expressed in this article are solely those of the author, and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No One
- Where does the author intend to submit the article/manuscript for publication?
 - The Fiscal Times
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No, although I am studying terrorism and international security at NDU.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, March 02, 2015 2:20 AM
To: EB-A-PD-DL; EUR-Press; IO-Press-DL
Cc: PA Clearances Mailbox
Subject: EB, EUR, IO Publication Review: U.S. Model BIT Sets the Global Standard for ISDS Transparency
Attachments: [redacted].docx

RELEASE IN PART B6

B6

Colleagues,

For your review, attached is a 1.5-page article entitled "U.S. Model BIT Sets the Global Standard for ISDS Transparency" written in a private capacity by [redacted] a State Department Visiting Fellow at CSIS.

We would appreciate hearing from you by **OOB Wednesday, March 4**. Please see Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- Author's name, current job title and office symbol: [redacted] State Department Visiting Fellow
- Author's Bureau/Office Symbol: Visiting Fellow CSIS
- Is the author writing in a private or official capacity: Private
- Will the author receive remuneration outside of his/her State Department salary for the article?
No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes. The views of expressed in this commentary are those of the author and do not necessarily reflect the views of the U.S. Department of State or the U.S. government
- Who else has cleared (your supervisor, others within the Department of State)? No one
- Where does the author intend to submit the article/manuscript for publication? CSIS website.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
 PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Monday, August 17, 2015 11:30 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Request for Document Review

RELEASE IN PART
B6

B6

Dear Reviewers,

Thank you very much for your help with this involved clearance process. I am glad to hear that the document that will be provided to Pacific Forum CSIS has been reviewed by the appropriate offices here at the State Department. I will take your recommendation on the author statement, and look forward to using this in the final document.

Best,

[redacted]

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Monday, August 17, 2015 10:13 AM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: RE: Request for Document Review

Ms. [redacted]

We have no objections to the disclaimer that Legal recommended below in highlight.

Thank you,
PA Reviews

From: [redacted] [mailto:[redacted]@state.gov]
Sent: Friday, August 14, 2015 5:55 PM
To: PA Clearances Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Request for Document Review

Dear Reviewers:

Thank you very much for the response on this. I think that the Pacific Forum CSIS will appreciate the chance to include the full set of authors on this document, as they finalize the project.

One last question for you on this. If I am the only author referenced with an affiliation, I wonder if it draws too much attention to the U.S. Department of State (that is, readers might wonder, why is the Department of State the only organization noted in the caveat statement, where do the other authors work, why is this not something that must be noted about the organizations where they are employed; etc.?) Would the following option work well for you, instead?

1. Listing each author by name, with a statement that: The views of the authors are their own, and do not necessarily represent the views of the organizations where they are employed. ← Please note that this is what DOS colleagues in Legal had recommended; I would also prefer this; it is specific, but a bit more low key. The focus remains that this was a group project with Pacific Forum; rather than a particular coordination among the organization where each participant was employed.

I would definitely prefer this option. Would it be acceptable to you?

Your comments are also useful, particularly on USAID's role, which may have been featured more prominently in earlier drafts; I will raise these issues with the organizers and with the co-authors to find out if there is an opportunity to revise the document further and address the points you raise. Comments of the OFDA Philippines regional advisor and East Asia Pacific Team also noted; if any further follow recommendations are forthcoming, please do let me know. I defer to their expertise and do not doubt that this post-conference project—from a set of authors new to this topic—is not at the level of nuanced analysis from well-established experts. Again, if there are any more specific comments for the discussion of Haiyan response, I can see if the project organizers might be interested in extending the project to update this section.

Thank you again for your help on this.

Best regards,

[Redacted]

B6

This email is UNCLASSIFIED.

From: PA Clearances Mailbox (<mailto:paclearances@state.gov>)

Sent: Tuesday, August 11, 2015 11:34 AM

To: [Redacted]

Cc: [Redacted]; PA Reviews Mailbox

Subject: RE: Request for Document Review

Dear Ms. [Redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

We are also sharing the comments below from USAID for your information only:

Our OFDA Philippines regional advisor and East Asia and Pacific Team took a look at the report and had these brief comments;

- *Intent of the document isn't very clear*
- *No glaring omissions but this is a very cursory look at disaster risk mitigation in the Philippines and in their opinion, it doesn't provide an adequate review of the Haiyan response.*

Our thoughts in Washington, the report goes into UN and some NGO disaster response efforts (Japanese "Peace Boat") but doesn't mention USAID's \$90 million dollar response. Perhaps this is intentional? In any case, if researchers want to

know more about our response efforts, info can be found
here: <http://redirect.state.sbu/?url=https://www.usaid.gov/haiyan>

Thank you,

PA Reviews

From: [redacted] ([mailto:[redacted]@state.gov])
Sent: Tuesday, August 04, 2015 4:46 PM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox; [redacted]
Subject: RE: Request for Document Review

B6

PA Clearances,

Thank you very much. I look forward to working with you on this, and appreciate your fast response. Do you think this review will take the full 30 days, or will it be faster? Knowing this would be helpful, as Pacific Forum CSIS would like to finalize the report as soon as possible

Here are my answers to your questions.

- What is the author's first and last name, current job title and office symbol?
 1. [redacted] Program Manager, CT/SPP
- Is the author writing in his/her private capacity or official capacity?
 1. Writing in a private capacity (not in an official capacity)
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 1. No;
 2. FYI only: The Pacific Forum CSIS funded my travel to this event, because I had noted in the application form that I required support to attend the conference. I do not think this amounts to compensation.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 1. I defer to you; please let me know
 2. One idea: "The views expressed by the authors of this paper do not necessarily represent the views of the organizations where they are employed."
 3. Note: Currently, none of the authors list their place of employment; I think this is simplest; let me know if you need a change. Do I need to note that I work for the U.S. Department of State as a Federal Contractor—presumably along with the names of the other authors in the UK, US, and the Philippines?
- Who else has cleared (your supervisor, others within the Department of State)?
 1. No one
 2. Supervisor indicated that he did not need to clear; simply suggested that I run this by L; their office in turn referred this to you.
- Where does the author intend to submit the article/manuscript for publication?
 1. Not applicable: Pacific Forum CSIS does not intend to publish the article, but rather plans to finalize it for its own program records, and distribute it to Deloitte, which may have co-sponsored the conference. I only submitted this in order to be entirely meticulous, for example, in case there is any need to document the fact that it is being shared with Deloitte (but not published) or in case the Pacific Forum chooses to feature the paper on their website in some way in the future (they have expressed no intention of doing this so far).

- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 1. No.
 2. FYI: there could possibly be some relation between (1) CT response, one of the issues I presently work on, and (2) disaster response and recovery in the Philippines, the topic of the paper. I do not think this amounts to considerable overlap. Please see also a more extended discussion of this in my earlier email.

Please do let me know how I should proceed. While I am waiting for the approval, my name will remain off the document. But other things being equal, I would prefer to have my name on the paper, with some kind of disclaimer. Please do let me know if I should be noting that I work for the U.S. Department of State as a Federal Contractor.

Thank you again for your help!

Best regards,

B6

This email is UNCLASSIFIED.

From: PA Clearances Mailbox (<mailto:paclearances@state.gov>)

Sent: Tuesday, August 04, 2015 4:26 PM

To:

Cc: PA Clearances Mailbox

Subject: RE: Request for Document Review

Ms.

Thank you for the clarification and we appreciate receiving your email. The established process calls for up to a 30 day review period for articles written in a private capacity for publication (see section cited below).

FAM 4174.3 Writing on Matters of Official Concern in a Private Capacity (CT: PER-584; 11-03-2005) (Uniform State/USAID) (Applies to all Employees in the United States and Abroad) All books, articles, and other manuscripts or materials on matters of official concern prepared for publication by an employee in a private capacity shall be submitted for review in accordance with procedures in 3 FAM 4172.1. To avoid possible embarrassment, employees should not make commitments to publishers before the thirty-day review period has ended.

We would suggest that your name be removed from the paper until you have received an official approval from the Bureau of Public Affairs. Will the publication refer to your affiliation with the Department? Please provide the answers to the publication questionnaire form below. We will begin the review of your paper, as well as other relevant equities, as soon as this information is received.

Thank you,
PA Clearances

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
- Is the author writing in his/her private capacity or official capacity?
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- Who else has cleared (your supervisor, others within the Department of State)?
- Where does the author intend to submit the article/manuscript for publication?
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

From: [redacted] T (mailto:[redacted])
 Sent: Monday, August 03, 2015 6:28 PM
 To: PA Reviews Mailbox; PA Clearances Mailbox
 Subject: FW: Request for Document Review

B6

Dear Reviewers,

I have a question, and would welcome your response as soon as possible. Essentially, I need to know how I should proceed from here. Per the attached email message, my request for document review was denied. The reason given on the form was that the document "was already submitted for publication."

However, the document was never actually submitted for publication in any way—and let me provide an explanation to show you why this is the case. Rather, the document, which the Pacific Forum never actually expressed an intention to publish—was provided to Pacific Forum in a semi-final format, without my name, on the understanding that they would not be circulating it in any way, or for that matter, publishing it, until your review was completed. It would have been impossible to send the paper to you before this point, because until then Pacific Forum was commenting on successive drafts and might even have had additional comments, until we finally shared with them a 'final' version (attached) which ultimately they settled on and which generated no further comments from their side.

- On the rationale for submitting the paper to you, when there were no formal plans for publication: The idea was to include my name on the paper only after its successful approval by your team—after which point it might never be published in any case. I submitted the paper only to be especially rigorous in checking with you, in the event that the Pacific Forum chose—after your review—to feature the paper on its website; Pacific Forum also had noted that it planned to share the paper with Deloitte, which while not a publication in of itself, nonetheless represented, in my mind, an additional distribution that I ought to check with you about in some way.

Given what has been noted here in clarification, namely that, as above, the document was not submitted for publication in any way, would you be able to approve it? I do think that this fits with your definition, and I would appreciate your help very much.

In addition, could you please provide me with a recommended course of action for next steps? I need to know, should I either (1) indicate to the Pacific Forum that my name must remain off the paper, or (2) add my name to the paper (given your new approval)? I would be fine with either option, with a slight preference for #2—but mainly would like to resolve this as soon as possible so as to avoid inconveniencing anyone.

Thank you very much for your help. I look forward to hearing from you. You can reach me at [redacted] with any questions.

Regards,

[redacted]

This email is UNCLASSIFIED.

From: [REDACTED]
Sent: Tuesday, July 28, 2015 10:25 PM
To: PA Reviews Mailbox
Cc: Pineda, Samuel L; Kalashnikova, Olga
Subject: Request for Document Review

B6

Dear U.S. Department of State Reviewers,

I am presently working in U.S. Department of State's Bureau of Counterterrorism; I am formally employed as a contractor with MSAG.

I write to submit the attached document for review, and would welcome your response as soon as possible, so that the final version may be officially submitted. Let me begin by noting that I do not expect the document to be published. Rather, it will be shared with a group of experts at: (1) Pacific Forum CSIS, and later, (2) Deloitte. It's possible that the Pacific Forum CSIS may choose to feature it on their website at a later date, thereby publishing it—and presumably Deloitte could do the same.

The document is an analysis of disaster response and recovery in the Philippines, which I co-drafted with several international participants, as a deliverable following an international conference in Japan. It is not related to my work at the U.S. Department of State, and the project was begun before I started work here.

As part of the revision process, the attached paper has already been shared by email with the Pacific Forum CSIS project coordinator responsible for comments and revisions—without listing my name—as a result of my participation in the Pacific Forum's (1) Fellowship Program, and (2) Young Leaders Program, designed for students and young professionals to attend conferences, events, and dialogues related to international relations of East Asia.

I would welcome your review of the document as soon as possible. I am eager to include my name on the final deliverable, along with a caveat statement indicating that the views of the four authors do not necessarily represent the views of their organizations (per a recommendation from I). I do not mind leaving my name off the report, but it occurred to me—and to the legal team—that such an omission does not necessarily eliminate all potential controversies. Pacific Forum CSIS may also prefer that it be included, for program records.

Please do let me know if there is any further action I can take to advance this.

One last question where I would welcome your prompt response: Do I need to submit the the paper via the PA Clearances website (<https://pacclearances.state.gov/>)? Or is this unnecessary based on what is noted here? If required, I will create an account and upload it as soon as possible.

Thank you very much for your help. I look forward to working with you on this.

Regards,

[REDACTED]

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Tuesday, January 13, 2015 11:29 AM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox
Subject: RE: Publication Review Status - A Simple Game

B6

RELEASE IN PART
B6

PA Clearances,

Thank you for your message and for providing the clearance. Per your message below, I will include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed are my own and not necessarily those of the Department of State or the U.S. Government.

Thanks again for your assistance and all the best,

[redacted]

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Tuesday, January 13, 2015 11:26 AM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Publication Review Status - A Simple Game

Mr. [redacted]

The Department has completed its review of your book and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed are your own and not necessarily those of the Department of State or the U.S. Government.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, December 23, 2014 5:14 AM
To: PA HQ Management Team
Cc: PA Clearances Mailbox
Subject: PA HQ Publication Review - A Simple Game
Attachments: [redacted] Novel.pdf

RELEASE IN PART
B6

B6

PA HQ Colleagues:

Attached for your review is a 328-page fictional novel entitled "A Simple Game", written in private capacity by [redacted]
[redacted] a State Department employee in R/CSCC.

We have done a very quick review and see no specific policy or State Department issues that would require additional Department clearance aside from PA. If during your review you feel additional clearances are needed, please let us know.

We request your review and comments by COB Tuesday, January 13.

Please let us know who the POC in PA/HO will be for this review.

Thank you,
PA Clearances

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- Are you writing in your private or official capacity? – Private.
- Will you receive remuneration outside of your Department of State salary for the article? Possibly; the author is reaching out to L.
- Do you intend to use a disclaimer? If so, how will the disclaimer read? – Yes. *This is a work of fiction. Names, characters, places, and incidents either are the product of the author's imagination or are used fictitiously, and any resemblance to actual persons, living or dead, business establishments, events or locales is entirely coincidental.*
- What is your current job title and office symbol? [redacted] Foreign Service Officer, R/CSCC
- Who else has cleared (your supervisor, others within the Department of State)? No One
- Where do you intend to submit the article/manuscript for publication? I intend to self publish, through Amazon and possibly other sites
- Does the paper relate in any way to your current duties or duties you had over the last year? No

B6

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, January 14, 2015 2:25 AM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Publication Review - Keep Calm and Carry On
Attachments: [REDACTED] - Keep Calm and Carry On.docx

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]

The Department has completed its review of your request and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed are your own and not necessarily those of the Department of State or the U.S. Government.

PA has also included two suggested tracked edits for your consideration.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, January 12, 2015 2:47 AM
To: EUR-Press; CT_PublicAffairs
Cc: PA Clearances Mailbox
Subject: EUR and CT Publication Review - Keep Calm and Carry On
Attachments: - Keep Calm and Carry On.docx

RELEASE IN PART B6

B6

Dear EUR and CT Colleagues:

For your review, attached is a 2-page article "Keep Calm and Carry On" written in private capacity by a State Department employee in training at NDU.

As the author has a tight deadline, we would appreciate hearing from you by **OOB Tuesday, January 13**. Please see attached Mr. s responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - Student at NDU, FSI/NDU/CISA
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - Yes
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: As outlined n the FAM
- Who else has cleared (your supervisor, others within the Department of State)?
 - No One
- Where does the author intend to submit the article/manuscript for publication?
 - The Fiscal Times
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No, although I am studying terrorism and international security, and this piece is about a terrorist attack.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, January 14, 2015 11:06 AM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: RE: how to get clearance on personal opinion piece for publication?

RELEASE IN PART
B6

B6

[REDACTED]

While the article is essentially on a matter of non-official concern, there are two paragraphs near the end (page 6) that is ISIS-related. The Department completed its review on those paragraphs and determined that you may proceed with publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed are your own and not necessarily those of the Department of State or the U.S. Government.

Please let us know if you have any questions.

Thank you,
PA Clearances

From: [REDACTED] (mailto:[REDACTED]@state.gov)
Sent: Thursday, January 08, 2015 3:15 PM
To: PA Clearances Mailbox
Subject: how to get clearance on personal opinion piece for publication?

I wrote the attached draft on personal time and without compensation.

It is intended as an opinion piece, linking violent behavior to psychological causes. I was thinking of seeing if any journal or website might be interesting in publishing it.

But, first, I want to make sure I don't violate any rules.

I tried to avoid any mention of U.S. policy or anything related to my official duties. But, the attached does broadly touch briefly on topics related to CT.

What's the process for seeking clearance to publish this personal opinion piece?

Thanks and best regards,

[REDACTED]

Foreign Policy Advisor
Air Force Special Operations Command

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, January 20, 2015 11:00 AM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Review Status: Ozone Protection

RELEASE IN PART
B6

B6

Mr. [REDACTED]

The Department has completed its review of your article and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed are your own and not necessarily those of the Department of State or the U.S. Government.

Please let us know if you have any questions.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, January 15, 2015 10:47 AM
To: OES-PA-DG
Cc: PA Clearances Mailbox
Subject: OES Publication Review - Ozone Protection
Attachments: Ozone Protection.docx

RELEASE IN PART
B6

Dear OES Colleagues:

For your review, attached is a 2-page article "Ozone Protection," written in private capacity by an Attorney-Advisor in L/OES.

B6

As the author has a tight deadline, we would appreciate hearing from you by **12:00 pm on Friday, January 16**. Please see attached Mr. 's responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - Attorney-Advisor, L/OES
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: Opinions expressed in this report are those of the authors in their personal capacities and do not necessarily represent the views of their organizations, including the U.S. Department of State or the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - Clifton Johnson, Assistant Legal Adviser L/OES (Supervisor)
- Where does the author intend to submit the article/manuscript for publication?
 - American Bar Association Year in Review
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - Yes

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);

3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, January 21, 2015 10:13 AM
To:
Cc: PA Clearances Mailbox
Subject: Review Status: Foolish Thing in Baltic States
Attachments: Some Damn Foolish Thing in the Baltic States.Approved for Pub.docx

RELEASE IN PART
B6

B6

Mr.

The Department has completed its review of your article and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed are your own and not necessarily those of the Department of State or the U.S. Government.

Your paper is attached. Please let us know if you have any questions.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, December 22, 2014 4:21 PM
To: EUR-Press; INR Special Assistants
Cc: PA Clearances Mailbox
Subject: Article Review for INR Employee: Foolish Thing in Baltic States: Coming to Terms with Hybrid War
Attachments: OT-35694435 (4).docx
Importance: High

RELEASE IN PART B6

Dear EUR and INR Colleagues:

For your review, attached is a 6-page article "Some Damn Foolish Thing in the Baltic States: Coming to Terms with Hybrid War", co-authored by State Department employee [redacted] in his private capacity. B6

We would appreciate hearing from you by **COB Tomorrow, December 23**. Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol? [redacted] Unit Chief. INR/EUR
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes, "[redacted] writes in a personal capacity; the views expressed are those of the author and do not necessarily reflect those at the Department of State or U.S. Government."
- Who else has cleared (your supervisor, others within the Department of State)? Supervisor.
- Where does the author intend to submit the article/manuscript for publication? Center for European Policy Analysis (DC-based think tank)
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? Indirectly. My main specialty is the EU.
- Additional Comments made by author: This is co-authored with [redacted] at CEPA. It is intended to be published in January, but we need clearance by the above suspense to keep to CEPA's publishing schedule.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]>
Sent: Thursday, January 22, 2015 7:21 AM
To: PA Clearances Mailbox
Subject: Re: Publication Review - Critical Questions

RELEASE IN PART
B6

B6

Thank you!

From: PA Clearances Mailbox <paclearances@state.gov>
Sent: Thursday, January 22, 2015 1:49 AM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Publication Review - Critical Questions

Dear Mr. [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, January 16, 2015 12:01 PM
To: EUR-Press; EB-A-PD-DL
Cc: PA Clearances Mailbox
Subject: EUR and EB Publication Review - Critical Questions: the European Commission's Public Consultation on Investment Policy
Attachments: - Critical Questions.docx

RELEASE IN PART B6

B6

Colleagues,

For your review, attached is a 2-page article entitled "Critical Questions: the European Commission's Public Consultation on Investment Policy" written in a private capacity by a State Department Visiting Fellow at CSIS. As the author needs to publish on January 21 (prior to the start of a conference on January 22), we would appreciate hearing from you by COB Tuesday, January 20. Please see Mr. responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- Author's name, current job title and office symbol: State Department Visiting Fellow
- Author's Bureau/Office Symbol: Visiting Fellow CSIS
- Is the author writing in a private or official capacity: Private
- Will the author receive remuneration outside of his/her State Department salary for the article?
No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes. The views in the article are those of the author(s) and do not necessarily reflect the views of the United States government and the Department of State
- Who else has cleared (your supervisor, others within the Department of State)? No one
- Where does the author intend to submit the article/manuscript for publication? CSIS website.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, January 29, 2015 2:47 PM
To: Postell, Sandra R; [REDACTED]
Cc: PA Clearances Mailbox
Subject: RE: Publication Review
Attachments: [REDACTED] Article.docx

RELEASE IN PART
B6

B6

The Bureau of Public Affairs has completed the review of this request pursuant to 3 FAM 4170 and determined that the author may proceed, provided that the standard disclaimer required for personal capacity public communications is included (see 3 FAM 4172.1-4). The paper is attached with recommended edits on pages 2, 17 and 18.

Also, suggest Mr. [REDACTED] edits his intended disclaimer as shown below:

"The author is writing as an individual who happens to be employed at the U.S. Department of State, and not as a spokesperson for the agency; The views in this article are solely those of [REDACTED] the author's own views, and do not necessarily reflect the views those of the U.S. Department of State or the U.S. Government."

Thank you,
PA Clearances

From: Postell, Sandra R [mailto:PostellSR@state.gov]

Sent: Thursday, January 29, 2015 8:07 AM

To: PA Clearances Mailbox; PA Clearances Mailbox; [REDACTED]

Cc: PA Clearances Mailbox

Subject: Re: Publication Review

Will do. Thanks!

From: PA Clearances Mailbox

Sent: Thursday, January 29, 2015 8:04 AM

To: Postell, Sandra R; PA Clearances Mailbox; [REDACTED]

Cc: PA Clearances Mailbox

Subject: RE: Publication Review

Thanks Sandra. If you can update the information online, that would be great. We will proceed with the review. Thank you

From: Postell, Sandra R [mailto:PostellSR@state.gov]

Sent: Thursday, January 29, 2015 7:58 AM

To: PA Clearances Mailbox; [REDACTED]

Cc: PA Clearances Mailbox

Subject: Re: Publication Review

Dear all, that's a mistake on my part; [REDACTED] is not being compensated for the article. Please let me know if I need to submit the request again.

From: PA Clearances Mailbox

Sent: Thursday, January 29, 2015 7:30 AM

To: [REDACTED]
Cc: Postell, Sandra R; PA Clearances Mailbox
Subject: Publication Review

B6

Dear Mr. [REDACTED]

We received your request to review your article for publication. In your request, you noted that you intend to receive remuneration for the publication of the article.

Please note that you will need to contact L/Ethics (Ethics Attorney Mailbox in the GAL) directly for approval to receive remuneration.

Once you obtain L/Ethics clearance, PA will proceed with it's review.

Thank you.

PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, January 29, 2015 3:27 AM
To: PA-SCG-DL
Cc: PA Clearances Mailbox
Subject: PA SCG - Publication Review: The Interagency Challenge of Biosecurity in Dual-Use Research
Attachments: [redacted] Article.docx

RELEASE IN PART
B6

B6

PA-SCG:

For your review, attached is a 21-page article entitled "The Interagency Challenge of Biosecurity in Dual-Use Research," written in private capacity by [redacted] a State Department employee in the ISN Bureau.

Please see attached Mr. [redacted]'s responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol? [redacted] Foreign Affairs Officer, ISN/MBC
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Yes
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes. The author is writing as an individual who happens to be employed at the Department of State and not as a spokesperson for the agency; the article reflects the author's own views and not necessarily those of the U.S Government.
 - Who has cleared the article? ISN/MBC Pam Durham, ISN/BPS Chris Park, ISN/SCO Demitra Pappas, OES/IHB Bruce Ruscio
- Where does the author intend to submit the article/manuscript for publication? Interagency Journal, National Defense University (NDU)
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No

We have also advised that author that since he intends to seek remuneration, he will need to contact L/Ethics directly for clearance.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: Garza, Lauren L <GarzaLL@state.gov>
Sent: Tuesday, February 10, 2015 4:07 PM
To: PA Clearances Mailbox; Rookard, Terri S
Cc: Rookard, Terri S; PA Clearances Mailbox
Subject: RE: 2nd Request for AF/PDPA Clearance

RELEASE IN PART
B6

[redacted] gave an interview and the staff of the alumni magazine wrote the article.

B6

Thanks,
Lauren

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Tuesday, February 10, 2015 1:19 PM
To: Rookard, Terri S
Cc: Rookard, Terri S; Garza, Lauren L; PA Clearances Mailbox
Subject: RE: 2nd Request for AF/PDPA Clearance

Please clarify who wrote this article: a staff of the alumni magazine or [redacted]?

From: Rookard, Terri S [mailto:RookardTS2@state.gov]
Sent: Tuesday, February 10, 2015 9:25 AM
To: PA Clearances Mailbox
Cc: Rookard, Terri S; Garza, Lauren L
Subject: 2nd Request for AF/PDPA Clearance

PA Clearances, please let me know what the status of this request for [redacted] article is today.

Thanks

Terri

This email is UNCLASSIFIED.

From: Rookard, Terri S
Sent: Tuesday, February 10, 2015 8:16 AM
To: Haskell, Todd P; [redacted] Fellows, Naomi C; AF-Press
Cc: Garza, Lauren L
Subject: Re: Request for AF/PDPA Clearance

Good morning, this request is still pending with PA. I checked yesterday to see what the status was and haven't heard back yet. I will check again today.

Terri

Sent from my BlackBerry 10 smartphone.

From: Haskell, Todd P
Sent: Tuesday, February 10, 2015 7:56 AM
To: [REDACTED] Fellows, Naomi C; AF-Press
Cc: Garza, Lauren L
Subject: RE: Request for AF/PDPA Clearance

B6

☐ sorry, I was out of the office. Forwarding this on to AF-Press collective. As I understand the interview has already taken place, but the magazine is asking your permission to print it?

Todd P. Haskell

Director
Office of Public Diplomacy and Public Affairs
Bureau of African Affairs
Department of State
Tel: (202) 663-0501
Fax: (202) 663-0510
E-mail: haskell@state.gov

From: [REDACTED]
Sent: Monday, February 09, 2015 8:38 PM
To: Haskell, Todd P; Fellows, Naomi C
Cc: Garza, Lauren L
Subject: RE: Request for AF/PDPA Clearance

Any word on this? I would like to get back to the magazine. Thanks

This email is UNCLASSIFIED.

From: [REDACTED]
Sent: Tuesday, February 03, 2015 1:18 PM
To: Haskell, Todd P; Fellows, Naomi C; Chery-Medor, Farah N
Subject: Request for AF/PDPA Clearance

Colleagues, please forgive the blast email. I am currently the Diplomat in Residence for the Northwest Region (based at UC Berkeley). My high school (St. Paul's School, Concord, NH) wants to publish this piece in its alumni magazine. They originally called for an off-the-record chat about the Ebola outbreak, and unbeknownst to me turned into this mini-interview. Anyhow, it looks fine to me but I thought since it touches on my tenure as Ambassador in Senegal, and the Ebola crisis, I should get your clearance. Thanks, [REDACTED]

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, February 10, 2015 3:13 PM
To: Rookard, Terri S
Cc: PA Clearances Mailbox
Subject: RE: 2nd Request for AF/PDPA Clearance
Attachments: FACETIME [REDACTED] LL_ED.DOCX

RELEASE IN PART
B6

B6

Correction, this is cleared with edits incorporated in the attached. Thank you.

From: PA Clearances Mailbox
Sent: Tuesday, February 10, 2015 3:11 PM
To: Rookard, Terri S
Cc: PA Clearances Mailbox
Subject: RE: 2nd Request for AF/PDPA Clearance

PA/OPE Abigail Morales clears the article for PA. Thank you.

From: Rookard, Terri S [<mailto:RookardTS2@state.gov>]
Sent: Tuesday, February 10, 2015 1:22 PM
To: PA Clearances Mailbox
Subject: RE: 2nd Request for AF/PDPA Clearance

[REDACTED] wrote the article

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Tuesday, February 10, 2015 1:19 PM
To: Rookard, Terri S
Cc: Rookard, Terri S; Garza, Lauren L; PA Clearances Mailbox
Subject: RE: 2nd Request for AF/PDPA Clearance

Please clarify who wrote this article: a staff of the alumni magazine or [REDACTED]?

From: Rookard, Terri S [<mailto:RookardTS2@state.gov>]
Sent: Tuesday, February 10, 2015 9:25 AM
To: PA Clearances Mailbox
Cc: Rookard, Terri S; Garza, Lauren L
Subject: 2nd Request for AF/PDPA Clearance

PA Clearances, please let me know what the status of this request for [REDACTED] article is today.

Thanks

Terri

This email is UNCLASSIFIED.

From: Rookard, Terri S
Sent: Tuesday, February 10, 2015 8:16 AM
To: Haskell, Todd P; [REDACTED] Fellows, Naomi C; AF-Press
Cc: Garza, Lauren L
Subject: Re: Request for AF/PDPA Clearance

B6

Good morning, this request is still pending with PA. I checked yesterday to see what the status was and haven't heard back yet. I will check again today.

Terri

Sent from my BlackBerry 10 smartphone.

From: Haskell, Todd P
Sent: Tuesday, February 10, 2015 7:56 AM
To: [REDACTED] Fellows, Naomi C; AF-Press
Cc: Garza, Lauren L
Subject: RE: Request for AF/PDPA Clearance

[REDACTED] sorry, I was out of the office. Forwarding this on to AF-Press collective. As I understand the interview has already taken place, but the magazine is asking your permission to print it?

Todd P. Haskell

Director
Office of Public Diplomacy and Public Affairs
Bureau of African Affairs
Department of State
Tel: (202) 663-0501
Fax: (202) 663-0510
E-mail: haskellu@state.gov

From: [REDACTED]
Sent: Monday, February 09, 2015 8:38 PM
To: Haskell, Todd P; Fellows, Naomi C
Cc: Garza, Lauren L
Subject: RE: Request for AF/PDPA Clearance

Any word on this? I would like to get back to the magazine. Thanks

This email is UNCLASSIFIED.

From: [REDACTED]
Sent: Tuesday, February 03, 2015 1:18 PM
To: Haskell, Todd P; Fellows, Naomi C; Chery-Medor, Farah N
Subject: Request for AF/PDPA Clearance

Colleagues, please forgive the blast email. I am currently the Diplomat in Residence for the Northwest Region (based at UC Berkeley). My high school (St. Paul's School, Concord, NH) wants to publish this piece in its alumni magazine. They originally called for an off-the-record chat about the Ebola outbreak, and unbeknownst to me turned into this mini-interview. Anyhow, it looks fine to me but I thought since it touches on my tenure as Ambassador in Senegal, and the Ebola crisis, I should get your clearance. Thanks, ☐

B6

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, February 11, 2015 1:26 PM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: RE: Approval of Article on Rusk Fellow - Georgetown University

RELEASE IN PART
B6

B6

Ms. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

From: [REDACTED] (mailto:[REDACTED])
Sent: Thursday, February 05, 2015 11:32 AM
To: PA Clearances Mailbox
Subject: Re: Approval of Article on Rusk Fellow - Georgetown University

Dear Colleagues,

The deadline for the article has passed and I'd like to be able to get back to the drafter. The article does not have any sensitive information that would make release difficult. It essentially discusses the State Department's Rusk Fellowship and my time here at Georgetown.

Best,

[REDACTED]

On Tue, Feb 3, 2015 at 1:57 AM, PA Clearances Mailbox <paclearances@state.gov> wrote:

Dear Ms. [REDACTED]

This request is still under review. We will flag again for the clearing officials first thing this morning.

Thank you.

From: [redacted] [mailto:[redacted]]
Sent: Monday, February 02, 2015 8:54 PM
To: PA Clearances Mailbox
Subject: Re: Approval of Article on Rusk Fellow - Georgetown University

B6

Dear Colleagues,

I am writing again to check on the status of this clearance request. Do you have a sense of how much longer it may take to finalize the process? Thank you.

[redacted]

On Thu, Jan 29, 2015 at 4:03 PM, PA Clearances Mailbox <paclearances@state.gov> wrote:

Ms. [redacted]

Thank you for your email. Your publication request is still under review. We will keep you posted of any developments.

Thank you,

PA Clearances

From: [redacted] [mailto:[redacted]]
Sent: Thursday, January 29, 2015 2:36 PM
To: PA Clearances Mailbox
Subject: Re: Approval of Article on Rusk Fellow - Georgetown University

Dear Colleague,

I am writing to follow up on our email communication and to see whether I have received clearance on the Georgetown article. Many thanks.

[REDACTED]

On Tue, Jan 27, 2015 at 9:48 AM, PA Clearances Mailbox <paclearances@state.gov> wrote:

[REDACTED]

The PA Clearances Database is a web-based system, so you can access via a personal computer, laptop or tablet. The address is [http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=https://paclearances.state.gov](http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=https://paclearances.state.gov). You will need to create a user account, which we will approve quickly.

On your Georgetown article, we will submit the article for clearance today and keep you posted.

In the future, you will need to submit the request for clearance before the interview takes place.

Sincerely,

PA Clearances

From: [REDACTED] [mailto:[REDACTED]]
Sent: Tuesday, January 27, 2015 8:17 AM
To: PA Clearances Mailbox
Subject: Re: Approval of Article on Rusk Fellow - Georgetown University

Dear Colleagues,

As I am currently on detail outside the Department of State, it is not as easy for me to submit this clearance request via the PA Clearance Database on the Department's intranet site. Is it possible to receive clearance from this email address?

Thank you in advance.

Regards,

[Redacted]

B6

On Mon, Jan 26, 2015 at 9:09 PM [Redacted] <[Redacted]> wrote:

Dear Colleagues,

I am a Foreign Service Officer currently on detail to Georgetown University as a U.S. Department of State Rusk Fellow. An undergraduate student has written an article about my role at Georgetown, to be published on the Global Georgetown website (global.georgetown.edu). I have attached the draft article, which includes a few of my own tracked edits.

Please let me know if PA clears on this article or whether a PA clearance is not needed. The student has asked that I respond with my approval by Wednesday, if possible.

Thank you very much in advance.

Sincerely,

[Redacted]

[Redacted]

Rusk Fellow & Associate

Institute for the Study of Diplomacy

Georgetown University

3619 O Street, N.W.

Washington, D.C. 20007

[REDACTED]

B6

[REDACTED]

Rusk Fellow & Associate

Institute for the Study of Diplomacy

Georgetown University

3619 O Street, N.W.

Washington, D.C. 20007

[REDACTED]

[REDACTED]

Rusk Fellow & Associate

Institute for the Study of Diplomacy

Georgetown University

3619 O Street, N.W.

Washington, D.C. 20007

[REDACTED]

B6

[REDACTED]
Rusk Fellow & Associate

Institute for the Study of Diplomacy

Georgetown University

3619 O Street, N.W.

Washington, D.C. 20007

[REDACTED]
Rusk Fellow & Associate
Institute for the Study of Diplomacy
Georgetown University
3619 O Street, N.W.
Washington, D.C. 20007

RELEASE IN PART B5,B6

Hartmann, Lorraine (Lori)

From: Casper, Anne S <CasperAS@state.gov>
Sent: Wednesday, February 18, 2015 5:15 PM
To: PA Clearances Mailbox
Cc: Fowler, Valerie C
Subject: RE: Anne: Publication Review: Prominent Saudi Columnist Notes Arab Support for Israeli Strike on Hezbollah

Clear for PA.

From: PA Clearances Mailbox
Sent: Tuesday, February 17, 2015 1:40 AM
To: Casper, Anne S; PA Clearances Mailbox
Cc: PA Clearances Mailbox; Fowler, Valerie C
Subject: RE: Anne: Publication Review: Prominent Saudi Columnist Notes Arab Support for Israeli Strike on Hezbollah

Anne:

Per your request, this article has been cleared by NEA DAS Larry Schwartz and Julia Jacoby on P staff without objections.

The article is attached and pasted below for your review. Thank you.

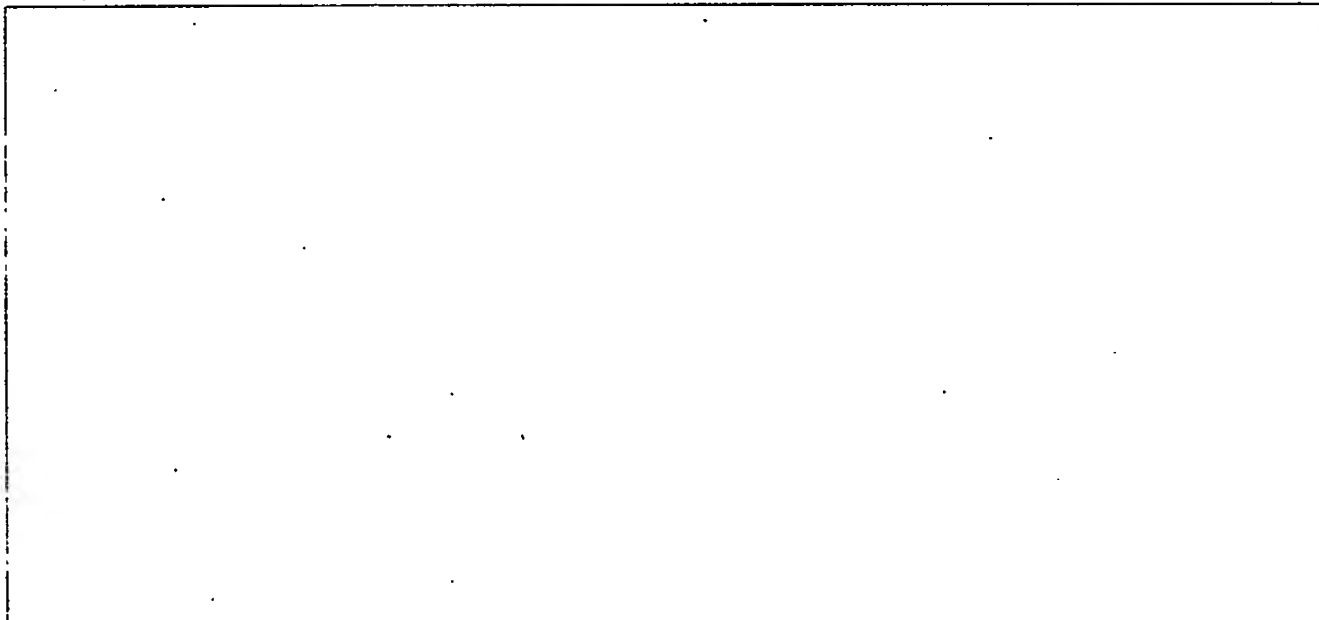
Prominent Saudi Columnist Notes Arab Support for Israeli Strike on Hezbollah

By

B6

B5

B5



[redacted] (with the usual disclaimer about not representing USG views - [redacted] is the Diplomat-in-Residence at the Washington Institute and former U.S. deputy chief of mission and charge d'affaires in Cairo. The views in this article are his own and do not necessarily reflect the views of the U.S. Department of State or the U.S. government.)

B6

From: Casper, Anne S [<mailto:CasperAS@state.gov>]

Sent: Thursday, January 29, 2015 3:59 PM

To: PA Clearances Mailbox

Cc: PA Clearances Mailbox; Fowler, Valerie C

Subject: RE: Anne: Publication Review: Prominent Saudi Columnist Notes Arab Support for Israeli Strike on Hezbollah

Very interesting article in a very prominent publication. Given his job as DCM/Charge in Egypt, [redacted] could easily be considered a subject matter expert so that would make this in his official capacity (not private).

This should be cleared by PD DAS Larry Schwartz in the NEA/FO and also by P, before PA clearance.

Thanks.

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]

Sent: Thursday, January 29, 2015 2:55 AM

To: Casper, Anne S

Cc: PA Clearances Mailbox

Subject: FW: Anne: Publication Review: Prominent Saudi Columnist Notes Arab Support for Israeli Strike on Hezbollah

Good Morning Anne:

NEA contacted us again about the clearance of this article. It is pasted into this e-mail for easier review. Thank you.

From: PA Clearances Mailbox

Sent: Wednesday, January 28, 2015 7:54 AM

To: Casper, Anne S

Cc: PA Clearances Mailbox

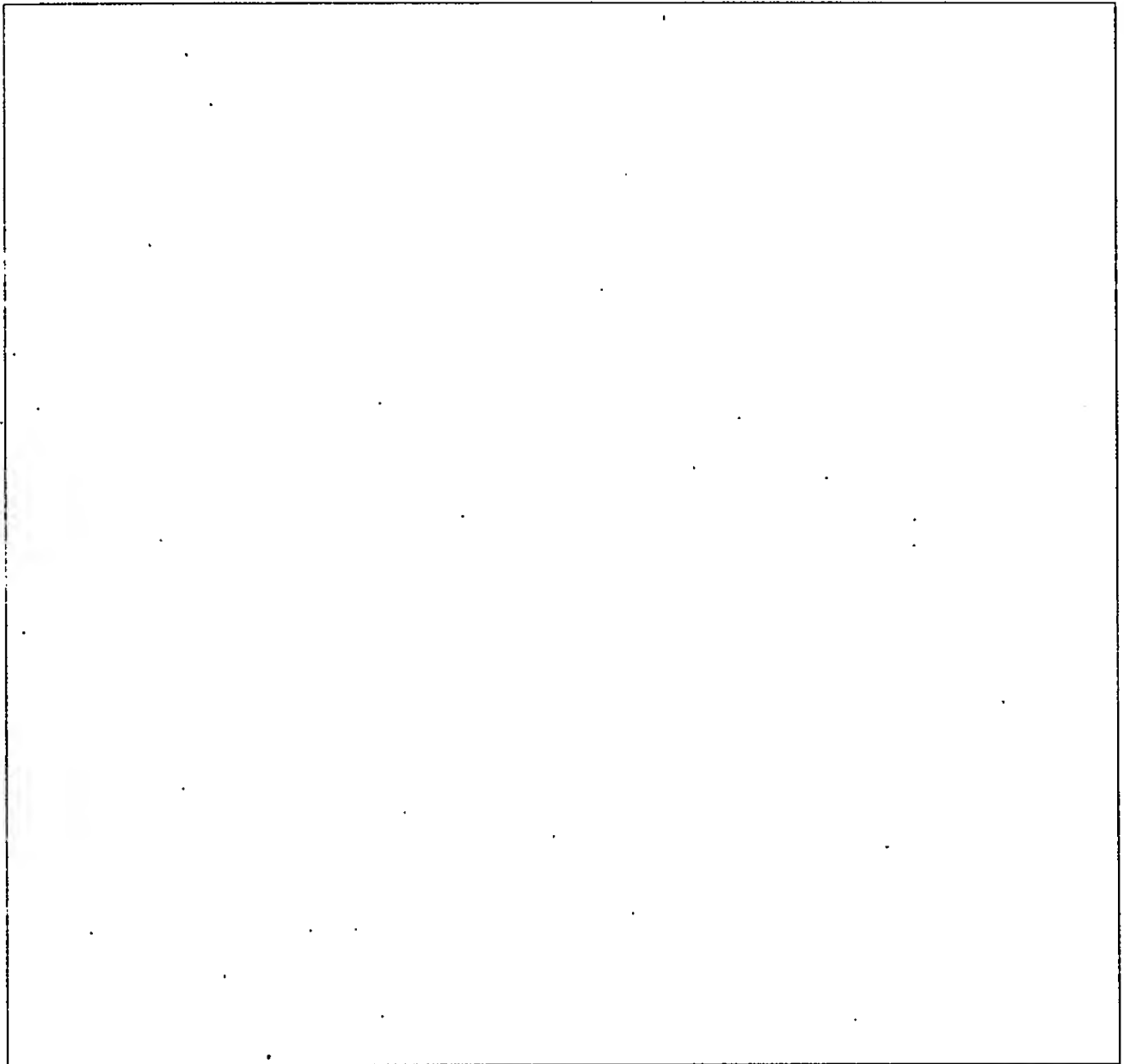
Subject: FW: Anne: Publication Review: Prominent Saudi Columnist Notes Arab Support for Israeli Strike on Hezbollah

Prominent Saudi Columnist Notes Arab Support for Israeli Strike on Hezbollah

By

B6

B5



B5

[redacted] (with the usual disclaimer about not representing USG views)

B6

From: PA Clearances Mailbox

Sent: Monday, January 26, 2015 2:09 AM

To: Casper, Anne S

Cc: PA Clearances Mailbox

Subject: Anne: Publication Review: Prominent Saudi Columnist Notes Arab Support for Israeli Strike on Hezbollah

Good Morning Anne:

For your review, attached is a 2-page article "Prominent Saudi Columnist Notes Arab Support for Israeli Strike on Hezbollah," written in private capacity by [redacted] a State Department employee who is a Diplomat-in-Residence at the Washington Institute. Please see [redacted] answers to the standard questions for private capacity publication:

QUESTIONS TO FOR AUTHORS FOR PRIVATE CAPACITY PUBLICATIONS

- What is the author's first and last name, current job title and office symbol?
 - [redacted] Diplomat-in-Residence, The Washington Institute
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: [redacted] is the Diplomat-in-Residence at the Washington Institute and former U.S. deputy chief of mission and charge d'affaires in Cairo. The views in this article are his own and do not necessarily reflect the views of the U.S. Department of State or the U.S. government..
- Who else has cleared (your supervisor, others within the Department of State)?
 - NEA/PPD - Jennifer Larson; NEA/IR - Sam Werberg
- Where does the author intend to submit the article/manuscript for publication?
 - The Washington Institute for Near East Policy, will publish and circulate it on its website and e-mail circulation.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]>
Sent: Thursday, February 19, 2015 3:54 PM
To: PA Clearances Mailbox
Cc: Gill, Ruchi Gugliani
Subject: Re: Afghanistan paper cleared

RELEASE IN PART
B6

B6

Thanks much, these edits are fine. I'm working with our editorial board now to move this forward.

I also want to flag that I put another paper into PA clearances on February 5, about Afghanistan's economic future. We asked for it to be cleared next week for publication. Please let me know that you are tracking and moving this as well.

Thanks,

[redacted]

On Thu, Feb 19, 2015 at 3:51 PM, PA Clearances Mailbox <paclearances@state.gov> wrote:

Hi [redacted]

The Department of State has completed its review and received the input of DOD. DOD request the attached edits on pages 2 and 5 because DOD does not believe the White House has disclosed those numbers to the public. Please let us know how you plan to proceed.

Thank you,

PA Clearances

From: [redacted] [mailto:[redacted]]
Sent: Thursday, February 19, 2015 2:05 PM

To: PA Clearances Mailbox
Cc: Gill, Ruchi Gugliani
Subject: Re: Afghanistan paper cleared

PA team - could we have a final response tonight? we'd like to publish tomorrow.

On Wed, Feb 18, 2015 at 12:25 PM, [redacted] <[redacted]> wrote:

B6

PA team,

Checking in that this Afghanistan security paper is now fully cleared. Please confirm as we'd like to publish this week.

Thanks,

[redacted]

On Fri, Feb 13, 2015 at 6:25 PM, [redacted] <[redacted]> wrote:

PA,

This is fine - I take it that PA wants to specify that this disclaimer only applies to me. I am a civil servant and since the previous tag indicates my affiliation as a CFR fellow at CAP, can we just leave the disclaimer as the below?

> "The views expressed in this article by [redacted] are her own and do not necessarily represent the views of the U.S. Department of State or the U.S. Government."

>

On Saturday, February 14, 2015, PA Clearances Mailbox <paclearances@state.gov> wrote:

> [redacted]

>

>

>

> Would you agree to use the propose disclaimer below?

>

>

>

> "The views expressed in this article by [redacted] a Foreign Service Officer (if she is an FSO) currently a CFR Fellow at the Center for American Progress, are her own and do not necessarily represent the views of the U.S. Department of State or the U.S. Government."

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>

> Thank you,

>
> PA Clearances

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>
> From: [redacted] [mailto:[redacted]]
> Sent: Thursday, February 12, 2015 2:43 AM
> To: PA Clearances Mailbox
> Cc: Gill, Ruchi Gugliani
> Subject: Re: Afghanistan paper cleared

B6

>
>
>
> Thank you for the reply. Please see below:

>
> 1) I plan to use the standard disclaimer exactly as written: "the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government."

>
>
>
> 2) I only listed myself as the author since the other two are not USG employees. If necessary, I'm happy to revise my submission to include three authors, but since they are not USG and never have been, we are not seeking review for them.

>
>
>
> 3) I'm happy to add in both State and CFR in my tag line.

>
>
>
> On Thu, Feb 12, 2015 at 5:00 AM, PA Clearances Mailbox <paclearances@state.gov> wrote:

> [redacted]
>
>
>
> Can you confirm the disclaimer in which you intend to use for this document? The standard disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4) states, "the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government." Please also explain why "author" is only listed here when there are three authors.

>
>
>
> Also, please review the tag at the very end, your affiliation with both State and as a CFR fellow should be listed. – also, she says the views of the "author" when there are three authors.")

>
>
>
> We need clarity on this and will update our Front Office with your response.

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>
>
> Thank you,

> PA Clearances
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>

> From: [redacted] [mailto:[redacted]]
> Sent: Wednesday, February 11, 2015 8:06 AM
> To: PA Clearances Mailbox; Gill, Ruchi Gugliani
> Subject: Re: Afghanistan paper cleared
>
>
>

B6

> PA team,
>
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>

> Could you please provide an update on the Afghanistan ANSF paper that was with DoD? I understand it was returned a few weeks ago. Is there another process that the paper must go through now that DoD has returned the paper?
>
>
>

> Please advise,
>
> [redacted]
>
>
>

> On Tue, Feb 3, 2015 at 3:56 AM, [redacted] <[redacted]> wrote:
>
>

> PA team,
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>

> I understand that my paper has been sent back to State PA by DoD with minor edits. Is it possible to provide final clearance in the next day so that I can have the paper in the publication queue for this week? Tuesday is my deadline for publishing.
>
>
>

> Thank you,
>
> [redacted]
>
>
>

>

>

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, December 05, 2014 2:21 AM
To: SCA-Press; NEA-Press; EUR-Press
Cc: PA Clearances Mailbox
Subject: SCA, NEA, EUR Publication Review - Preparing for post-2014: How to use the drawdown to succeed in Afghanistan
Attachments: - Afghanistan Article.docx

RELEASE IN PART B6

B6

Dear SCA, NEA and EUR Colleagues:

For your review, attached is an 8-page article "Preparing for post-2014: How to use the drawdown to succeed in Afghanistan," written/co-authored in private capacity by a State Department employee who serves as a CFR Fellow at the Center for American progress.

As the author has a tight deadline, we would appreciate hearing from you by **COB Monday, December 8**. Please see attached Ms. s responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - CFR Fellow at the Center for American Progress, NEA/AC
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: The views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No One
- Where does the author intend to submit the article/manuscript for publication?
 - Center for American Progress
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, February 19, 2015 4:00 PM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Review Status: European Energy Union
Attachments: [REDACTED] - European Energy - #2.docx

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, February 04, 2015 4:03 PM
To: ENR-PD-Clearances; EUR-Press
Cc: PA Clearances Mailbox
Subject: Publication Review: Europe's Energy Union
Attachments: OT-35144028 (2).docx

RELEASE IN PART B6

EUR and ENR Colleagues:

For your review, attached is a 3-page article entitled "Europe's Energy Union: A United States Perspective," written in private capacity by [redacted] a State Department employee who is on detail as a Senior Fellow at the German Marshall Fund of the United States.

B6

We would appreciate hearing from you by **COB Friday, February 6** if at all possible. Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol? [redacted] Senior Fellow, German Marshall Fund of the United States
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? The views expressed here are those of the author and do not reflect the views of the German Marshall Fund or of the U.S. Department of State
- Where does the author intend to submit the article/manuscript for publication? German Marshall Fund website
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
 PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, February 20, 2015 9:23 AM
To: [REDACTED] ([REDACTED])
Cc: PA Clearances Mailbox
Subject: Review Status: Envisioning Ukraine's Success: Changing the Corruption Equation
Attachments: OT-2211278 (1).docx

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, January 22, 2015 1:57 PM
To: EUR-Press
Cc: PA Clearances Mailbox
Subject: Publication Review: Envisioning Ukraine's Success: Changing the Corruption Equation
Attachments: OT-2211278 (1).docx

RELEASE IN PART
B6

EUR Colleagues,

For your review, attached is a 4-p article "Envisioning Ukraine's Success: Changing the Corruption Equation", written in private capacity by State Department employee [redacted] currently on detail at US Institute of Peace. We would appreciate hearing from you by COB Tomorrow, January 23. Please see below Mr. [redacted] responses to the standard questions for additional details below.

B6

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

PUBLICATION REQUEST FORM

Participant's name, title and office symbol: [redacted] No office at present except HR/CDA/SL.

Is the author writing in a private or official capacity? Private.

Will the author receive remuneration outside of his/her Department of State salary for the article? No remuneration.

Does the author intend to use a disclaimer? Yes. Standard disclaimer.

Where does the author intend to submit the article/manuscript for publication? Peace Channel an online portal at Foreign Policy.

Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No.

Hartmann, Lorraine (Lori)

From: Fox, Steven R <FoxSR2@state.gov>
Sent: Friday, February 20, 2015 3:05 PM
To: PA Clearances Mailbox
Subject: RE: [REDACTED] Article

RELEASE IN PART
B6

B6

Thank you.

SBU

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Friday, February 20, 2015 3:04 PM
To: Fox, Steven R
Cc: Rich, Christopher E; Robertson, Erin S; PA Clearances Mailbox
Subject: RE: [REDACTED] Article

PA/OPE Abigail Morales clears with an edit on page 1 (see attachment), and suggest that OES shares a copy with R and S staff.

Thank you,
PA Clearances

From: Fox, Steven R [<mailto:FoxSR2@state.gov>]
Sent: Friday, February 20, 2015 2:14 PM
To: PA Clearances Mailbox
Cc: Rich, Christopher E; Robertson, Erin S; PA Clearances Mailbox
Subject: RE: [REDACTED] Article

Super. Thanks,

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Friday, February 20, 2015 2:13 PM
To: Fox, Steven R
Cc: Rich, Christopher E; Robertson, Erin S; PA Clearances Mailbox
Subject: RE: [REDACTED] Article

No worries! All is well and will have a response for you before 4pm today. Thank you:

From: Fox, Steven R [<mailto:FoxSR2@state.gov>]
Sent: Friday, February 20, 2015 1:53 PM
To: Posey, Tameka S; PA Clearances Mailbox

Cc: Rich, Christopher E; Robertson, Erin S
Subject: FW: [] Article

B6

Good afternoon,

I'm hoping this time to get clearance on this article by [] written in his official capacity, for a publication of the WWF. Please get back to me by 4 pm today, as we are at the deadline, and this pushes the official US position.

I hope all is good now. Sorry about any confusion in my earlier submission.

Keep warm,
Steven

This email is UNCLASSIFIED.

From: Posey, Tameka S
Sent: Friday, February 20, 2015 1:01 PM
To: Rich, Christopher E
Cc: PA Clearances Mailbox
Subject: RE: [] Article

Hi Chris,

No problem, happy to help! Questions are below. Thanks.

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES PUBLISHED

- What is the author's first and last name, current job title and office symbol? [] Special Envoy for the Arctic. S/OPA
- Is the author writing in his/her private capacity or official capacity? Official Capacity
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration. NO remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? NO disclaimer, as this is written in his official role.
- Who else has cleared (your supervisor, others within the Department of State)? OES/ DAS David Bolton
- Where does the author intend to submit the article/manuscript for publication? "The Circle" a publication of the WWF
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? YES

From: Rich, Christopher E
Sent: Friday, February 20, 2015 12:55 PM
To: Posey, Tameka S
Subject: [] Article

Tameka,

Thanks for taking my call just now. We will resubmit to PA Clearance mailbox. Can you please send me the questionnaire?

Thanks!

Chris.

*Christophèr E. Rich
Public Affairs Officer
Bureau of Oceans and International
Environmental and Scientific Affairs
U.S. Department of State
(202) 647-2061*



Hartmann, Lorraine (Lori)

From: Gill, Ruchi Gugliani <Gillrg@state.gov>
Sent: Friday, February 27, 2015 1:52 PM
To: PA Clearances Mailbox
Subject: RE: Review Reminder Afghanistan economy paper

RELEASE IN PART
B6

Thanks.

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Friday, February 27, 2015 1:37 PM
To: Gill, Ruchi Gugliani
Cc: PA Clearances Mailbox
Subject: RE: Review Reminder Afghanistan economy paper

Anne cleared last night and [] was notified this morning.

B6

From: Gill, Ruchi Gugliani [mailto:Gillrg@state.gov]
Sent: Friday, February 27, 2015 9:13 AM
To: PA Clearances Mailbox
Subject: FW: Review Reminder Afghanistan economy paper

If you already responded to [] could you please let me know the status so that I can be aware in case she calls me (which she is apt to do)?

Thanks,
Ruchi

Ruchi Gugliani Gill
Attorney-Adviser
Office of the Legal Adviser (L/PD)
U.S. Department of State
(202) 632-6473

-----Original Message-----

From: [] [mailto:]
Sent: Thursday, February 26, 2015 1:50 PM
To: PA Clearances Mailbox
Cc: Gill, Ruchi Gugliani
Subject: Review Reminder Afghanistan economy paper

PA team,

Could you provide an update on the Afghanistan economy paper that I submitted on February 5th? We had wanted to publish this week per the request. The new deadline is next Thursday, March 5 - can State please complete review by COB March 4?

Thank you,

[Redacted]

B6

SBU

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, February 09, 2015 4:23 AM
To: SCA-Press; EB-A-PD-DL
Cc: PA Clearances Mailbox
Subject: SCA, SRAP, EB Publication Review: Turning the Tide on Afghanistan: Recommendations for the visit of Ashraf Ghani and Abdullah Abdullah to the US
Attachments: - Afghan Economy.docx

RELEASE IN PART B6

B6

Dear SCA, SRAP and EB Colleagues:

For your review, attached is an 4-page article "Turning the Tide on Afghanistan: Recommendations for the visit of Ashraf Ghani and Abdullah Abdullah to the United States," written/co-authored in private capacity by a State Department employee who serves as a CFR Fellow at the Center for American Progress.

We would appreciate hearing from you by **COB Wednesday, February 11**. Please see attached Ms. responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - CFR Fellow at the Center for American Progress, NEA/AC
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: The views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No One
- Where does the author intend to submit the article/manuscript for publication?
 - Center for American Progress
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Wednesday, March 04, 2015 8:06 AM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox
Subject: RE: Publication Review: Can Football Save France in a Post-Charlie Hebdo World?

RELEASE IN PART
B5, B6

B6

Thank you.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Wednesday, March 04, 2015 2:24 AM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: RE: Publication Review: Can Football Save France in a Post-Charlie Hebdo World?

Dear [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the following disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4):

[redacted] is the author of "*The Making of Les Bleus: Sport in France, 1958-2010*" and a historian at the U.S. Department of State. The views expressed in this article are the author's own and do not necessarily reflect the views of the U.S. Department of State or the United States Government.

Additionally, EUR has suggested that you consider rewording the highlighted sentence below, as they view it as a perhaps an unnecessarily harsh critique of French society that doesn't fit with the tone of the rest of the article.

[redacted]

B5

Thank you,

PA Clearances

From: [redacted] [mailto:[redacted]@state.gov]
Sent: Tuesday, March 03, 2015 3:25 PM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox
Subject: RE: Publication Review: Can Football Save France in a Post-Charlie Hebdo World?

Thank you.

MJ Alexander has cleared for L/Ethics and Chris Morrison has cleared for PA/HO.

This email is UNCLASSIFIED.

From: PA Clearances Mailbox (<mailto:paclearances@state.gov>)

Sent: Tuesday, March 03, 2015 1:59 PM

To: [REDACTED]

Cc: PA Clearances Mailbox

Subject: Publication Review: Can Football Save France in a Post-Charlie Hebdo World?

B6

[REDACTED]

We have started the review of your article, "Can Football Save France in a Post-Charlie Hebdo World?"

As you noted in your submission that you intend to seek compensation for the sale of the article, you must obtain L/Ethics clearance before we can provide final PA clearance.

Please contact L/Ethics (Ethics Attorney Mailbox in the GAL; ethicsattorneymailbox@state.gov) to seek their clearance directly and let us know when that clearance has been obtained. Also, please verify who reviewed and cleared this article in PA/HO.

Thank you,

PA Clearances

RELEASE IN PART B6

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Tuesday, March 10, 2015 8:12 AM
To: PA Clearances Mailbox; PA Clearances Mailbox
Subject: RE: Publication Review

B6

Many thanks!

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Tuesday, March 10, 2015 6:28 AM
To: [redacted] PA Clearances Mailbox
Subject: Publication Review

Déar Mr. [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, February 24, 2015 3:32 AM
To: EUR-Press; SCA-Press; NEA-Press; EAP-P-Office-DL; McKeeby, David I; Horne, Emily J
Cc: PA Clearances Mailbox
Subject: EUR, NEA, SCA, EAP, PM, Emily - Publication Review - Diplomacy and Unconventional Warfare
Attachments: [redacted].docx

RELEASE IN PART B6

B6

Dear Colleagues:

For your review, attached is an 4-page article "Diplomacy and Unconventional Warfare," written in a private capacity by [redacted] a State Department employee, Economic/Commercial Officer in EB.

We would appreciate hearing from you by **COB Thursday, February 26**. Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [redacted], Economic Commercial Officer, EB/TPP/AGP
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - No.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No One
- Where does the author intend to submit the article/manuscript for publication?
 - Foreign Service Journal
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: Fogarty, Caitlin F <FogartyCF@state.gov>
Sent: Friday, March 13, 2015 1:37 PM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox
Subject: RE: Flagging [REDACTED] Article for PA Clearance

RELEASE IN PART
B6

B6

Thank you!

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Friday, March 13, 2015 1:33 PM
To: Fogarty, Caitlin F
Cc: PA Clearances Mailbox
Subject: RE: Flagging [REDACTED] Article for PA Clearance

PDAS Fowler clears with no edits. Closing out the database request now. Thanks.

From: Fogarty, Caitlin F [<mailto:FogartyCF@state.gov>]
Sent: Friday, March 13, 2015 11:15 AM
To: PA Clearances Mailbox
Subject: RE: Flagging [REDACTED] Article for PA Clearance

Excellent, thanks!

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Friday, March 13, 2015 10:24 AM
To: Fogarty, Caitlin F
Cc: PA Clearances Mailbox
Subject: RE: Flagging [REDACTED] Article for PA Clearance

Good morning Cait,

I shared the text with PDAS Fowler this morning and will notify you once she clears. Thanks so much!

Tameka

From: Fogarty, Caitlin F [<mailto:FogartyCF@state.gov>]
Sent: Friday, March 13, 2015 9:25 AM
To: PA Clearances Mailbox
Subject: Flagging [REDACTED] Article for PA Clearance

PA colleagues,

Per my convo with Tameka earlier this week, I just wanted to flag that we have submitted [redacted] article B6 for PA's clearance. Attached is the word doc in case you'd prefer to email to PDAS Fowler directly. Thank you!

Best,
Cait

PA Clearance Application - Review - Windows Internet Explorer

Records: 1 - 1 of 1 - Pages: 1

Save Updates **DELETE** **Send Back to Drafter - No**

Other

Document(s) Subject
U.S.-Chile relations

Due Date/Time
03/13/15 09:00 AM ☒ Urgent?

Reason for being urgent
The Ambassador has accepted the invitation to submit an article and promised to get

Comments
Final version with tracked changes from clearers attached for PA's soonest review.

Document Types
Select

Articles for newspapers, magazines, websites Science Diplomacy article.docx **Delete**

Save Updates **DELETE** **CLOSE**

PA Clearance... PA-Clearance... Microsoft Ly... Calendar - F... AM - RSJ - S...

B6

Caitlin Fogarty • Public Affairs Outreach Coordinator • WHA/Press • U.S. Dept. of State
2201 C St NW, Rm 5258, Washington, DC 20520 | (202) 647-3296 | FogartyCF@state.gov

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: Fowler, Valerie C <FowlerVC@state.gov>
Sent: Wednesday, March 11, 2015 10:51 AM
To: PA Clearances Mailbox
Subject: please send me this article for clearance

RELEASE IN PART
B6

PA Clearances,

If you have not received clearance on the article below, please send it to me for clearance now, and I'll take a look. (With whom is it sitting in PA, or is it still with other bureaus?) Many thanks, Val

This email is UNCLASSIFIED.

From: [REDACTED] (Santiago)
Sent: Wednesday, March 11, 2015 10:34 AM
To: Fowler, Valerie C
Subject: great chatting - one additional ask

B6

I forgot to mention that I have an article that has been drafted for the Ambassador's Review on Science Diplomacy – U.S. and Chile Partnering to Address Global Challenges. Really nothing controversial and it is w/ PA clearances, would you mind giving it a little nudge, need to submit it this week. Gracias + let me know how we proceed on Arnie.

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Tuesday, March 24, 2015 4:29 PM
To: PA Clearances Mailbox
Subject: RE: L, EUR, NEA Publication Review - Black Holes And Open Secrets: The Impact Of Covert Action On International Law

RELEASE IN PART
B6

B6

Thank you so much!! Yes, I confirm the disclaimer will be included.

[redacted]

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Tuesday, March 24, 2015 3:52 PM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: RE: L, EUR, NEA Publication Review - Black Holes And Open Secrets: The Impact Of Covert Action On International Law

Ms. [redacted]

State Department and the Agency have completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

From: [redacted] [mailto:[redacted]@state.gov]
Sent: Monday, March 23, 2015 4:38 PM
To: PA Clearances Mailbox
Subject: RE: L, EUR, NEA Publication Review - Black Holes And Open Secrets: The Impact Of Covert Action On International Law

Dear PA Clearances – Is there any update about the status of this clearance request? I need to have any final edits to the Journal by the end of this month, since they are printing the publication in April. If there are any edits or revisions received from clearing offices so far, I would like to pass those on as soon as possible.

If interagency clearances are outstanding, please also let me know if I can follow-up directly with anyone in the relevant agencies.

Thank you,

[redacted]

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]

Sent: Wednesday, March 11, 2015 11:12 AM

To: [REDACTED]

Cc: PA Clearances Mailbox

Subject: RE: L, EUR, NEA Publication Review - Black Holes And Open Secrets: The Impact Of Covert Action On International Law

B6

Good morning Ms. [REDACTED]

State's review should be completed by the end of this week. However, we are still awaiting interagency input as this piece contains interagency equities. We are moving this pretty quickly but the interagency is not bound to our timelines in the FAM. We will do our best to accommodate your request to receive clearance in April.

Thank you,
PA Clearances

From: [REDACTED] [mailto:[REDACTED]@state.gov]

Sent: Monday, March 09, 2015 5:30 PM

To: PA Clearances Mailbox

Subject: RE: L, EUR, NEA Publication Review - Black Holes And Open Secrets: The Impact Of Covert Action On International Law

Dear PA Clearances – I wanted to check again on the status of this submission. The article is scheduled to go to print in early April, and I will need to inform the journal of any additional requested edits or revisions this week. I understood that the FAM's clearance process for personal capacity materials was to be completed within 30 days (per 3 FAM 4172.1-5).

Thanks,

[REDACTED]

This email is UNCLASSIFIED.

From: [REDACTED]

Sent: Wednesday, February 25, 2015 3:36 PM

To: 'PA Clearances Mailbox'

Subject: RE: L, EUR, NEA Publication Review - Black Holes And Open Secrets: The Impact Of Covert Action On International Law

Dear PA Clearances – I wanted to check on the status of my submission and see whether there is any information needed from me or any assistance I can provide to conclude the review as quickly as possible.

Thank you,

[REDACTED]

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Tuesday, February 10, 2015 1:43 AM
To: [redacted] PA Clearances Mailbox
Subject: RE: L, EUR, NEA Publication Review - Black Holes And Open Secrets: The Impact Of Covert Action On International Law

B6

[redacted]
Thank you for your e-mail. We will work directly with the A Bureau, which coordinates interagency clearance.

Thank you.

From: [redacted] [mailto:[redacted]@state.gov]
Sent: Monday, February 09, 2015 3:47 PM
To: PA Clearances Mailbox
Subject: RE: L, EUR, NEA Publication Review - Black Holes And Open Secrets: The Impact Of Covert Action On International Law

Dear PA Clearances - I am the author of this article and had a question about interagency clearance - in particular, whether PA has circulated the article to any other agencies? If not, I would be inclined to share it myself with CIA/OGC for their awareness, to ensure they do not have any concerns; but I do not want to double-track if PA has already reached out to them.

Thank you.
[redacted]
[redacted]

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Thursday, February 05, 2015 7:10 AM
To: Rettig, Max L; NEA-Press; EUR-Press
Cc: PA Clearances Mailbox
Subject: L, EUR, NEA Publication Review - Black Holes And Open Secrets: The Impact Of Covert Action On International Law

Dear L, EUR and NEA Colleagues:

For your review, attached is a 73-page article "Black Holes And Open Secrets: The Impact Of Covert Action On International Law," written in private capacity by [redacted] a State Department Attorney-Advisor who works in L/OES.

NEA/EUR: There are two sections in this article specifically on the United Kingdom and Israel, which are very short. We request your comments on those sections by **COB Friday, February 6.**

L: We request your comments by **COB Monday, February 16.**

Please see attached Ms. [] responses to the standard questions for additional details.

B6

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - o [] Attorney-Advisor, LOES
- Is the author writing in his/her private capacity or official capacity?
 - o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - o Yes: "The views presented in this Article are my own and do not necessarily reflect the views of the State Department or U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - o No One
- Where does the author intend to submit the article/manuscript for publication?
 - o Columbia Journal of Transnational Law, a student-run law review
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - o No. I wrote the article while on a fellowship at the Council on Foreign Relations, a training assignment detail from State Department. L was aware of and supported by topic both before and during the fellowship.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

RELEASE IN PART
B6

Hartmann, Lorraine (Lori)

From: [redacted] [redacted]
Sent: Thursday, April 02, 2015 11:27 AM
To: PA Clearances Mailbox
Subject: Re: Review Status: Environmental Protection in International Investment

B6

Thanks guys. Good edit. We will certainly incorporate it.

From: PA Clearances Mailbox <paclearances@state.gov>
Sent: Thursday, April 2, 2015 11:24 AM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Review Status: Environmental Protection in International Investment

Dear Mr. [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government. Your paper is attached with suggested edits included.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, March 26, 2015 2:16 PM
To: EB-A-PD-DL; OES-PA-DG; OES-PPO-DG; WHA-Press
Cc: PA Clearances Mailbox
Subject: Publication Review: Environmental Protection in International Investment Agreements
Attachments: OT-8511414.docx

RELEASE IN PART
B6

EB, OES and WHA Colleagues:

For your review, attached is a 2-page article "Environmental Protection in International Investment Agreements," written in private capacity by [redacted] a State Department Visiting Fellow at CSIS. We request your comments by Noon Monday, March 30.

B6

Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES PUBLISHED

- What is the author's first and last name, current job title and office symbol?
o [redacted] Senior Advisor HR/CDA/SL/CDT
- Is the author writing in his/her private capacity or official capacity?
o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
o Yes: The views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. government
- Where does the author intend to submit the article/manuscript for publication?
• Center for Strategic and International Studies website
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
• No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

RELEASE IN PART
B6

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Thursday, April 02, 2015 4:11 PM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox
Subject: Re: Review Status: Outside commentary on the Navy's latest Maritime Strategy

B6

Many thanks for the very rapid turnaround and helpful edits! I'll let you know soonest if/when "Proceedings" decides to publish, all the best, [redacted]

From: PA Clearances Mailbox (<mailto:paclearances@state.gov>)
Sent: Thursday, April 02, 2015 02:39 PM Eastern Standard Time
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Review Status: Outside commentary on the Navy's latest Maritime Strategy

Mr. [redacted]

The Department and the Department of Defense have completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government. Your paper is attached with suggested edits included.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, March 23, 2015 2:47 AM
To: [redacted] M; PA Clearances Mailbox
Subject: RE: Request for Article Review

B6

RELEASE IN PART
B6

Dear Mr. [redacted]

Please submit your request via the PA Clearances Database (<https://paclearances.state.gov>).

Your account request has been approved.

Thank you.

From: [redacted] [mailto:[redacted]@state.gov]
Sent: Friday, March 20, 2015 4:33 PM
To: PA Clearances Mailbox
Subject: Request for Article Review
Importance: High

I've been asked to contribute a piece to the "Proceedings" of the US Naval Institute, commenting on combined US Navy/Coast Guard/Marine Corps "Cooperative Strategy for 21st Century Seapower, issued last Friday. The link to that document is below.

<http://www.navy.mil/local/maritime/>

I think I've been asked to comment as I was a naval officer prior to joining the State Department and my last job on active duty was at the Pentagon helping to work on the US Navy's successor documents to "The Maritime Strategy."

Attached is my draft. I think this is helpful for the State Department – this latest Navy/Coast Guard/Marine version walks back from any interagency cooperation and the image of AMB Page being escorted to a helicopter reinforces public perceptions that the State Department mainly exists to be evacuated from dangerous areas overseas. I expect that a result from this article being published could be a request from the Navy to the State Department to work more closely together in developing follow-on documents, a process that will directly benefit the Department.

If possible, I ask for expedited clearance. There is no guarantee of publication, but I understand that the Naval Institute wants to get a commentary issue out quickly.

Many thanks, [redacted]

[redacted]
Director, Office of UN Political Affairs (IO/UNP)
Room 1828
US Department of State
Washington, DC 20520

Mobile: [redacted] (new) STU: 202-647-1534
Office: 202-647-2393 Fax: 202-647-0039

Unclas email: [redacted]@state.gov
Class email [redacted]@state.sgov.gov

SBU

This email is UNCLASSIFIED.

RELEASE IN PART
B6

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, April 03, 2015 3:32 PM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Review Status: Russian Sanctions - The Importance of Transatlantic Unity

B6

Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, April 03, 2015 1:50 AM
To: EUR-Press
Cc: PA Clearances Mailbox
Subject: EUR Publication Review - Russian Sanctions - The Importance of Transatlantic Unity
Attachments: Russian Sanctions.docx

RELEASE IN PART
B6

B6

EUR Colleagues:

For your review, attached is a 3-page article entitled "Russian Sanctions - The Importance of Transatlantic Unity," written in private capacity by a State Department employee who is on detail as a Senior Fellow at the German Marshall Fund of the United States.

We would appreciate hearing from you by **COB Tuesday, April 7**. Please see attached Mr. responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- **What is the author's first and last name, current job title and office symbol?**
Senior Fellow, German Marshall Fund of the United States
- **Is the author writing in his/her private capacity or official capacity?** Private
- **Will the author receive remuneration outside of his/her Department of State salary for the article?** No
- **Does the author intend to use a disclaimer? If so, how will the disclaimer read?** The views expressed here are those of the author and do not reflect the views of the German Marshall Fund or of the U.S. Department of State.
- **Where does the author intend to submit the article/manuscript for publication?** German Marshall Fund website
- **Does the paper relate in any way to the author's current duties or duties he/she had over the last year?** No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, April 14, 2015 3:18 PM.
To:
Cc: PA Clearances Mailbox
Subject: Review Status: The OSCE in Ukraine article

RELEASE IN PART
B6

B6

Dear Mr.

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4). We recommend, *"The opinions expressed are those of the author, and do not necessarily reflect the official views of the U.S. Department of State, U.S. Government, the OSCE or the European Leadership Network"*.

Sincerely,

PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Friday, March 27, 2015 2:29 PM
To: PA Clearances Mailbox
Subject: FW: Invitation to contribute to ELN's website

B6

RELEASE IN PART B6

Hello. I'm writing to seek your advice and guidance.

This afternoon, I received the following invitation from the European Leadership Network (www.europeanleadershipnetwork.org) to submit "a short comment (800-1,000 words)" evaluating the performance of the OSCE Special Monitoring Mission (SMM) in Ukraine one year after its launch. The piece would be posted on the ELN website, but in my understanding would not be published in hard copy. I was approached, not because of my State Department experience, but rather because from 2007-2012, I served as Director of the Office of the OSCE Secretary General (and de facto Deputy Secretary General of the Organization), a position for which I separated from the Department with re-employment rights. Since returning to the Department in June 2012, I have not worked on OSCE issues (with the exception of travel to Ukraine in May-June 2014 as part of an OSCE Election Observation Mission), so the article would be based upon my own experience at the OSCE (during which time I did not have access to classified materials) and open source material. I would be identified, not with a State Department title, but rather as a former senior OSCE (and NATO) official.

Would I need to submit such a contribution to your office for clearance, and if so, could you please advise me of the procedure to be followed, and the approximate duration of the process (please note the last sentence of Dr. Kearns' e-mail - I will seek clarification as to whether he would expect a draft, or merely a confirmation of interest, by April 7th).

Many thanks,

[redacted]

This email is UNCLASSIFIED.

From: [redacted] (mailto:[redacted])
Sent: Friday, March 27, 2015 2:19 PM
To: [redacted]
Subject: Fw: Invitation to contribute to ELN's website

On Friday, March 27, 2015 10:43 AM, Ian Kearns <[redacted]> wrote:

B6

Dear [redacted]

B6

I hope my email finds you well. I am writing to invite you to contribute a short comment for the [ELN](http://www.europeanleadershipnetwork.org) website. The OSCE has been the only organisation working on the ground in the conflict in eastern Ukraine aiming to establish and monitor a viable ceasefire, kick-start the dialogue and help those caught in the conflict zone. One year after the launch of OSCE's Special Monitoring Mission (SMM) to Ukraine, how do you assess the effectiveness of both the organisation and the SMM in containing the

conflict? In your opinion, what are the main achievements and what were the main challenges? What more should the SMM do during the next 12 months to help stabilize the situation?

Please let me know if you are interested in sending us a short comment (800-1000 words) by Tuesday 7th April.

With best wishes,

Ian

--

Ian Kearns

Director

European Leadership Network (ELN)

Suite 7, Southbank House, Black Prince Road, London, SE1 7SJ, UK

Tel. +44 (0) 20 3176 2552

Follow me on Twitter @iankKearns and follow the ELN on [Facebook](#) and [Twitter](#)

<http://redirect.state.sbu/?url=www.europeanleadershipnetwork.org> | <http://redirect.state.sbu/?url=www.toplevelgroup.org>

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, April 21, 2015 4:40 AM
To: PA-SCG-DL
Cc: PA Clearances Mailbox
Subject: PA SCG - Publication Review - International Skyline - Cities as Global Actors
Attachments: [REDACTED].docx

RELEASE IN PART B6

B6

PA SCG:

We received the attached 3-page article written in a private capacity by [REDACTED] a State Department employee in long term training at Columbia University.

We have reviewed it, and are not sure which bureau/office within the Department should review, if any.

Could you please take a look and let us know your thoughts? Thank you.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [REDACTED] State Department's Cullom Davis Fellow at Columbia University's School of International and Public Affairs.
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes. "The views expressed in this article reflect his opinions and not necessarily those of the U.S. Government."
- Who else has cleared (your supervisor, others within the Department of State)?
 - No One
- Where does the author intend to submit the article/manuscript for publication?
 - Foreign Policy, and/or a similar journal
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No, as it is based on research I have done while on long-term training

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, April 15, 2015 11:30 AM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Review Status: Intersectionality in the Era of Global LGBTQ Rights
Attachments: [REDACTED] LGBTQ Article.docx

RELEASE IN PART
B6

B6

Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are solely those of the author, and do not necessarily represent the views of the U.S. Department of State or the United States Government. Your paper is attached with suggested edits included.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, April 14, 2015 6:28 AM
To: DRL-Press
Cc: PA Clearances Mailbox
Subject: DRL Publication Review - Intersectionality in the Era of Global LGBTQ Rights
Attachments: - LGBTQ Article.docx

RELEASE IN PART B6

B6

Colleagues:

For your review, attached is a 3-page article entitled "Intersectionality in the Era of Global LGBTQ Rights" written in private capacity by a State Department employee currently at FSI.

We would appreciate hearing from you by **COB Thursday, April 16**. Please see attached Mr. responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - Foreign Service Officer, FSI/SLS
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: All articles posted on the blog feature the disclaimer "The opinions expressed in this article are the author's own and do not reflect the views of their employer or Young Professionals in Foreign Policy," but please advise if I should include something else.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No One
- Where does the author intend to submit the article/manuscript for publication?
 - This post will appear in a post on the "Charged Affairs" blog on the Young Professionals in Foreign Policy website (<http://www.yppf.org/blog>) as part of a series on gender in foreign policy.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Monday, April 20, 2015 10:33 AM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox
Subject: RE: Publication Review - The Bug Trade

RELEASE IN PART
B6

B6

Many thanks!

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Sunday, April 19, 2015 10:03 AM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Publication Review - The Bug Trade

Dear Mr. [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, April 14, 2015 6:21 AM
To: Stafford, Craig A; EB-A-PD-DL; EUR-Press
Cc: PA Clearances Mailbox
Subject: Publication Review - The Bug Trade
Attachments: - The Bug Trade.docx

RELEASE IN PART B6

B6

Colleagues:

For your review, attached is a 3-page article "The Bug Trade," written in private capacity by a State Department employee who is an international affairs fellow at the Council on Foreign Relations and a foreign affairs analyst in the Bureau of Intelligence and Research.

We would appreciate hearing from you by **COB Thursday, April 16**. Please see attached Mr. responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - is an international affairs fellow at the Council on Foreign Relations and a foreign affairs analyst in the Bureau of Intelligence and Research, INR/CYBER
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: is an international affairs fellow at the Council on Foreign Relations and a foreign affairs analyst in the Bureau of Intelligence and Research at the U.S. Department of State. The views expressed in this paper are his own and do not necessarily reflect those of the Department of State or the U.S. government..
- Who else has cleared (your supervisor, others within the Department of State)?
 - INR/CYBER
- Where does the author intend to submit the article/manuscript for publication?
 - Foreign Affairs
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - Yes

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);

3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, April 21, 2015 11:06 AM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Review Status: International Skyline: Cities as Global Actors
Attachments: [REDACTED] April 2015.docx

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]:

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government. Your paper is attached with suggested edits included.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

RELEASE IN PART
B6

From: [redacted]@state.gov
 Sent: Thursday, April 23, 2015 4:19 PM
 To: PA Clearances Mailbox
 Subject: RE: Clearance Status for - (*Government contracting*)

B6

Thank you, PA clearances. Deeply grateful for your assistance.

Best regards,



This email is UNCLASSIFIED.

From: PA Clearances Group [mailto:paclearances@state.gov]
 Sent: Thursday, April 23, 2015 3:24 PM
 To: [redacted]
 Cc: [redacted]
 Subject: Clearance Status for - (*Government contracting*)

Clearance Request Response

Clearance Request Title: (*Government contracting*)

Clearance Request Submitter: [redacted]

This Request has been Approved

Approved with Caveat

PA Clearances Mailbox

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4) that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government. Your paper is attached with suggested edits included.

Please click this link to view the attachments with edits.

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, April 14, 2015 7:40 AM
To: CT_PublicAffairs; DS Public Affairs; Maier, Christina A
Cc: PA Clearances Mailbox
Subject: M, DS, CT Publication Review - Outsourcing Legitimacy

RELEASE IN PART
B6

Colleagues:

For your review, attached is a 2-page article entitled "Outsourcing Legitimacy," written in private capacity by State Department employee [REDACTED]

B6

We would appreciate hearing from you by Thursday, April 16. Please see attached Mr. [REDACTED] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol? [REDACTED] EAP/MLA
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? The views expressed here are my own and do not necessarily represent those of the Department of State
 - Who has cleared? EAP PA has seen the text, but I have not asked for formal clearance on something to be published in a private capacity.
 - Where does the author intend to submit the article/manuscript for publication? Penguin Random House, which publishes my novels, will seek placement. The first choice is the New York Times.
 - Does the paper relate in any way to the author's current duties or duties he/she had over the last year? Yes, in that it addresses my employment status as an FSO.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, April 28, 2015 1:09 PM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Review Status: TTIP and Energy - An Opportunity

RELEASE IN PART
B5, B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are solely those of the author, and do not necessarily represent the views of the U.S. Department of State or the United States Government.

Please note that during the course of the review, one of the reviewing bureaus saw a phrase that they believed to be incorrect and offered the following clarification for your consideration:

[REDACTED]

B5

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, April 10, 2015 3:01 PM
To: EB-A-PD-DL; ENR-PD-Clearances
Cc: PA Clearances Mailbox
Subject: Publication Review: TTIP and Energy - An Opportunity
Attachments: OT-99123450.docx

RELEASE IN PART B6

EB and ENR Colleagues:

For your review, attached is a 4-page article entitled "TTIP AND ENERGY – AN OPPORTUNITY," written in private capacity by [redacted] a State Department employee who is on detail as a Senior Fellow at the German Marshall Fund of the United States.

B6

We would appreciate hearing from you by **Tuesday, April 14**. Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol? [redacted] Senior Fellow, German Marshall Fund of the United States
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes. That the views expressed do not reflect the views of the U.S. Department of State.
- Where does the author intend to submit the article/manuscript for publication? Christian Science Monitor
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] [redacted]@state.gov>
Sent: Tuesday, May 05, 2015 12:02 PM
To: PA Clearances Mailbox
Cc: Conklin, Maegan L; PA Clearances Mailbox
Subject: RE: Law review article for publication - The Crime of Aggression

RELEASE IN PART
B6

B6

Thanks very much !!

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Tuesday, May 05, 2015 11:56 AM
To: [redacted]
Cc: Conklin, Maegan L; PA Clearances Mailbox
Subject: RE: Law review article for publication - The Crime of Aggression

Mr: [redacted]

The Bureau of Public Affairs has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4) is included; that the views expressed are your own and not necessarily those of the Department of State of the U.S. Government.

Edits in Track Changes found on the following pages of attachment:

page 1 (ref. notes); page 6 (ref. notes); page 15 (ref. notes); page 16 (text); page 17 (text); page 18 (ref. notes); page 21 (text); page 28 (text); page 30 (ref notes); page 31 (text and ref notes); page 34 (ref notes); page 35 (ref notes); page 36 (text); page 42 (text).

Sincerely,

PA Clearances

From: [redacted] [mailto:[redacted]@state.gov]
Sent: Sunday, May 03, 2015 12:04 PM
To: PA Clearances Mailbox
Cc: Conklin, Maegan L
Subject: Law review article for publication - The Crime of Aggression

Dear PA--

Attached is a draft law journal article on the "crime of aggression", co-authored with former Legal Adviser [redacted]. It has been accepted for publication by the American Journal of International Law, which wants to publish it in their spring issue. It has already been reviewed by the two offices I believe relevant: L (where it has been cleared by the Legal Adviser, Mary McLeod) and J/GCJ (where it has been cleared by Jane Stromseth).

Because this is supposed to be published in the spring issue, time is of the essence and we need to get this back to both [redacted] and the American Journal of International Law by the end of the week. I would ask that you do anything possible to expedite the process, and in any event please let me know if you anticipate a problem meeting this deadline. B6

Thank you very much,

[redacted]

Below is further information that may assist you, but please call me if you have any questions (at 7-2732).

- What is the author's first and last name, current job title and office symbol? [redacted] (currently Professor, Yale Law School, formerly State Department Legal Adviser (L)); [redacted] (Assistant Legal Adviser (L/UNA)).
- Are the authors writing in their private capacity or official capacity? Private capacity.
- Will the author receive remuneration outside of his/her Department of State salary for the article? No.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes. The disclaimer would read: "This article is written in our personal capacities and does not necessarily represent the views of the United States Government."
- Who else has cleared (your supervisor, others within the Department of State)? Mary McLeod (Acting Legal Adviser); Jane Stromseth (Deputy, J/GCJ – the Office of Global Criminal Justice).
- Where does the author intend to submit the article/manuscript for publication? The American Journal of International Law.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No for [redacted] yes for me.

This email is UNCLASSIFIED.

RELEASE IN PART
B6**Hartmann, Lorraine (Lori)**

From: [redacted]@state.gov>
Sent: Sunday, May 03, 2015 12:04 PM
To: PA Clearances Mailbox
Cc: Conklin, Maegan L
Subject: Law reveiw article for publication - The Crime of Aggression
Attachments: [redacted] Article (Spring 2015).docx

Follow Up Flag: Follow up
Flag Status: Flagged

B6

Dear PA--

Attached is a draft law journal article on the "crime of aggression", co-authored with former Legal Adviser [redacted]. It has been accepted for publication by the American Journal of International Law, which wants to publish it in their spring issue. It has already been reviewed by the two offices I believe relevant: L (where it has been cleared by the Legal Adviser, Mary McLeod) and J/GCJ (where it has been cleared by Jane Stromseth).

Because this is supposed to be published in the spring issue, time is of the essence and we need to get this back to both [redacted] and the American Journal of International Law by the end of the week. I would ask that you do anything possible to expedite the process, and in any event please let me know if you anticipate a problem meeting this deadline.

Thank you very much,
 [redacted]

Below is further information that may assist you, but please call me if you have any questions (at 7-2732).

- What is the author's first and last name, current job title and office symbol? [redacted] (currently Professor, Yale Law School, formerly State Department Legal Adviser (L)); [redacted] (Assistant Legal Adviser (L/UNA)).
- Are the authors writing in their private capacity or official capacity? Private capacity.
- Will the author receive remuneration outside of his/her Department of State salary for the article? No.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes. The disclaimer would read: "This article is written in our personal capacities and does not necessarily represent the views of the United States Government."
- Who else has cleared (your supervisor, others within the Department of State)? Mary McLeod (Acting Legal Adviser); Jane Stromseth (Deputy, J/GCJ – the Office of Global Criminal Justice).
- Where does the author intend to submit the article/manuscript for publication? The American Journal of International Law.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No for [redacted] yes for me.

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, May 07, 2015 3:16 AM
To:
Cc: PA Clearances Mailbox
Subject: Publication Review - The G7 Energy Ministerial - Beyond Ukraine

RELEASE IN PART
B6

B6

Dear Mr.

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, May 05, 2015 9:17 AM
To: EUR-Press; ENR-PD-Clearances
Cc: PA Clearances Mailbox
Subject: EUR, ENR Publication Review - The G7 Energy Ministerial - Beyond Ukraine
Attachments: G7 Energy - Beyond Ukraine.docx

RELEASE IN PART
B6

B6

EUR and ENR Colleagues:

For your review, attached is a 3-page article entitled "The G7 Energy Ministerial – Beyond Ukraine," written in private capacity by a State Department employee who is on detail as a Senior Fellow at the German Marshall Fund of the United States.

We would appreciate hearing from you by **COB Wednesday, May 6**. Please see attached Mr. responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol? Senior Fellow, German Marshall Fund of the United States
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? The views expressed here are those of the author and do not reflect the views of the German Marshall Fund or of the U.S. Department of State
- Who else has cleared? No one.
- Where does the author intend to submit the article/manuscript for publication? Christian Science Monitor
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances.

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, May 08, 2015 9:08 AM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: RE: Publication Review - Lessons from the Alien Tort Statute: Jus Cogens as the Law of Nations

RELEASE IN PART
B6

B6

You're welcome. We appreciate your patience during the period of this review.

Have a great weekend!
PA Clearances

From: [REDACTED] (mailto:[REDACTED])
Sent: Friday, May 08, 2015 9:06 AM
To: PA Clearances Mailbox
Subject: Re: Publication Review - Lessons from the Alien Tort Statute: Jus Cogens as the Law of Nations

Terrific. Many thanks for the quick turnaround, I really do appreciate it!

Have a great weekend,

[REDACTED]

[REDACTED]
PhD (Cantab), MSc (Oxon)
JD (Candidate), Georgetown University Law Center
120 F Street NW
Washington, DC 20001
[REDACTED]

On Fri, May 8, 2015 at 6:14 AM, PA Clearances Mailbox <paclearances@state.gov> wrote:

Dear Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

From: [redacted] [mailto:[redacted]@state.gov]

B6

Sent: Thursday, May 07, 2015 3:46 PM

To: PA Clearances Mailbox

Cc: [redacted]

Subject: Status update: Weatherall Lessons from the Alien Tort Statute Student Note

Hello,

I'm writing to follow up on my clearance request titled 'Lessons from the Alien Tort Statute: Jus Cogens as the Law of Nations'. Can you please let me know, at [redacted] what the status of the review is? The Journal has asked that any necessary changes be made by today. I will ask for an extension but it would be helpful to when I should expect comments/clearance. I will be out of the office for the next week and a half for finals so if you might reply to my law school email address, I would be extremely grateful.

Thanks very much,

[redacted]

[redacted]

Law Clerk

Office of the Legal Adviser (L/CID)

United States Department of State

[redacted]

[redacted]

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, April 21, 2015 4:41 AM
To: Rettig, Max L
Cc: PA Clearances Mailbox
Subject: L Publication Review - Lessons from the Alien Tort Statute: Jus Cogens as the Law of Nations
Attachments: [redacted] (2).docx

RELEASE IN PART B6

B6

Max:

For appropriate L review, attached is a 58-page article entitled "Lessons from the Alien Tort Statute: Jus Cogens as the Law of Nations" written in private capacity by [redacted] a State Department employee and law clerk in L/CID.

We would appreciate hearing from you by **COB Friday, April 24**. Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [redacted] Law Clerk, L/CID
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: "The views expressed herein are the author's own and not necessarily those of the Department of State or the U.S. Government."
- Who else has cleared (your supervisor, others within the Department of State)?
 - L/CID (John Daley): indicated no need for L/CID review; L/HRR (Laura Conn): reviewed and cleared for publication.
- Where does the author intend to submit the article/manuscript for publication?
 - Georgetown Journal of International Law
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From:
Sent: Monday, May 11, 2015 4:52 PM
To: PA Clearances Mailbox
Subject: Re: Clearance for DRL contractor, op-ed

RELEASE IN PART
B6.

B6

Follow Up Flag: Follow up
Flag Status: Completed

Great! Thank you!

On May 11, 2015, at 11:53 AM, PA Clearances Mailbox <paclearances@state.gov> wrote:

Dear

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that *the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.*

Thank you,

PA Clearances

From: [mailto:
Sent: Friday, May 08, 2015 4:40 PM
To: PA Clearances Mailbox
Subject: Re: Clearance for DRL contractor, op-ed

I will use the exact words, per the previous guidance: *the views expressed in the article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.*

Is that sufficient?

On May 8, 2015, at 1:06 PM, PA Clearances Mailbox <paclearances@state.gov> wrote:

Please advise how the disclaimer will read in the opinion piece (as it is mandatory for personal capacity public communications).

Thank you,
PA Clearances

From: PA Clearances Mailbox
Sent: Thursday, May 07, 2015 2:36 PM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: RE: Clearance for DRL contractor, op-ed

B6

[REDACTED]

The opinion piece is under review. We will keep you updated on any developments and will contact you once the review is complete. Please note that the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4) must be included, *the views expressed in the article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.*

Thank you,
 PA Clearances

From: [REDACTED] [mailto:[REDACTED]]
Sent: Thursday, May 07, 2015 1:02 PM
To: PA Clearances Mailbox
Subject: Re: Clearance for DRL contractor, op-ed

Hello PA clearances,
 Per your request, here is the information and the document attached.
 Thanks,

[REDACTED]

- Author's name, current job title and office symbol: [REDACTED]
 Senior Editor DRL/EA
 - Author's Bureau/Office Symbol: DRL/NEA
 - Is the author writing in a private or official capacity: Private capacity
 - Will the author receive remuneration outside of his/her State Department salary for the article? No
 - Does the author intend to use a disclaimer? If so, how will the disclaimer read? No I do not intend to use a disclaimer.
 - Who else has cleared (your supervisor, others within the Department of State)? Please list their name and office symbol.
1. Mario Crifo, DRL/NEA (my DOS supervisor)
 2. Marguerite C. Walter, Attorney-Adviser, Office of Ethics and Financial Disclosure (L/EFD)
- Where does the author intend to submit the article/manuscript for publication? In an online journal, most likely "E-International Relations" <http://www.e-ir.info/>
 - Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No, not in the last year. I was a full time employee in DRL/NEA from 2005-2011. This piece relates somewhat to my work experience.

Sent from Windows Mail

From: PA Clearances Mailbox
Sent: Thursday, May 7, 2015 9:53 AM
To: [REDACTED]
Cc: PA Clearances Mailbox

B6

Hi [REDACTED] - We will take it from here. Please fill out the publication questionnaire below and provide us with a copy of the Op-Ed in word doc format.

Thank you,
PA Clearances

**QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS
PUBLISHED**

- Author's name, current job title and office symbol:
- Author's Bureau/Office Symbol:
- Is the author writing in a private or official capacity:
- Will the author receive remuneration outside of his/her State Department salary for the article?
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- Who else has cleared (your supervisor, others within the Department of State)? Please list their name and office symbol.
- Where does the author intend to submit the article/manuscript for publication?
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

From: Starr, Katherine L [mailto:StarrKL@state.gov]
Sent: Thursday, May 07, 2015 12:31 PM
To: [REDACTED] PA Press Duty
Cc: PA Clearances Mailbox
Subject: RE: Clearance for DRL contractor, op-ed

This needs to be submitted through PA Clearances from your state dept address. DRL press office can assist if needed.

Thanks, Kate

From: [REDACTED] [mailto:[REDACTED]]
Sent: Thursday, May 07, 2015 11:55 AM
To: PA Press Duty
Subject: Clearance for DRL contractor, op-ed

Hello PA,

I need clearance on this op-ed piece I wrote in my personal capacity as a PhD student. I have already cleared it with L/ethics and my DOS supervisor.

As background, I am a former Foreign Affairs Officer in DRL. I currently work as a part time telework contractor with DRL as a Senior Editor for the annual human rights reports from September-March.

I am a PhD candidate in International Studies at the University of Washington in Seattle. I wrote this 2.5 page Op-Ed about Sweden and human rights and would like to publish it in the online journal "E-International Relations". I would like to get State clearance on it before publication. Please let me know if you need any other information from me for your clearance.

Warm regards,



B6

Sent from Windows Mail

Hartmann, Lorraine (Lori)

From: Starr, Katherine L <StarrKL@state.gov>
Sent: Thursday, May 07, 2015 12:31 PM
To: [REDACTED] PA Press Duty
Cc: PA Clearances Mailbox
Subject: RE: Clearance for DRL contractor, op-ed

RELEASE IN PART
B6

B6

This needs to be submitted through PA Clearances from your state dept address. DRL press office can assist if needed.

Thanks, Kate

From: [REDACTED] [mailto:[REDACTED]]
Sent: Thursday, May 07, 2015 11:55 AM
To: PA Press Duty
Subject: Clearance for DRL contractor, op-ed

Hello PA,

I need clearance on this op-ed piece I wrote in my personal capacity as a PhD student. I have already cleared it with L/ethics and my DOS supervisor.

As background, I am a former Foreign Affairs Officer in DRL. I currently work as a part time telework contractor with DRL as a Senior Editor for the annual human rights reports from September-March.

I am a PhD candidate in International Studies at the University of Washington in Seattle. I wrote this 2.5 page Op-Ed about Sweden and human rights and would like to publish it in the online journal "E-International Relations". I would like to get State clearance on it before publication. Please let me know if you need any other information from me for your clearance.

Warm regards,

[REDACTED]

Sent from Windows Mail

Hartmann, Lorraine (Lori)

From: [redacted]@state.gov>
Sent: Monday, May 11, 2015 3:08 PM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox
Subject: RE: Review Status: The Preface - Authorized Departure

RELEASE IN PART
B6

B6

Dear Colleagues,

Thank you very much for your consideration and the good news. I hope that getting all of this out there in its final form helps tell a good story for posterity about who we are and what we do.

Regards

[redacted]

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Monday, May 11, 2015 12:07 PM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Review Status: The Preface - Authorized Departure

Mr. [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in the book are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government. A courtesy copy of the Preface was provided to both agencies, Department of Defense and the Agency, who previously reviewed the book.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, May 06, 2015 11:13 AM
To: McKeeby, David I; Paul, Joshua M; NEA-Press; Rettig, Max L
Cc: Lavallee, Michael P; Anderson, Scott L; Notar, Susan A; PA Clearances Mailbox
Subject: Authorized Departure by Mark Schapiro: The Preface
Attachments: OT-124145317.docx; [redacted] Iraq essays.pdf

RELEASE IN PART B6

B6

Dear Colleagues:

This manuscript 'Authorized Departure' was submitted to PA in early January 2014 and went through an extensive review process. We now received the Preface of 'Authorized Departure' and request your review on the attached introductory materials (preface, about the author, about the title). We would appreciate hearing from you by **COB Friday, May 8**, as it is only 3pgs. The book will carry the disclaimer we provided, that the views herein are those of the author and do not reflect the views or policies of the U.S. Department of State or the U.S. Government.

Authorized Departure was reviewed/cleared by the following officials:

D: Mustafa Popal 3/4/14 (info'd as requested)
 P: Carlos DeJuana 3/7/14 (info'd as requested)
 M: Andrew Sherr 3/4/14 (info'd as requested)
 PM: David McKeeby 2/4/14 (OK)
 NEA/Press: Michael Lavallee 2/27/14 (OK)
 NEA/I: Susan Notar 2/27/14 (OK)
 L: Scott Anderson 2/27/14 (OK)
 L/Ethics: Amanda Wall 2/27/14 (OK)
 DOD: (OK) per A bureau on 12/22/2014
 CIA: (OK) per A bureau on 3/4/2014

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
 PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] >
Sent: Monday, May 11, 2015 11:25 AM
To: PA Clearances Mailbox
Subject: RE: Publication Review: Improving the Department's Effectiveness in Intl Economic Policy

RELEASE IN PART
B6

B6

Many Thanks!

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Monday, May 11, 2015 2:27 AM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Publication Review: Improving the Department's Effectiveness in Intl Economic Policy

Dear Mr. [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, May 07, 2015 9:39 AM
To: EB-A-PD-DL; ENR-PD-Clearances
Cc: PA Clearances Mailbox
Subject: Publication Review: Improving the Department's Effectiveness in Intl Economic Policy
Attachments: OT-126134920 (1).docx

RELEASE IN PART
B6

EB and ENR Colleagues,

For your review, attached is a 3-page article entitled "Improving the U.S. State Department's Effectiveness in International Economic Policy" written in a private capacity by [] a State Department Visiting Fellow at CSIS. B6

We would appreciate hearing from you by **COB Friday, May 8**. Please see Mr. [] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- Author's name, current job title and office symbol: [], State Department Visiting Fellow
- Author's Bureau/Office Symbol: Visiting Fellow CSIS
- Is the author writing in a private or official capacity: Private
- Will the author receive remuneration outside of his/her State Department salary for the article? No
- Does the author intend to use a disclaimer? Yes. the views expressed in this commentary are solely those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. government.
- Where does the author intend to submit the article/manuscript for publication? CSIS website.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, May 13, 2015 10:02 AM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: RE: Review Status: The Risks of Ambiguity in Cyberspace

RELEASE IN PART
B5, B6

B6

You're welcome Mr. [REDACTED] and thank you for your patience during the course of this review.

From: [REDACTED] (mailto:[REDACTED]@state.gov)
Sent: Wednesday, May 13, 2015 9:57 AM
To: PA Clearances Mailbox
Subject: RE: Review Status: The Risks of Ambiguity in Cyberspace

Thank you so much for the review and for making sure everyone who needed to had an opportunity to see the paper!
Your efforts are much appreciated.

Sincerely,

[REDACTED]

This email is UNCLASSIFIED.

From: PA Clearances Mailbox (mailto:paclearances@state.gov)
Sent: Tuesday, May 12, 2015 3:58 PM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Review Status: The Risks of Ambiguity in Cyberspace

Mr. [REDACTED]

The Department of State, Department of Defense and Office of the Director of National Intelligence have completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), *the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.*

During the course of review it was suggested a sentence on pg. 3 (see below)

[REDACTED]

B5

[REDACTED]

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted]@state.gov>
Sent: Monday, April 13, 2015 9:13 AM
To: PA Clearances Mailbox
Subject: PA Clearance Question

RELEASE IN PART
B6

B6

PA colleagues,

I am a foreign affairs analyst in the Bureau of Intelligence and Research covering cyber issues. I am currently on a one-year leave from INR serving as a visiting fellow at the Council on Foreign Relations (CFR). In that capacity I have written a report on cyber matters that will be published by the Council on Foreign Relations. I have submitted a draft to PA Clearances, the draft has been cleared of any classification issues and approved for publication by my office director (Judith Strotz), and I have sent a copy of the paper for review to the Office of the Coordinator for Cyber Issues (c.o. Theodore Nemeroff). Do you know if there is anything else I need to do prior to publication of the report? I want to be sure to follow all of the applicable rules and procedures.

Many thanks,

[redacted]

This email is UNCLASSIFIED.

RELEASE IN PART B6

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]>
Sent: Thursday, May 14, 2015 1:56 PM
To: PA Clearances Mailbox
Subject: Re: Publication Review - JUS COGENS AND SOVEREIGN IMMUNITY

B6

PA Team, thanks very much for the good news and, as ever, your hard work. I really do appreciate it. The article will include the required disclaimer.

Best wishes,

[redacted]

[redacted]
PhD (Cantab), MSc (Oxon)
JD (Candidate), Georgetown University Law Center
120 F Street NW
Washington, DC 20001
[redacted]

On Wed, May 13, 2015 at 8:23 AM, PA Clearances Mailbox <paclearances@state.gov> wrote:

Dear Mr. [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, April 14, 2015 7:14 AM
To: Rettig, Max L
Cc: PA Clearances Mailbox
Subject: L Publication Review - JUS COGENS AND SOVEREIGN IMMUNITY
Attachments: [redacted] docx

RELEASE IN PART B6

B6.

Max:

For L/DL and any other appropriate L review, attached is a 71-page article entitled "JUS COGENS AND SOVEREIGN IMMUNITY: RECONCILING DIVERGENCE IN CONTEMPORARY JURISPRUDENCE" written in private capacity by [redacted] a State Department employee and law clerk in L/CID.

We would appreciate hearing from you by **COB Tuesday, April 21**. Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [redacted] Law Clerk, L/CID
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: "The views expressed herein are the author's own and not necessarily those of the Department of State or the U.S. Government."
- Who else has cleared (your supervisor, others within the Department of State)?
 - Supervisor has cleared within L/CID with direction to seek further review of highlighted material by L/DL
- Where does the author intend to submit the article/manuscript for publication?
 - Georgetown Journal of International Law
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Wednesday, May 20, 2015 12:42 PM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox
Subject: RE: Review Status: Black Widow Down

B6

RELEASE IN PART
B6

Thanks to all

L now is saying it will work with me on a bio tag for jacket inclusion as well.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Wednesday, May 20, 2015 10:30 AM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Review Status: Black Widow Down

B6

Mr. [redacted]

B6

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this novel are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Monday, April 13, 2015 12:00 PM
To: PA Clearances Mailbox
Subject: FW: Fiction Manuscript for review for PA Clearances, previously sent to ISN/SCO
Attachments: [redacted].pdf

RELEASE IN PART B6

B6

Dear PA: Could you please clear this fiction novel manuscript for publication soonest? I now have cover artwork for it complete and am ready to proceed with e-publication as soon as possible. I submitted it to ISN/SCO in November 2014 and they discussed it with me, saying they would consult with you on how to proceed. Later, after a long time not hearing from anyone, I re-submitted it to new staff in ISN/SCO in December 2014. I have not since heard from them on its status.

From: [redacted]
Sent: Monday, December 01, 2014 3:14 PM
To: Postell, Sandra R
Subject: FW: Manuscript for review for ISN/SCO

Dear sandy: here is the manuscript as cleared by ISSO for consideration.

From: Sherman, Scott A
Sent: Friday, November 15, 2013 2:53 PM
To: [redacted]
Cc: ISSOSupport, Consolidated; Automated Remedy Notification
Subject: RE: Manuscript for review for ISN/SCO

Good afternoon

The file is clean and safe to send

Thank you
 Scott Sherman
 IT Security Analyst
 IRM/OPS/CSO/OSD/SM8
 DMI
 US Dept. of State - Contractor
 (202) 453-9123
shermansa@state.gov

From: [redacted]
Sent: Friday, November 15, 2013 12:27 PM
To: Sherman, Scott A
Subject: FW: Manuscript for review for ISN/SCO

Dear Scott: Per your request. Here is the file. Let me know if it is what you need. Thanks, [redacted] 7-3937

B6

From: Scott Reid [redacted]
Sent: Friday, November 15, 2013 6:32 AM

To:
Subject: Manuscript

B6

Hartmann, Lorraine (Lori)

From: [redacted]@state.gov>
Sent: Thursday, May 21, 2015 10:25 AM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox
Subject: RE: Review Status: Chinese Trainees in Japan

B6

RELEASE IN PART
B6

Thanks, especially for the useful edits!

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Thursday, May 21, 2015 9:40 AM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Review Status: Chinese Trainees in Japan

[redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that *the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.* Your paper is attached with suggested edits incorporated.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Friday, May 15, 2015 12:05 PM
To: Houston, Jane C
Cc: PA Clearances Mailbox
Subject: Clearance Request - Apichai Shipper
Attachments: [redacted] - Chinese Trainees in Japan.doc

B6

RELEASE IN PART B6

Follow Up Flag: Follow up
Flag Status: Completed

Hi Jane—

I attended a session on the clearance process at FSI earlier this week with PDAS Valerie Fowler and attorney advisors Maegan Conklin and Ruchi Gill as speakers. FSI/FO and PDAS Val encourage us to publish our work and hope to help us "push through" the clearance process. Maegan advised me to send my piece to PA for clearance before a peer-review journal (in this case, *Japanese Studies*) reviews it with an understanding that the piece may change as a result of the "revised and resubmit" process. Therefore, I want to try this out with this piece attached on Chinese trainees in Japan..

I normally would do this under my personal capacity but I think the FSI/FO wants institutional publicity in case the piece is accepted for publication. Therefore, I have put both Georgetown University and FSI as my affiliated institutions. It does not matter to me either way.

Please let me know if I need to do anything further.

Thanks—

[redacted]

[redacted]

Asia Regional Chair
 Foreign Service Institute
 U.S. Department of State
 Tel: (703) 302-6874
 [redacted]@state.gov

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, May 21, 2015 12:19 PM
To: Hamly, Elizabeth G
Cc: PA Clearances Mailbox
Subject: Review Status: Introductory Chapter: Language Teaching at FSI
Attachments: Language Teaching at FSI, May 2015.docx

RELEASE IN PART
B6

To [] and []

B6

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that *any contributions made by you to this book are yours alone and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.* Your paper is attached with suggested edits incorporated.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, May 21, 2015 7:56 AM
To: PA-SCG-DL
Cc: PA Clearances Mailbox
Subject: PA SCG: Publication Chapter Review -Language Teaching at FSI
Attachments: Language Teaching at FSI.docx

RELEASE IN PART B6

PA SCG

For your review, attached is a 30-page introductory chapter for a book on Foreign Language Education in America entitled "Language Teaching at FSI" written in private capacity by [] and [] of FSI.

B6

Please see their attached responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [] is a Division Director and [] is an Associate Dean, FSI
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: [] is a Division Director and [] is an Associate Dean, both with the US Department of State. The views expressed in this book are theirs alone, and do not necessarily reflect the views of the US Department of State or the US Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - Katherine Munchmeyer, Associate Dian, FSI/SLS
 - Leila Kamgar, FSI Communications and Outreach Coordinator
- Where does the author intend to submit the article/manuscript for publication?
 - This is the introductory chapter of the book Foreign Language Education in America, to be published by Palgrave Macmillan
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - Yes

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, June 05, 2015 3:59 PM
To: [REDACTED] (Ho Chi Minh)
Cc: PA Clearances Mailbox
Subject: Review Status: The Changing Face of Vietnamese Migration
Attachments: From Humanitarian to Economic.docx

RELEASE IN PART
B6

B6

Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4); *that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.* Your paper is attached with suggested edits included.

Thank you,
PA Clearances

RELEASE IN PART
B6**Hartmann, Lorraine (Lori)**

From: PA Clearances Mailbox
Sent: Tuesday, May 05, 2015 2:05 AM
To: EAP-P-Office-DL; PRM-Press-DL
Cc: PA Clearances Mailbox
Subject: EAP, PRM Publication Review - From Humanitarian to Economic: The Changing Face of Vietnamese Migration

EAP and PRM Colleagues:

For your review, attached is a 17-page article entitled "From Humanitarian to Economic: The Changing Face of Vietnamese Migration" written in an official capacity by an FSO in long-term training at FSI. B6

We would appreciate hearing from you by COB Friday, May 8. Please see attached Mr. responses to the standard questions for additional details.

- What is the author's first and last name, current job title and office symbol?
 - FSO, FSI
- Is the author writing in his/her private capacity or official capacity?
 - Official
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - No
- Who else has cleared (your supervisor, others within the Department of State)?
 - No one
- Where does the author intend to submit the article/manuscript for publication?
 - Migration Source online journal
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - Yes

Thank you,
 PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted]
Sent: Monday, June 01, 2015 2:55 PM
To: PA Clearances Mailbox
Cc: [redacted]
Subject: Re: Review Status: Gas to Power

RELEASE IN PART
B6

B6

Dear PA,

Thank you very much for moving this so quickly and for the suggested edits. I will amend the bio and ensure to update the disclaimer.

Best regards,

[redacted]

On Mon, Jun 1, 2015 at 2:32 PM, PA Clearances Mailbox <paclearances@state.gov> wrote:

Mr. [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

The way the biography is presented may create confusion to readers that you are writing in an official capacity because it focuses a bit too much on what you have performed in your official capacity as a Foreign Service Officer. We recommend for the statement to be a bit generic. For example, you could say I worked as a FSO for X year focusing on XXX [broad issue(s)]. And include other information that may be relevant, such as previous positions and educational background. Your paper is attached with highlighted suggested edits for your consideration.

Thank you,

PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] GE Corporate; consultant) <[redacted]>
Sent: Wednesday, May 27, 2015 10:00 AM
To: PA Clearances Mailbox
Cc: [redacted]
Subject: Urgent Clearance Request External Publishing
Attachments: Gas To Power WP 5-27-2015.docx

RELEASE IN PART
B6

B6

Follow Up Flag: Follow up
Flag Status: Completed

Dear Public Affairs,

I am an economic officer on detail assignment to General Electric (GE) for five months. I have worked on several projects during my time here, but it looks like one of the papers that I coauthored has been selected to be published and presented at the World Gas Conference next week, June 1. I was informed by the FSI Economics Course that all publications must be cleared by the Department.

This paper does not have any State Department specific information in it so hopefully that will make it an easy item to clear. Please let me know if you have any questions. I am sending the latest draft since the publicized version is still going through that process.

Best regards,

[redacted]
U.S. State Department Fellow
Global Government Affairs & Policy | GE
(T) +1.202.637.4159; (M) +[redacted]

Hartmann, Lorraine (Lori)

From: Gill, Ruchi Gugliani <Gillrg@state.gov>
Sent: Tuesday, June 02, 2015 4:03 PM
To: PA Clearances Mailbox
Subject: RE: PA Clearance Status for - (*Travel and Mental Health*)

RELEASE IN PART
B5, B6

[REDACTED] L/PD B5
does not need to be looped into routine questions like this one, but if you receive a proposal for a modified disclaimer, we'd be happy to assist with those.

SBU

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Tuesday, June 02, 2015 12:59 PM
To: Hamly, Elizabeth G
Cc: PA Clearances Mailbox; Gill, Ruchi Gugliani
Subject: RE: PA Clearance Status for - (*Travel and Mental Health*)

Elizabeth, given that the topic is on a matter of official concern and it's related to the employee's official duties, we'd recommend that the author includes the disclaimer. Readers can conduct a search and find that the employee is affiliated with the Department. Also including L/PD if we missed anything.

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
[REDACTED] Senior Advisor for Resilience
- Is the author writing in his/her private capacity or official capacity?
Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- **Does the author intend to use a disclaimer? No**
- Who else has cleared (your supervisor, others within the Department of State)? Ray Leki (Director, FSI Transition Center)
- Where does the author intend to submit the article/manuscript for publication? Travel and Tropica Medicine Manual, Ed 5
- **Does the paper relate in any way to the author's current duties or duties he/she had over the last year? Yes, in that it references areas in which I'm a subject matter expert for the Department.**

From: Hamly, Elizabeth G [mailto:HamlyEG@state.gov]
Sent: Tuesday, June 02, 2015 8:54 AM
To: PA Clearances Mailbox
Subject: FW: PA Clearance Status for - (*Travel and Mental Health*)

See [REDACTED] comment below - thoughts?

Thanks –

Beth

Elizabeth (Beth) G Hamly
Management and Program Analyst
Office of the Executive Director
Foreign Service Institute

(ph) 703-302-6731 (fax) 703-302-7227

This e-mail is unclassified based on definitions provided in EO13526

This email is UNCLASSIFIED.

From: [REDACTED]
Sent: Tuesday, June 02, 2015 8:51 AM
To: Hamly, Elizabeth G
Subject: RE: PA Clearance Status for - (*Travel and Mental Health*)

B6

I'm happy to include the disclaimer, but I'm nowhere in the paper identified as being with the Department of State (nor do I refer to the Department of State), so I would suggest I not include it. Such a disclaimer will actually draw attention to the fact that I'm with State and will be confusing to the reader who will not know why it's there. What do you think?

From: Hamly, Elizabeth G
Sent: Tuesday, June 02, 2015 7:15 AM
To: [REDACTED]
Subject: FW: PA Clearance Status for - (*Travel and Mental Health*)

See the clearance with the disclaimer notice below.

Thanks much –

Beth

Elizabeth (Beth) G Hamly
Management and Program Analyst
Office of the Executive Director
Foreign Service Institute

(ph) 703-302-6731 (fax) 703-302-7227

This e-mail is unclassified based on definitions provided in EO13526

This email is UNCLASSIFIED.

From: PA Clearances Group [<mailto:paclearances@state.gov>]
Sent: Monday, June 01, 2015 3:56 PM
To: Hamly, Elizabeth G
Cc: Hamly, Elizabeth G
Subject: Clearance Status for - (*Travel and Mental Health*)

Clearance Request Resolution

Clearance Request Title: (Travel and Mental Health)

Clearance Request Submitter: Hamly, Elizabeth

This Request has been Approved

Approved with Caveat

PA Clearances Mailbox

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that the author may proceed provided that the author includes the disclaimer required for personal capacity public communications (see 3 FAM 4172-1, 4) that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government as it is a requirement.

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, May 29, 2015 2:58 PM
To: Albrecht, Karl P
Cc: PA Clearances Mailbox
Subject: Publication Review: Travel and Mental Health
Attachments: OT-14711136.docx

RELEASE IN PART B6

MED Colleagues:

For your review, attached is a 14-page article entitled "Travel and Mental Health" written in a private capacity by State Department employee [redacted]. We would appreciate hearing from you by COB Tuesday, June 2. Please see Mr. [redacted] responses to the standard questions below for additional details. B6

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
[redacted] Senior Advisor for Resilience
- Is the author writing in his/her private capacity or official capacity?
Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? No (however, PA will provide the author with the standard disclaimer language)
- Who else has cleared (your supervisor, others within the Department of State)? Ray Leki (Director, FSI Transition Center)
- Where does the author intend to submit the article/manuscript for publication? Travel and Tropica Medicine Manual, Ed 5
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? Yes, in that it references areas in which I'm a subject matter expert for the Department.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
 PA Clearances

RELEASE IN PART
B5,B6

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, June 18, 2015 3:06 AM
To: Rathke, Jeffrey D
Cc: PA Clearances Mailbox
Subject: RE: Jen, Jeff: Publication Review - Cyber's Other Attribution Problem

Jeff:

Just to close the loop, Mr. [REDACTED] has advised that he plans to change the line to have it note that the USG sources were B6 anonymous.

Thank you.

From: Rathke, Jeffrey D [mailto:RathkeJ@state.gov]
Sent: Wednesday, June 10, 2015 2:22 PM
To: PA Clearances Mailbox; Hall Godfrey, Jennifer J
Cc: PA Clearances Mailbox
Subject: RE: Jen, Jeff: Publication Review - Cyber's Other Attribution Problem

I am fine with this, with the exception of one aspect. [REDACTED]

B5

JR

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Wednesday, June 10, 2015 9:50 AM
To: Hall Godfrey, Jennifer J; Rathke, Jeffrey D
Cc: PA Clearances Mailbox
Subject: Jen, Jeff: Publication Review - Cyber's Other Attribution Problem

Jen, Jeff:

Attached is a 2-page article written in a private capacity by INR CYBER employee and international affairs fellow on the Council of Foreign Relations is ready for your review.

It has been cleared by the following people:

L:J Davis
S/CCI:C Stafford
INR/CYBER: [redacted]
IO/Press: M Mattson
EUR/RUS: KKavalec
EUR/Press: NNovak
NEA:M Lavallee
EAP/P:M Quinlan

B6

Thank you.

From: PA Clearances Mailbox
Sent: Monday, June 08, 2015 2:54 AM
To: Strotz, Judith A; Stafford, Craig A; Rettig, Max L; IO-Press-DL; EUR-Press; EAP-P-Office-DL; NEA-Press
Cc: PA Clearances Mailbox
Subject: Publication Review - Cyber's Other Attribution Problem

Colleagues:

For your review, attached is a 2-page article entitled "Cyber's Other Attribution Problem" written in private capacity by [redacted] a State Department employee who is an international affairs fellow at the Council on Foreign Relations and a foreign affairs analyst in the Bureau of Intelligence and Research. B6

We would appreciate hearing from you by COB Tuesday, June 9. Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [redacted] is an international affairs fellow at the Council on Foreign Relations and a foreign affairs analyst in the Bureau of Intelligence and Research, INR/CYBER
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: [redacted] is an international affairs fellow at the Council on Foreign Relations and a foreign affairs analyst in the Bureau of Intelligence and Research at the U.S. Department of State. The views expressed in this paper are those of the author and do not necessarily represent those of the Department of State or the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No One
- Where does the author intend to submit the article/manuscript for publication?
 - Foreign Policy.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

o Yes

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, June 08, 2015 2:54 AM
To: Strotz, Judith A; Stafford, Craig A; Rettig, Max L; IO-Press-DL; EUR-Press; EAP-P-Office-DL; NEA-Press
Cc: PA Clearances Mailbox
Subject: Publication Review - Cyber's Other Attribution Problem
Attachments: Cyber Law.docx

RELEASE IN PART
B6

B6

Colleagues:

For your review, attached is a 2-page article entitled "Cyber's Other Attribution Problem" written in private capacity by a State Department employee who is an international affairs fellow at the Council on Foreign Relations and a foreign affairs analyst in the Bureau of Intelligence and Research.

We would appreciate hearing from you by **COB Tuesday, June 9**. Please see attached Mr. responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - is an international affairs fellow at the Council on Foreign Relations and a foreign affairs analyst in the Bureau of Intelligence and Research, INR/CYBER
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: Benjamin Brake is an international affairs fellow at the Council on Foreign Relations and a foreign affairs analyst in the Bureau of Intelligence and Research at the U.S. Department of State. The views expressed in this paper are those of the author and do not necessarily represent those of the Department of State or the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No One
- Where does the author intend to submit the article/manuscript for publication?
 - Foreign Policy
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - Yes

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);

3. . . . If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Wednesday, June 17, 2015 1:41 PM
To: PA Clearances Mailbox
Subject: RE: Chapter Review Status: Lebanese Women's Rights

B6

RELEASE IN PART
B6

Thank you.

[redacted]
[redacted]
[redacted]@state.gov

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Wednesday, June 17, 2015 12:09 PM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Chapter Review Status: Lebanese Women's Rights

Ms. [redacted]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that any contributions made by you to this book are yours alone and do not necessarily represent the views of the U.S. Department of State or the U.S. Government. Your paper is attached with suggested edits incorporated.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, May 14, 2015 3:30 PM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Co-editor: Lebanese Women's Rights

RELEASE IN PART
B6

B6

Ms. [REDACTED]

We received the introductory chapter of the book *In Line with the Dine* that was submitted to the PA Clearance database for review. To clarify, was the introductory chapter written by yourself or a contributor? Are you inquiring suggested edits for this chapter? If so, please provide the chapter in a word document format so we may include suggested Tracked Changes.

Also, have S/GWI, ICC (your current assignment), INR/NESA, and NEA/ELA/Lebanon responded with their edits and completed review? We'd like to follow up with all reviewers, please provide your point of contact.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, July 13, 2015 12:01 PM
To: Hamly, Elizabeth G
Cc: PA Clearances Mailbox
Subject: Review Status: Psychiatric Disorders after Terrorist Bombings Among Rescue Workers and Bombing Survivors in Nairobi and Rescue Workers in Oklahoma City
Attachments: article, July 2015.docx

RELEASE IN PART
B6

B6

Elizabeth,

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that the author may proceed, provided that the author includes the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government. The paper is attached with suggested edits incorporated.

Thank you,
PA Clearances

RELEASE IN PART B6

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, July 13, 2015 8:24 AM
To: Albrecht, Karl P
Cc: PA Clearances Mailbox
Subject: Publication Review - Psychiatric Disorders after Terrorist Bombings Among Rescue Workers and Bombing Survivors in Nairobi and Rescue Workers in Oklahoma City
Attachments: .docx

B6

MED Colleagues:

For your review, attached is a 14-page article entitled "Psychiatric Disorders after Terrorist Bombings Among Rescue Workers and Bombing Survivors in Nairobi and Rescue Workers in Oklahoma City" written in a private capacity by State Department employee . We would appreciate hearing from you by **COB Wednesday, July 15**. Please see Mr. responses to the standard questions below for additional details..

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- **What is the author's first and last name, current job title and office symbol?**
Senior Advisor for Resilience
- **Is the author writing in his/her private capacity or official capacity?** Private
- **Will the author receive remuneration outside of his/her Department of State salary for the article?** No
- **Does the author intend to use a disclaimer?** Yes. The views expressed are the author's own views and not necessarily those of the Department of State or the U.S. Government.
- **Who else has cleared (your supervisor, others within the Department of State)?** Ray Leki (Director, FSI Transition Center)
- **Where does the author intend to submit the article/manuscript for publication?** Social Psychiatry & Psychiatric Epidemiology
- **Does the paper relate in any way to the author's current duties or duties he/she had over the last year?** No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, July 24, 2015 4:23 PM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Review Status: Ghosts of the Non-Aligned Past: Debate on U.S. record on NPT's Article VI
Attachments: Ghosts of the Non-Aligned Pasts-[REDACTED].docx

RELEASE IN PART
B6

B6

Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4). The paper is attached with suggested edits incorporated for your consideration. Please let us know if you have any questions.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: Adams, Martha C <AdamsMC2@state.gov>
Sent: Monday, July 20, 2015 5:40 PM
To: PA Clearances Mailbox
Cc: [REDACTED]
Subject: PA Clearance - professional article prior to employment at DOS
Attachments: Ghosts of the Non-Aligned Past [REDACTED].docx

B6

Good evening all -

[REDACTED] AVC Special Assistant, copied here, called me this afternoon with some questions regarding PA Clearance of a document written in his personal capacity prior to his employment at State Department.

He wrote an article about one year ago in his previous professional capacity as a Hale Fellow at Ploughshares. This 6,000 word article is on the Nuclear Non-proliferation Treaty Article 6 and is of a historical nature.

He was contacted and told they were decided to publish this article. He is unsure how to proceed. This article was not cleared through the building or the 7th floor of course. I advised we contact PA clearances for recommendation on what if anything he needed to submit to the PA Clearances Database.

Thank you for your assistance!

Best,
Martha

This email is UNCLASSIFIED.

From: [REDACTED]
Sent: Monday, July 20, 2015 2:04 PM
To: Adams, Martha C
Subject: FW: CSIS Author Agreement

Here's the author agreement (from this time last year) and the attached paper.

Cheers,

[REDACTED]

From: [REDACTED] [mailto:[REDACTED]]
Sent: Monday, July 20, 2015 4:56 PM
To: [REDACTED]
Subject: Fwd: CSIS Author Agreement

----- Forwarded message -----

From: [REDACTED] <[REDACTED]>
Date: Thu, Jul 24, 2014 at 5:17 PM

1

Subject: Re: CSIS Author Agreement

To: Sarah Minot [REDACTED]

B6

Great, I faxed it back to you because I'm old school like that.

Attached you'll find my final paper. I assume that there will be an additional copy-editing phase? I ask because I haven't formally gotten organizational clearance for it. If our communication's shop throws up its arms for whatever reason, could I potentially use my previous affiliation "[REDACTED]" is a recent graduate of the Fletcher School for Law and Diplomacy?" B6

Cheers,

[REDACTED]

On Thu, Jul 24, 2014 at 3:08 PM, Sarah Minot [REDACTED] wrote:

B6

Hi [REDACTED]

I have attached your CSIS author agreement that we will need you to sign and send back for the Nuclear Scholars Journal. If you can get it back to me in the two weeks that would be great. Please let me know if you have any questions about it.

Also we are still looking for presentations for the PONI Fall Conference on August 27-28 at the AFNWC in Albuquerque, NM. If you are available and would like to present we would definitely appreciate your participation. Let me know if you are interested.

Best,

Sarah

Sarah Minot

Program Coordinator and Research Associate | Project on Nuclear Issues

CSIS | Center for Strategic and International Studies

1616 Rhode Island Avenue NW, Washington, DC 20036

(202) 741-3878 | [REDACTED]

B6

B6



Master of Arts in Law and Diplomacy Candidate
Class of 2013

The Fletcher School | Tufts University
Ph +1.850.502.7979



Master of Arts in Law and Diplomacy Candidate
Class of 2013

The Fletcher School | Tufts University
Ph +1.850.502.7979

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, July 29, 2015 2:04 PM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: RE: Clearance for article submission to Keesing Journal of Documents & Identity
Attachments: halftones full text for clearance (3).docx

RELEASE IN PART
B6

B6

Hi [REDACTED]

PA/FO Arnaldo Arbesu clears attached with one suggested edit in track changes. One minor concern he mentioned about this article is that it might alert potential counterfeiters about the techniques used to detect such counterfeits.

If you have questions, please let us know.

Thank you,
PA Clearances

From: [REDACTED] [mailto:[REDACTED]@state.gov]
Sent: Tuesday, July 28, 2015 3:32 PM
To: PA Clearances Mailbox
Subject: FW: Clearance for article submission to Keesing Journal of Documents & Identity

Hi PA Clearances,

Is additional information needed for clearance of this package?

[REDACTED]

From: [REDACTED]
Sent: Monday, July 27, 2015 2:08 PM
To: 'paclearances@state.gov'
Subject: Clearance for article submission to Keesing Journal of Documents & Identity

Hello PA Clearances:

Writing to address the denial of this submission through PA Clearances website:

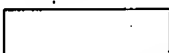
Reason for Denial - Statement from PA:

~~Denial~~ e-mail this inquiry to paclearances@state.gov and provide a copy of the full manuscript for review. If this is conducted in an official capacity, a copy of the clearance page should be ~~provided~~ reflecting who reviewed and cleared for CA/P et al. Thank you

The full manuscript was already provided for review in the original submission. For your convenience, I have combined the sections into a single document (attached). Alyssa Zalenski and Scott Boswell cleared for CA/P.

Please advise if additional information is needed. The due date for this article to be submitted to the Journal is July 30.

Thank you,



Supervisory Physical Scientist
CA Counterfeit Deterrence Laboratory

B6

FO

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Thursday, August 06, 2015 2:54 PM
To: PA Clearances Mailbox
Subject: RE: Review Status: Challenges to Regional Identity in Southeast Asia

RELEASE IN PART
B6

B6

Thanks!

[redacted]
Foreign Affairs Political Analyst for South East Asia
Bureau of Intelligence and Research (INR)
Harry S. Truman Building, #2912
2201 C St NW
Washington, DC 20520
(202) 647-1339

This email is UNCLASSIFIED.

From: PA Clearances Mailbox (<mailto:paclearances@state.gov>)
Sent: Thursday, August 06, 2015 2:50 PM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Review Status: Challenges to Regional Identity in Southeast Asia

Mr. [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that the author may proceed, provided that the author includes the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government.

Please let us know if you have any questions.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Friday, July 31, 2015 9:18 AM
To: OES-PA-DG; EUR-Press; EAP-Press
Cc: PA Clearances Mailbox
Subject: Publication Review: Challenges to Regional Identity in Southeast Asia
Attachments: OT-20911316.docx

RELEASE IN PART B6

Dear Colleagues:

For your review, attached is a 28-page article entitled "A People-Centered ASEAN in Search of Asean-Centered People Challenges to Regional Identity in Southeast Asia" written in private capacity by State Department employee [redacted] B6 [redacted] We would appreciate hearing from you by COB Wednesday, August 5.

Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
o [redacted] Foreign Affairs Political Analyst INR/EAP/SEA
- Is the author writing in his/her private capacity or official capacity?
o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
o Yes: The views expressed in this paper are solely those of the author and do not necessarily represent official positions of the Bureau of Intelligence and Research, the Department of State, or the United States Government.
- Who else has cleared (your supervisor, others within the Department of State)?
o My supervisor (Division Chief [redacted]) and then office director (Vic Raphael, now DAS) cleared the abstract (but not the final paper).
- Where does the author intend to submit the article/manuscript for publication?
o The author will present the paper at an academic conference "2015 Sizihwan International Conference on Asia-Pacific Studies" at National Sun Yat-sen University, Kaohsiung, Taiwan (<http://icaps.nsysu.edu.tw/files/14-1122-110115,r335-1.php?Lang=zh-tw>). The paper will not be published but will be available for conference participants.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
o The subject matter is related as the author's current duties include analysis of political trends in Southeast Asia.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a written detailed explanation from your respective Front Offices.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Thursday, August 20, 2015 2:21 PM
To:
Cc: PA Reviews Mailbox
Subject: Review Status: The Oil Curse: A Remedial Role for the Oil Industry

RELEASE IN PART
B6

B6

Ms.

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that the author may proceed, provided that the author includes the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that *the views expressed in this article are those of the author and not necessarily those of the U.S. Government.*

Please let us know if you have questions.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: Frantz, Douglas <FrantzD@state.gov>
Sent: Tuesday, July 14, 2015 1:43 PM
To: PA Clearances Mailbox
Cc: Rosen, Rebecca T
Subject: FW: Carnegie Oil Industry Paper submitted for State Clearance
Attachments: 2015-7-14 Oil Paper (submitted for State Clearance).docx

RELEASE IN PART
B6

Follow Up Flag: Follow up
Flag Status: Completed

Tameka and Becca

Can you please move this through quickly please? Thanks.

Doug

From: [REDACTED]
Sent: Tuesday, July 14, 2015 1:08 PM
To: Frantz, Douglas
Cc: [REDACTED]; [REDACTED]
Subject: Carnegie Oil Industry Paper submitted for State Clearance

B6

Dear Doug,

First, congrats on the Iran deal. Fantastic news!!

Second, as I mentioned when I ran into you, I have drafted a paper that Carnegie plans to publish. A draft is attached for State clearance. The paper makes the case that it is in the interest of the oil industry to take collective action to mitigate oil curse symptoms. Of course, I will use the disclaimer that this is my opinion, not necessarily the view of the State Department.

As I mentioned, Bill Burns suggested that I send it to you to make sure that it gets cleared as quickly as possible (please let me know what that time frame looks like?) This is a Carnegie project; therefore, I have not used any State materials nor consulted with anyone within the government. I have consulted with Carnegie experts, EITI, TI, Global Witness, IFC, NRG, and even the oil industry representatives, who have all generally supported the approach.

Please let me know if you have any questions, or need further information. Thanks for your assistance.

All my best,

[REDACTED]

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Monday, May 18, 2015 9:10 AM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Publication Review
Attachments: [REDACTED] - US-China New World Order.docx

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4172.1-4), that the views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. Government. Your paper is attached with suggested edits for your consideration included.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: [redacted]@state.gov>
Sent: Monday, May 11, 2015 8:39 AM
To: PA Clearances Mailbox
Cc: PA Clearances Mailbox
Subject: RE: Publication Review

B6

RELEASE IN PART
B6

Answers below.

From: PA Clearances Mailbox [mailto:paclearances@state.gov]
Sent: Monday, May 11, 2015 7:41 AM
To: [redacted]
Cc: PA Clearances Mailbox
Subject: Publication Review

Dear Mr. [redacted]

We just received your opinion piece via the PA Clearances Database.

Please provide the following information so we may begin the review process.

Thank you.

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?

[redacted]

- Is the author writing in his/her private capacity or official capacity?

Private capacity

- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.

Will not receive any remuneration.

- Does the author intend to use a disclaimer? If so, how will the disclaimer read?

Yes. The disclaimer will read: *The views expressed are the author's own and not necessarily those of the U.S. Department of State or the U.S. government.*

- Who else has cleared (your supervisor, others within the Department of State)?

EAP

- Where does the author intend to submit the article/manuscript for publication?

Likely McClatchy or the Daily Beast on-line

- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

Yes. It relates to China and I am studying Mandarin en route to a 2016 assignment in Hong Kong.

Hartmann, Lorraine (Lori)

From: Rosen, Rebecca T <RosenRT2@state.gov>
Sent: Monday, September 14, 2015 8:46 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: Re: Becca [] Articles Update?

RELEASE IN PART
B6

B6

Hi Tameka, I'm out for the Jewish holidays today and tomorrow so happy to give you a better readout then but this issue was resolved Friday. Thanks!

Becca

From: PA Reviews Mailbox
Sent: Monday, September 14, 2015 7:01 AM
To: Rosen, Rebecca T
Cc: PA Reviews Mailbox
Subject: Becca [] Articles Update?

Hi Becca:

Just checking to see if you had a status update on the [] articles, as we didn't see anything come through the PA Reviews Inbox.

Thanks

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Tuesday, May 19, 2015 2:48 AM
To: CT_PublicAffairs; DRL-Press; Rettig, Max L; Coughlin, Shaun
Cc: PA Clearances Mailbox
Subject: Publication Review: Enhanced Interrogation, the Report on Rendition, Detention, and Interrogation, and the Return of Kriegsraison
Attachments: [redacted].docx

RELEASE IN PART B6

B6

Dear CT, GCJ, DRL and L Colleagues:

For your review, attached is a 13-page article entitled "Enhanced Interrogation, the Report on Rendition, Detention, and Interrogation, and the Return of Kriegsraison" written in private capacity by [redacted] a State Department employee who serves as an adviser to the Special Envoy for Guantanamo Closure.

We would appreciate hearing from you by **COB Tuesday, May 26**. Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [redacted] Adviser to the Special Envoy for Guantanamo Closure, S/GC
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: J.D. with honors, Emory University School of Law, 2011. Editor-in-Chief, Emory International Law Review, 2010-2011. M.A., The George Washington University Elliott School of International Affairs, 2007. Presidential Management Fellow 2012-2014. Adviser to the Special Envoy for Guantanamo Closure at the U.S. Department of State. The opinions and views expressed are those of the author alone. They do not represent the views of the U.S. Department of State or the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - My supervisor, Charlie Trumbull, Deputy to the Special Envoy for Guantanamo Closure, S/GC Press Officer Ian Moss
- Where does the author intend to submit the article/manuscript for publication?
 - The Emory International Law Review
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;

2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, July 22, 2015 2:58 PM
To: [REDACTED]
Cc: PA Clearances Mailbox
Subject: Publication Review: Antichrist The Beast Revealed

RELEASE IN PART
B6

B6

Mr. [REDACTED]

We received the proof copy of your book entitled "Antichrist The Beast Revealed". Your piece is not on a matter of official concern and therefore does not require PA review. Per 3 FAM 4172.1-4, a disclaimer is only necessary if your communication is on a matter of official concern.

Please let us know if you have any questions.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: Glassman, Carolyn B <GlassmanCB@state.gov>
Sent: Thursday, February 26, 2015 8:08 AM
To: PA Clearances Mailbox
Subject: RE: Book on Terrorism

RELEASE IN PART
B5, B6

This is OBE. Thanks for following up.

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]
Sent: Thursday, February 26, 2015 7:32 AM
To: Glassman, Carolyn B
Cc: PA Clearances Mailbox
Subject: FW: Book on Terrorism

Carolyn:

We are checking on the status of this request, as we have not heard anything since the end of October. Please let us know if this request is now OBE or still under consideration.

Thank you.

From: PA Clearances Mailbox
Sent: Wednesday, December 10, 2014 2:04 AM
To: PA Clearances Mailbox; [REDACTED]
Cc: Glassman, Carolyn B; Maddox, Justin D.
Subject: RE: Book on Terrorism

B6

Good Morning:

I am checking on the status of this request.

Please advise if this is still under consideration.

Thank you.

PA Clearances

From: PA Clearances Mailbox
Sent: Wednesday, October 29, 2014 2:49 PM
To: [REDACTED]
Cc: Glassman, Carolyn B; Maddox, Justin D.; PA Clearances Mailbox
Subject: RE: Book on Terrorism

Mr. [REDACTED]

There are a couple of outstanding issues that were being worked by L/Ethics re this kind of endorsement. Guidance from L/Ethics is as follows:

B5

Would you be willing to proceed in your personal capacity without referring to your affiliation with the Department (in which case a disclaimer would also not be required)? If so, could you please respond with your views on the two standards set forth above? We will then quickly obtain views from L/Ethics as to whether the standards are met.

Thank you,
PA Clearances

From: [redacted] [mailto:[redacted]@state.gov]
Sent: Wednesday, October 15, 2014 8:28 AM
To: PA Clearances Mailbox
Cc: Glassman, Carolyn B; Maddox, Justin D.
Subject: FW: Book on Terrorism

B6

Glad to endorse book in my personal capacity. I can add:

(My views are my personal ones, not those of the U.S. Department of State or the U.S. government.)

Is that OK?

Thanks.

Lead for Academic and NGO Information and Europe
Center for Strategic Counterterrorism Communications (CSCC)
U.S. Department of State, HST room 2429
202-736-7639
[redacted]@state.gov

This email is UNCLASSIFIED.

From: Glassman, Carolyn B
Sent: Wednesday, October 15, 2014 8:15 AM
To: [redacted]
Subject: Book on Terrorism

For your follow-up.

This email is UNCLASSIFIED.

From: PA Clearances Mailbox [<mailto:paclearances@state.gov>]

Sent: Wednesday, October 15, 2014 5:04 AM

To: Glassman, Carolyn B; PA Clearances Mailbox

Subject: RE: Book on Terrorism

Good Morning Carolyn:

We consulted with our colleagues in L and were advised that typically, endorsements of this kind are only done in a personal capacity.

Would Mr. [REDACTED] consider a personal capacity endorsement? He could still use his title as one of several biographical details, but he would also have to use a disclaimer stating that the views presented are his own and not necessarily those of the Department or US Government. B6

Please let us know.

Thank you

PA Clearances

Hartmann, Lorraine (Lori)

From: Fowler, Valerie C <FowlerVC@state.gov>
Sent: Wednesday, February 18, 2015 4:05 PM
To: PA Clearances Mailbox; Joyce, Jenifer
Cc: McManus, Matthew T; Finn, John W; Gill, Ruchi Gugliani
Subject: FW: Gentle Reminder ENR: Publication Review: Eastern Mediterranean Gas and the Israel-Egypt Partnership
Attachments: 20150127_ENRG_Hochstein_edits [REDACTED] Eastern_Med_Gas_Israel-Egypt_Article.docx B6

Follow Up Flag: Follow up
Flag Status: Flagged

RELEASE IN PART
B6

To close the loop, I connected with the author and talked with him about the concerns (tracked edits and comments). He took them on board. He's not sure if he will re-submit for clearance, or drop the article given the time that has passed (the subject matter is not as fresh).

This email is UNCLASSIFIED.

From: Joyce, Jenifer [mailto:joycej@state.gov]
Sent: Wednesday, January 28, 2015 9:41 AM
To: PA Clearances Mailbox
Cc: McManus, Matthew T; Finn, John W
Subject: FW: Gentle Reminder ENR: Publication Review: Eastern Mediterranean Gas and the Israel-Egypt Partnership

With apologies for the delay, Special Envoy Amos Hochstein has cleared this. Please note, his clearance is contingent on inclusion of the edits attached in tracked changes.

Thank you,

Jenifer Joyce
Press Officer
Bureau of Energy Resources

Hartmann, Lorraine (Lori)

From: PA Clearances Mailbox
Sent: Wednesday, January 21, 2015 4:02 PM
To: ENR-PD-Clearances; NEA-Press-DL
Cc: PA Clearances Mailbox
Subject: Publication Review: Eastern Mediterranean Gas and the Israel-Egypt Partnership
Attachments: OT-2114054.docx

RELEASE IN PART
B6

NEA and ENR Colleagues:

For your review, attached is a 3-page article entitled "Eastern Mediterranean Gas and the Israel-Egypt Partnership," written in private capacity by [REDACTED] a State Department employee who is on detail as a Senior Fellow at the B6 German Marshall Fund of the United States.

We would appreciate hearing from you by **Noon Friday, January 23**. Please see attached Mr. [REDACTED] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol? [REDACTED] Senior Fellow, German Marshall Fund of the United States
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes. The author's views expressed in this GMF publication are the author's views alone and are not those of GMF, the Department of State, or the U.S. Government.
- Where does the author intend to submit the article/manuscript for publication? German Marshall Fund website
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Clearances

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Wednesday, October 21, 2015 2:33 PM
To: EUR-Press
Cc: PA Reviews Mailbox
Subject: Publication Review: As Elections Loom, Saakashvili Readies Reform Offensive in Odessa

RELEASE IN PART B6

Dear EUR Colleagues:

For your review, attached is a 3-p article "As Elections Loom, Saakashvili Readies Reform Offensive in Odessa", written in private capacity by State Department employee [redacted] currently on detail at US Institute of Peace. We would appreciate hearing from you by **OOB Thursday, October 21**. Please see Mr. [redacted] responses to the standard questions for additional details below. B6

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
☐ [redacted] HR/CDA/SL
- Is the author writing in his/her private capacity or official capacity?
☐ Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
☐ No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
☐ Yes. Standard disclaimer. Views expressed are those of the author and do not necessarily reflect views of the U.S. Government.
- Where does the author intend to submit the article/manuscript for publication?
☐ FP Peace Channel. Greatly appreciate an urgent review. This piece only makes sense if it appears by this Friday.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
☐ No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a written, detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]>
Sent: Thursday, November 12, 2015 2:11 PM
To: PA Reviews Mailbox
Subject: Re: Request for status update

RELEASE IN PART
B6

B6

Follow Up Flag: Follow up
Flag Status: Completed

Thanks!

Sent from my iPhone

On Nov 12, 2015, at 2:09 PM, PA Reviews Mailbox <pareviews@state.gov> wrote:

Mr. [redacted]

Many apologies for the delay- we just heard from our final reviewer today.

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

From: [redacted] ([http://redirect.state.sbu/?url=mailto:\[redacted\]](http://redirect.state.sbu/?url=mailto:[redacted]))
Sent: Thursday, November 12, 2015 10:46 AM
To: PA Reviews Mailbox
Subject: Request for status update

Dear PA Reviews,

I'd appreciate it if you could give me a sense of timing for completion of review of my latest piece -- on Ukraine's investment climate. I had requested clearance by last Monday. Pls advise. Thanks,

[redacted]

Sent from my iPhone

On Oct 22, 2015, at 12:32 PM, [redacted] > wrote:

B6

Thanks very much for expediting. PA is doing a super job.

On Thu, Oct 22, 2015 at 9:47 AM, PA Reviews Mailbox <pareviews@state.gov> wrote:

Mr.

B6

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, November 09, 2015 6:52 AM
To: EUR-Press; EB-A-PD-DL
Cc: PA Reviews Mailbox
Subject: EUR, EB Publication Review - Investment Climate: Getting Ukraine to the Tipping Point

RELEASE IN PART B6

Dear EUR and EB Colleagues:

For your review, attached is a 2-page article entitled "Investment Climate: Getting Ukraine to the Tipping Point", written in private capacity by State Department employee [] currently on detail at US Institute of Peace. The article is co-authored with former U.S. Amb. To Ukraine William Taylor, who is now an executive VP at USIP. We would appreciate hearing from you by COB Tuesday, November 10. Please see Mr. []'s responses to the standard questions for additional details below.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
☐ [] HR/CDA/SL
- Is the author writing in his/her private capacity or official capacity?
☐ Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
☐ No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
☐ Yes. Standard disclaimer. Views expressed are those of the author and do not necessarily reflect views of the U.S. Government.
- Where does the author intend to submit the article/manuscript for publication?
☐ Novoye Vremya and online magazine. Will be co-authored with Ambassador William Taylor who is a former Amb to Ukraine now Exec VP at USIP.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
☐ No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a written, detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Tuesday, October 13, 2015 6:15 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: Publication Review - Ukraine's Resilience in Face of IDP Crisis

RELEASE IN PART
B6

B6

Mr. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, October 08, 2015 2:42 AM
To: EUR-Press; PRM-Press-DL
Cc: PA Reviews Mailbox
Subject: EUR, PRM Publication Review - Ukraine's Resilience in Face of IDP Crisis

RELEASE IN PART B6

Dear EUR and PRM Colleagues:

For your review, attached is a 2-page article entitled "Ukraine's Resilience in Face of IDP Crisis", written in private capacity by State Department employee [redacted] currently on detail at US Institute of Peace. We would appreciate B6
 hearing from you by **COB Friday, October 9**. Please see Mr. [redacted] responses to the standard questions for additional details below.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 o [redacted] HR/CDA/SL
- Is the author writing in his/her private capacity or official capacity?
 o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 o Yes. Standard disclaimer. Views expressed are those of the author and do not necessarily reflect views of the DOS.
- Where does the author intend to submit the article/manuscript for publication?
 o USIP Website.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 o No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a written, detailed explanation.

Thank you,
 PA Reviews

Hartmann, Lorraine (Lori)

From: Korman, Samuel I. (Shai) <kormansi2@state.gov>
Sent: Tuesday, October 13, 2015 3:55 PM
To: PA Reviews Mailbox
Cc: Paik, Connie Y; (U) Paik, Connie Y; PA Reviews Mailbox
Subject: Re: Shai: Publication Review - IVLP at 75

RELEASE IN PART
B5, B6

Thanks

From: PA Reviews Mailbox
Sent: Tuesday, October 13, 2015 3:41 PM
To: Korman, Samuel I. (Shai)
Cc: Paik, Connie Y; (U) Paik, Connie Y; PA Reviews Mailbox
Subject: RE: Shai: Publication Review - IVLP at 75

Hi Shai -Helaena White clears for SCA. I'll share ECA's comments with the author and will also let the author know that the article is approved for publication. Thank you for your review.

From: Korman, Samuel I. (Shai) [mailto:kormansi2@state.gov]
Sent: Tuesday, October 13, 2015 7:33 AM
To: PA Reviews Mailbox
Cc: Paik, Connie Y; (U) Paik, Connie Y; PA Reviews Mailbox
Subject: Re: Shai: Publication Review - IVLP at 75

OK, I clear provisionally. [REDACTED]

B5

From: PA Reviews Mailbox
Sent: Tuesday, October 13, 2015 7:30 AM
To: Korman, Samuel I. (Shai)
Cc: Paik, Connie Y; (U) Paik, Connie Y; PA Reviews Mailbox
Subject: RE: Shai: Publication Review - IVLP at 75

Good Morning Shai:

The author wrote this article in his private/personal capacity. In these cases, we can provide the author with suggested edits and/or comments, but the author is not required to use them.

The author can only be required to edit his/her private/personal capacity communication under the following circumstances:

- if the article contains classified information
- if the article contains information not releasable under FOIA
- If it is considered highly likely to result in serious adverse consequences to the efficiency or mission of the Department.

If any of these criteria are met, then the final decision is made by the Secretary or Deputy Secretary.

In this case, we will provide the edits and comments to the author, but cannot require him to use them.

Please let us know if you have any questions or need more information. Also please let us know if you clear.

Thank you.

From: Korman, Samuel I. (Shai) [mailto:kormansi2@state.gov]
Sent: Friday, October 09, 2015 4:02 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox; Paik, Connie Y; (U) Paik, Connie Y
Subject: RE: Shai: Publication Review - IVLP at 75

Hi folks – before I clear I have a few questions.

B5

Thanks,

Shai

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Friday, October 09, 2015 11:34 AM
To: Korman, Samuel I. (Shai)
Cc: PA Reviews Mailbox; Paik, Connie Y; (U) Paik, Connie Y
Subject: Shai: Publication Review - IVLP at 75

Shai,

For your review, attached is a 6-page article entitled "IVLP at 75" written in private capacity by [redacted] a B6
State Department FSO on detail currently assigned to HR.

Please see attached Mr. [redacted] responses to the standard questions for additional details below.

The following bureaus have cleared:

ECA/Press: NArnold
WHA/Press: JPeters

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [redacted] FSO on Detail, HR/CDL/SL.
- Is the author writing in his/her private capacity or official capacity?
 - Private

- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: The views expressed are those of the author and not necessarily those of the U.S. Department of State, the employee's agency, or the U.S. Government."
- Who else has cleared (your supervisor, others within the Department of State)?
 - His supervisor
- Where does the author intend to submit the article/manuscript for publication
 - Foreign Service Journal
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - Yes

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Friday, October 16, 2015 8:03 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Publication Review: China's Approach to Governance Reform

B6

RELEASE IN PART
B5, B6

Dear PA colleagues,

Thank you for this update and welcome outcome.

Best regards,

[redacted]

[redacted] Policy Planning Staff
Office of the Secretary | U.S. Department of State
2201 C ST NW, Washington, D.C. 20520 | 202-647-2457

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Friday, October 16, 2015 4:57 AM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: RE: Publication Review: China's Approach to Governance Reform

Dear Mr. [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Additionally, our reviewer found what appears to be a typo on page 25. We believe the word *shanggui* should be *shuangui*. For your consideration only.

Thank you,

PA Reviews

From: [redacted] [mailto:[redacted]@state.gov]
Sent: Thursday, October 15, 2015 1:55 PM
To: PA Reviews Mailbox; Walter, Marguerite C.
Cc: PA Reviews Mailbox
Subject: RE: Compensation for Publication Review: China's Approach to Governance Reform

Dear PA colleagues (and Ms. Walter),

I'm writing to update you on recent discussions with my publisher, Cambridge University Press, concerning the compensation question. Specifically, I informed them that I no longer wish to receive compensation and they have formally agreed. This means that I will not receive remuneration outside of my Department of State salary for this publication.

I trust this resolves the matter and the PA review can now proceed toward conclusion. Please let me know if you have additional questions.

Best regards,

[Redacted]

B6

[Redacted] Policy Planning Staff
Office of the Secretary | U.S. Department of State
2201 C ST NW, Washington, D.C. 20520 | 202-647-2457

From: PA Reviews Mailbox (<mailto:pareviews@state.gov>)
Sent: Tuesday, October 13, 2015 8:25 AM
To: [Redacted] Walter, Marguerite C.
Cc: PA Reviews Mailbox
Subject: RE: Compensation for Publication Review: China's Approach to Governance Reform

Good Morning:

Please advise on the status of this request.

Thank you

From: [Redacted] ([mailto:\[Redacted\]@state.gov](mailto:[Redacted]@state.gov))
Sent: Thursday, September 17, 2015 10:05 AM
To: Walter, Marguerite C.; PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Compensation for Publication Review: China's Approach to Governance Reform

Dear Walter,

Thanks for this helpful feedback and clarification. The person in my front office who reviews these matters is out for the next 10 days, so I'm afraid we'll have to wait a bit, but I'll raise this with him as soon as he returns from vacation.

Best,

[Redacted]

[Redacted] Policy Planning Staff
Office of the Secretary | U.S. Department of State
2201 C ST NW, Washington, D.C. 20520 | 202-647-3267

From: Walter, Marguerite C.
Sent: Thursday, September 17, 2015 9:10 AM
To: [Redacted] PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Compensation for Publication Review: China's Approach to Governance Reform

Good morning,

[Redacted]

B5

Best,

Marguerite C. Walter
Attorney-Adviser
Office of Ethics and Financial Disclosure (L/efd)
Office of the Legal Adviser
U.S. Department of State
202-663-1948

SBU

This email is UNCLASSIFIED.

From: [Redacted]

Sent: Wednesday, September 16, 2015 6:33 PM

To: Walter, Marguerite C.; PA Reviews Mailbox

Cc: PA Reviews Mailbox

Subject: RE: Compensation for Publication Review: China's Approach to Governance Reform

B6

Dear Mr. Marguerite,

Thanks again for your feedback.

[Redacted]

B5

Thanks,

[Redacted]

B6

[Redacted] Policy Planning Staff
Office of the Secretary | U.S. Department of State

2201 C ST NW, Washington, D.C. 20520 | 202-647-3267

From: Walter, Marguerite C.
Sent: Wednesday, September 16, 2015 8:47 AM
To: PA Reviews Mailbox
Cc: [REDACTED] PA Reviews Mailbox
Subject: RE: Compensation for Publication Review: China's Approach to Governance Reform

B6

B5

Best,

Marguerite C. Walter
Attorney-Adviser
Office of Ethics and Financial Disclosure (L/EFD)
Office of the Legal Adviser
U.S. Department of State
202-663-1948

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Wednesday, September 16, 2015 8:30 AM
To: Ethics Attorney Mailbox
Cc: [REDACTED] PA Reviews Mailbox
Subject: RE: Compensation for Publication Review: China's Approach to Governance Reform

B6

Good Morning:

We are checking on the status of this matter.

Thank you.

PA Reviews

From: PA Reviews Mailbox
Sent: Friday, September 04, 2015 11:07 AM
To: Ethics Attorney Mailbox
Cc: [REDACTED] PA Reviews Mailbox
Subject: Compensation for Publication Review: China's Approach to Governance Reform

Good morning:

The author, [REDACTED] intends to receive remuneration for the publication of this article.

The article has been reviewed without objections by EB, EAP/CM, L, DRL and PA. We do not review for the Ethics standards so we are including the author here in case you want to address your questions with him. If you need a point of contact for those who reviewed the article, please let us know.

Thank you for your assistance.

PA Reviews

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 S/P
- Is the author writing in his/her private capacity or official capacity?
 - ☐ Private
- Will the author receive remuneration outside of his/her Department of State salary for the article?
 - ☐ Yes
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - ☐ Yes: The author wrote this chapter in his personal capacity. The chapter does not represent the views of the U.S. government..
- Where does the author intend to submit the article/manuscript for publication?
 - ☐ Cambridge University Press
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - ☐ Not directly. My S/P portfolio covers international relations in the entire East Asian & Pacific region. This chapter is a theoretical analysis of administrative law reforms in China over the past 15 years, based on a quantitative research project that concluded in 2014.

B6

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Monday, October 19, 2015 10:51 AM
To: Rosen, Rebecca T
Cc: PA Reviews Mailbox
Subject: RE: Time to chat - Army War College Event - PA Reviews

RELEASE IN PART
B6

B6

Happy to make all these changes and grateful for your timely turnaround!

This email is UNCLASSIFIED.

From: Rosen, Rebecca T
Sent: Monday, October 19, 2015 10:11 AM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: RE: Time to chat - Army War College Event - PA Reviews

Mr. [redacted] Thank you again for taking my call this morning. Attached are the suggested edits per our conversation. Good luck with your event and I do hope you're feeling better soon!

If you have any further questions/concerns regarding the suggestions, please do not hesitate to let me know.

Best,
Becca

From: [redacted]
Sent: Monday, October 19, 2015 8:13 AM
To: Rosen, Rebecca T
Cc: PA Reviews Mailbox
Subject: RE: Time to chat - Army War College Event - PA Reviews

I am happy to talk at 9:30 today at 717 245 3638.

This email is UNCLASSIFIED.

From: Rosen, Rebecca T
Sent: Friday, October 16, 2015 12:42 PM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: Time to chat - Army War College Event - PA Reviews

Good afternoon,

I work in the PA Front office and help assist colleagues who manage the PA Reviews as needed. Would you be available for a call on Monday to discuss your event? I am available either at 9:30am or after 12:30pm. It will just take a few minutes.

Thank you so much!

Becca

RELEASE IN PART
B6

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Tuesday, October 06, 2015 4:06 PM
To: EAP-Press; McKeeby, David I; Paul, Joshua M
Cc: PA Reviews Mailbox
Subject: Speaking Engagement Review: U.S.-China National Security and Military Issues

EAP and PM Colleagues:

Requesting your review on the remarks and speaking engagement of [REDACTED] State Department employee currently detailing to the U.S. Army War College.

B6

Thank you,
PA Reviews

Speaker's name, title and office symbol: [REDACTED] HR/CDA

Date of event: 10/30/15

Venue Name, City and State: Chinese Academy of Military Science, Beijing, China

Group/Organization Sponsoring the Event: US Army War College

Subject of Discussion: U.S.-China National Security and Military Issues

Format: Remarks with or without Q&A

Audience: 50 national security and military analysts

Media (open press, closed press): Closed Press

Attribution (on-the-record, off-the-record, on background): Chatham House Rules

Comments: This is a biennial exchange between the Chinese Academy of Military Science and the US Army War College

RELEASE IN PART
B6

Hartmann, Lorraine (Lori)

From: Choi, Grace H <ChoiGH2@state.gov>
Sent: Friday, October 23, 2015 11:30 AM
To: PA Reviews Mailbox
Subject: RE: Review Status for [REDACTED] - Governing the Depths: Conceptualizing the Politics of Deep Sea Resources B6

Thank you very much. Best regards, Grace

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [<mailto:pareviews@state.gov>]
Sent: Friday, October 23, 2015 11:26 AM
To: Choi, Grace H
Cc: PA Reviews Mailbox
Subject: Review Status for [REDACTED] - Governing the Depths: Conceptualizing the Politics of Deep Sea Resources

Dear Grace,

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that Mr. [REDACTED] may proceed with the publication, provided that he includes the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, October 23, 2015 5:10 AM
To: OES-PA-DG
Cc: PA Reviews Mailbox
Subject: OES Publication Review - Governing the Depths: Conceptualizing the Politics of Deep Sea Resources

RELEASE IN PART B6

Dear OES Colleagues:

For your review, attached is a 9-page article entitled "Governing the Depths: Conceptualizing the Politics of Deep Sea Resources" co-authored in private capacity by [redacted] a Foreign Service Officer, who is currently assigned to EAP/CM. He co-authored the article while on detail as a Council on Foreign Relations International Affairs Fellow and Visiting Researcher, NOAA Southwest Fisheries.

B6

Given the author's tight deadline, we request that you complete your review by OOB Monday, October 26. Please see Mr [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [redacted] FSO, EAP/CM.
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: We will provide the language to the author.
- Who else has cleared (your supervisor, others within the Department of State)?
 - NOAA/NMFS.
- Where does the author intend to submit the article/manuscript for publication?
 - Global Environmental Politics [an academic journal]
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, October 26, 2015 4:17 AM
To:
Cc: PA Reviews Mailbox
Subject: Publication Review - Nottebohm Case (Liechtenstein v. Guatemala) International Court of Justice

RELEASE IN PART
B6

B6

Dear Mr.

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted]@state.gov
Sent: Friday, October 16, 2015 9:49 AM
To: Van Brandt, Danna J
Cc: PA Clearances Mailbox
Subject: Article to be submitted for publication

B6

**RELEASE IN PART
B6**

Follow Up Flag: Follow up
Flag Status: Completed

Hi Danna,

As mentioned yesterday, please find attached an article I would like to submit for publication, for PA clearance. I also attach your predecessor's email clarifying that it was OK to proceed and that gives details about the project. This will be a chapter in an academic publication on Latin America's contributions to the International Court of Justice. I included the requisite wording in the first footnote (as per standard Department practice) that "The views expressed herein are the author's and do not necessarily represent those of his employer." The article is aimed at being a dispassionate review of a famous international law case, and contains all secondary academic source material – nothing related to my work in the Department, not using any materials from here, and nothing that was not previously published. The Department's Law Librarian was of great assistance in finding publicly available source material.

I include the "PA Clearances Mailbox" as I think this is where the text needs to go for clearance. If not, please let me know what I need to do to get the Department's clearance to submit.

Thanks and best regards,

[redacted]

[redacted]

*Deputy Director, Office of International Migration
Bureau for Population, Refugees and Migration
U.S. Department of State*

2025 E Street, NW, Washington, DC 20520 USA
Office: +1 202 453 9212

[redacted]@state.gov

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: [redacted]@state.gov> B6
Sent: Tuesday, October 27, 2015 5:12 PM RELEASE IN PART
B6
To: PA Reviews Mailbox
Cc: Rosenblum, Daniel N; Shelton, Grace W; [redacted]
Subject: FW: Review Status: Central Asia - Not in Our Back Yard, Not a Hot Spot, Strategically Important

RA Colleagues:

Thank you very much. I appreciate your quick turn-around. I will make sure that the disclaimer is in the final publication.

Regards,

[redacted]

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Tuesday, October 27, 2015 4:04 PM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: Review Status: Central Asia - Not in Our Back Yard, Not a Hot Spot, Strategically Important

Mr [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this manuscript are those of the author and not necessarily those of the U.S. Government.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Friday, October 16, 2015 5:09 PM
To: PA Reviews Mailbox
Cc: Fitzsimmons, Elizabeth N; [redacted] Shelton, Grace W; Trudeau, Elizabeth K
Subject: [redacted] Manuscript for Clearance

RELEASE IN PART
B6

B6

PA Colleagues:

I have attached an article for PA to clear for publication. **Please confirm that you have received this email and can open the attachment.**

BACKGROUND: I finished my assignment as SCA PDAS on August 14. I am now in transition and will formally retire from the State Department on November 30. The attached article for the Centre for International Governance Innovation (CIGI) in Ottawa, Canada, is due to the editors on **NOV 15**, *but will not be published until the first quarter of 2016*, when I will be a private citizen.

I have access to OpenNet via GO with an RSA token, but a number of functions are not available remotely – e.g., I cannot access the PA web site for clearances and the PA Help Desk gave me this email address from the GAL.

My daily, primary email address is [redacted]. It is important that you copy any replies to that address.

Again, please confirm that you have received this email and can open the attachment.

I'm grateful for your help and look forward to your clearance.

Regards,

[redacted]

This email is UNCLASSIFIED.

From: [redacted] (mailto:[redacted])
Sent: Friday, October 16, 2015 4:18 PM
To: [redacted]
Subject: [redacted] Manuscript for Clearance
Importance: High

[redacted] manuscript for PA clearance.

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, October 29, 2015 12:09 PM
To:
Cc: PA Reviews Mailbox; Crawley, Vincent M
Subject: Review Status: Let There be Time to Heal and a Place to Thrive

RELEASE IN PART
B6

B6

Mr.

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government. Your paper is attached with edits incorporated for your consideration.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox **RELEASE IN PART B7(C),B6**
Sent: Wednesday, October 28, 2015 6:19 AM
To: Maier, Christina A; Greenberg, Brenda L
Cc: PA Reviews Mailbox
Subject: M, DGHR Publication Review - Let There be Time to Heal and a Place to Thrive

Dear Christy and Brenda:

For your review, attached is a 3-page article entitled "Let There be Time to Heal and a Place to Thrive" written in private capacity by a Foreign Affairs Officer in DS/TIA/RFJ. B6

We would appreciate hearing from you by **COB Thursday, October 29**. Please see attached Mr. responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - Foreign Affairs Officer; DS/TIA/RFJ
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: The views expressed herein are the author's own and do not necessarily reflect those of the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - DS/DSS:B Miller; DS/PA DS/TIA/RFJ B6
B7(C)
- Where does the author intend to submit the article/manuscript for publication?
 - Foreign Service Journal
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]>
Sent: Friday, October 30, 2015 3:49 PM
To: PA Reviews Mailbox; [redacted]
Cc: Rosen, Rebecca T
Subject: RE: Review Status: Consensual Leadership Notes from APEC

B6

RELEASE IN PART
B6

Dear "PA Reviews" and Becca,

Thanks! Will do.

[redacted]

From: pareviews@state.gov

To: [redacted]; [redacted]@state.gov

CC: pareviews@state.gov; rosenrt2@state.gov

Subject: Review Status: Consensual Leadership Notes from APEC

Date: Fri, 30 Oct 2015 17:33:43 +0000

Mr. [redacted]

The Department reviewed the revision of "Consensual Leadership: Notes from APEC" pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government. Your paper is attached and please let us know if you have questions.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: Choi, Grace H <ChoiGH2@state.gov>
Sent: Monday, October 19, 2015 12:10 PM
To: PA Reviews Mailbox
Cc: EAP-P-Office-DL
Subject: For Review: DoS employee Mr. [REDACTED] academic paper for Asian Affairs Journal

Follow Up Flag: Follow up
Flag Status: Completed

RELEASE IN PART
B6

B6

Dear PA Reviews:

EAP-P recently received a clearance request from Mr. [REDACTED] (DoS employee who is on detail at CSIS). He has written an article on APEC for an academic journal. Just by looking at Mr. [REDACTED] email below, this is perhaps written under the author's private capacity. I kindly ask PA to assist in making the determination.

I would greatly appreciate PA's guidance on this clearance request. Please see draft version attached.

Thank you very much.

Best regards,

Grace

Grace Choi
Office of Public Affairs
Bureau of East Asian and Pacific Affairs
U.S. Department of State
Phone: (202) 736-4537
Email: choigh2@state.gov

This email is UNCLASSIFIED.

From: [REDACTED]
Sent: Friday, October 16, 2015 4:47 PM
To: Stone, Laura M; Habjan, Jonathan A; MacFarlane, Ryan C
Subject: FW:

Laura,

Hi! Good seeing you at CSIS the other day!

I'am forwarding a short academic paper I've drafted in response to a request from the editors of the Georgetown University School of Foreign Affairs (where I'm teaching) *Asian Affairs Journal* for the Policy Forum section in their next issue focusing on "Leadership and International Politics in Asia."

As you will see, the paper doesn't contain anything sensitive (as far as I can tell), and really seeks to describe the consensus process in APEC. I also wanted to take this opportunity to highlight the important things that APEC is working on.

Please let me know if this needs further clearance and, if so, could you ask someone to move the paper forward? I would of course also welcome input and updates from the APEC team. The article is to be limited to 2-3,000 words and I need to submit the final draft by the end of the month.

Thanks much and have a good weekend!

B6

This email is UNCLASSIFIED.

From: [mailto:
Sent: Friday, October 16, 2015 3:41 PM
To:
Subject:

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Wednesday, October 07, 2015 7:18 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: RE: General Publication Inquiry

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Please let us know if you have questions.

Thank you,
PA Reviews

From: [REDACTED] [mailto:[REDACTED]@state.gov]
Sent: Monday, September 28, 2015 2:26 PM
To: PA Reviews Mailbox
Subject: General Publication Inquiry

Good Afternoon,

An article I co-authored and submitted while in graduate school before my EOD with the State Department just got accepted for publication in an academic journal. The publisher wants to know my current position to include on the title page of the article. Can I use my current title, Foreign Affairs Officer for DoS? Also, do I need to include some sort of disclaimer?

Many thanks in advance for your help.

Best,

[REDACTED]

[REDACTED]
Office of Opinion Research
U.S. Department of State
2201-C Street, NW
Washington, DC 20520
202-736-4645

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, October 09, 2015 8:46 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: RE: Publishing Article Inquiry/Counsel

RELEASE IN PART
B5, B6

B6

Mr [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Please let us know if you have any questions.

Thank you,
PA Reviews

From: [REDACTED] [mailto:[REDACTED]@state.gov]
Sent: Monday, September 28, 2015 11:14 AM
To: PA Reviews Mailbox
Subject: Publishing Article Inquiry/Counsel

Hello,

I am currently in the process of wanting to publish an academic article that I wrote with two of my peers as our capstone project during my Master's program at GWU. I wanted to ensure to receive counsel/run this by the appropriate office/people prior to publication. We are currently working with the International Affairs Journal (IAJ) to have this published.

Would this be the right office to do that?

If this is the right office, here's a background summary of the paper.

[REDACTED]

B5

B5

[REDACTED]

Attached you will find the paper we are seeking to publish in addition to all of our biographies.

Please let me know if you have any other questions or concerns.

B6

[REDACTED]

Pol-Mil Desk Officer
Office of Pakistan Affairs
U.S. Department of State
202-736-7906
[REDACTED]@state.gov

Privacy/PII
This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: Fogarty, Daniel J <FogartyDJ@state.gov>
Sent: Thursday, November 19, 2015 9:11 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: Re: Clear by 10 am: Syria Refugee op-ped

RELEASE IN PART
B6

Thanks! That disclaimer is included in the version I sent and in the red text below.

From: PA Reviews Mailbox
Sent: Thursday, November 19, 2015 7:44 AM
To: Fogarty, Daniel J
Cc: PA Reviews Mailbox
Subject: RE: Clear by 10 am: Syria Refugee op-ped

Dan:

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that the author may proceed with the publication, provided that she includes the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.
Please let us know if you have any questions.

Thank you

From: Fogarty, Daniel J [mailto:FogartyDJ@state.gov]
Sent: Wednesday, November 18, 2015 8:21 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: Clear by 10 am: Syria Refugee op-ped

Hi All –

Checking on this one. The author's publishing deadline is tomorrow at 12 pm.

Thanks,
Dan

This email is UNCLASSIFIED.

From: Fogarty, Daniel J
Sent: Wednesday, November 18, 2015 3:42 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Clear: Syria Refugee op-ped

Hi PA,

Everyone has approved without edits/concerns, and answers are listed below. Can you let us know when this is approved?

Thanks,
Dan

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Wednesday, November 18, 2015 11:51 AM
To: Fogarty, Daniel J
Cc: PA Reviews Mailbox
Subject: RE: Clear: Syria Refugee op-ped

Okay, thank you for the clarification. Is ☐ currently on a detail assignment? Please have her fill out the questionnaire below. This will help us determine who would need to review for PA. Will you update us once NEA and PRM clears? B6

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

What is the author's first and last name, current job title and office symbol?:

☐ Foreign Affairs Officer, NEA/AC. B6

Is the author writing in his/her private capacity or official capacity?

Private.

Will the author receive remuneration outside of his/her Department of State salary for the article? Note, we will need to obtain L/Ethics clearance if you are expecting to receive remuneration.

No remuneration is expected for this article.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

A disclaimer will be used. It will read: ☐ is a State Department employee who recently finished three years working for the Syria Transition Assistance Response Team in Turkey. The views expressed are her own and not necessarily those of the U.S.

Who else has cleared (your supervisor, others within the Department of State)?

NEA/PPD – D Fogarty (ok); NEA/LEV – L Keene (ok); PRM/Press – B Street (ok); START: M Ward (ok); NEA/AC – B Aggeler (ok).

Where does the author intend to submit the article/manuscript for publication?

It will appear online on AL.com and in print in the Birmingham News, The Huntsville Times and the Mobile Press-Register, all of which are owned by Alabama Media Group.

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

Yes, the author refers to her work with the Syria Transition Assistance Response Team from 2013-2015.

From: Fogarty, Daniel J [mailto:FogartyDJ@state.gov]
Sent: Wednesday, November 18, 2015 11:42 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Clear: Syria Refugee op-ped

Hi All,

☐ is back in Alabama, between assignments, I believe.

B6

Thanks,
Dan

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Wednesday, November 18, 2015 11:41 AM
To: Fogarty, Daniel J
Cc: PA Reviews Mailbox
Subject: RE: Clear: Syria Refugee op-ped

Hi Dan,

To clarify, PA would run the review process for employees in the U.S. and for the Chiefs of Mission. Employees abroad should submit their personal capacity public communications for review to the Chief of Mission or designee (see 3 FAM 4174.3 'Final Review Offices'). If her assigned location is overseas, she should work directly with her regional press office and COM for approval of the publication.

Thank you,
PA Reviews

From: Fogarty, Daniel J [mailto:FogartyDJ@state.gov]
Sent: Wednesday, November 18, 2015 11:20 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: Clear: Syria Refugee op-ped

Hi! Per discussion below, this updated op-ed is ready for the Final Review Office.

If it would expedite things, I'm happy to concurrently clear this within NEA and with PRM. The author is hoping to receive DoS clearance by COB.

FYSA, as soon as it's cleared, it will go on <http://www.al.com/>, the primary news source in the Birmingham, Alabama area. The author's friend, John Hammontree, manages the editorial page and has already agreed to publish it.

Thanks,
Dan

This email is UNCLASSIFIED.

From: Fogarty, Daniel J
Sent: Tuesday, November 17, 2015 5:50 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Op-ed clearance

Thanks very much for the background. I'll relay this. [] is updating this op-ed and will resend tomorrow to me. I'll forward it then for the Final Review Office with background on expected publishing date, etc.

B6

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Tuesday, November 17, 2015 2:50 PM
To: Fogarty, Daniel J
Cc: PA Reviews Mailbox
Subject: RE: Op-ed clearance

Hi Dan,

Personal capacity public communications (articles, manuscripts, etc.) that are on a matter of Departmental concern should be submitted to the Final Review Office for review (see 3 FAM 4174.3a). The final review office will run the review process and include any bureau or agency that may have equities. In addition, the standard disclaimer that is required for personal capacity communication needs to be included (see 3 FAM 4176.1), that the views expressed in the article are those of the author and not necessarily those of the U.S. Government.

We are attaching the FAM for your reference. Please let us know if you have questions.

Thank you,
PA Reviews

From: Fogarty, Daniel J [mailto:FogartyDJ@state.gov]
Sent: Tuesday, November 17, 2015 9:57 AM
To: PA Clearances Mailbox
Subject: Op-ed clearance

Hi All,

Can you please remind me / walk me through how we manage clearances for op-eds? Is there a shared mailbox for submission, SOP for approval, required bio / caveat that this doesn't reflect USG views, etc.

Thanks,
Dan

From: [] [mailto:[]]
Sent: Tuesday, November 17, 2015 9:52 AM
To: Fogarty, Daniel J
Subject: Re: Op Ed on Syrian Refugees

Dan,

Here's a draft for your clearance. I am editing to cut down a few words now, but the substance won't change. Thanks for your help with this.

[redacted]

B6

On Mon, Nov 16, 2015 at 5:42 PM, Fogarty, Daniel J <FogartyDJ@state.gov> wrote:

Oh sure, will do

From: [redacted] [mailto:[redacted]]

Sent: Monday, November 16, 2015 5:41 PM

To: Fogarty, Daniel J

Subject: Re: Op Ed on Syrian Refugees

Thanks, Dan! I'll have it to you tomorrow morning. If you get a transcript from the DPB before it's uploaded to state.gov, would you mind sending it my way? There may be some useful information for me to pull from.

On Mon, Nov 16, 2015 at 3:22 PM, Fogarty, Daniel J <FogartyDJ@state.gov> wrote:

Sure, you can just send it to me or paclearances@state.gov when it's finished. They can walk you through this. FYSA, Mark Toner is addressing this today at DPB, and I'd imagine D will address more in his co-leadership of syria/refugee issues with PRM. He's taken a more active role as of late.

Congrats on wrapping START (I think that happened, right?). You were a founding member! Must be bittersweet.

Hope you're enjoying a break. Keep me posted on this and where you land next.

Take care,

Dan

From: [redacted]

Sent: Monday, November 16, 2015 2:02 PM

To: Fogarty, Daniel J

Subject: Op Ed on Syrian Refugees

Hi, Dan -

I hope this finds you well. I'm writing from my Gmail because I'm on leave and without State Department email access. I'm really disturbed by the responses I'm seeing to Syrian refugees in the wake of the Paris attacks, and I'd like to write an Op Ed in the Birmingham News (my hometown paper) that helps to humanize the refugees that are being resettled in the US. Is that something I need to clear with PD? If so, can you send me info for the most appropriate point of contact?

Thanks;

[redacted]

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: [redacted]@state.gov>
Sent: Friday, November 20, 2015 2:59 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Review Status: The Institutional Process of Envoys

B6

RELEASE IN PART
B6

Great, thanks so much!
Bart

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Friday, November 20, 2015 12:44 PM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: Review Status: The Institutional Process of Envoys

Mr. [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government. Your paper is attached with edits incorporated for your consideration.

Thank you,
PA Reviews

RELEASE IN PART
B6**Hartmann, Lorraine (Lori)**

From: PA Reviews Mailbox
Sent: Wednesday, November 18, 2015 9:36 AM
To: EUR-Press; AF-Press; NEA-Press; IO-Press-DL
Cc: PA Reviews Mailbox
Subject: EUR, AF, NEA, IO Publication Review - The Institutional Process of Envoys

Dear EUR, AF, NEA, and IO Colleagues:

For your review, attached is a 7-page article entitled "The Institutional Process of Envoys" written in private capacity by [redacted] in S/P. Note - [redacted] wrote this article and submitted it for publication B6 before he accepted employment at the State Department.

We would appreciate hearing from you by **COB Friday, November 20**. Please see attached [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [redacted] in S/P
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - Yes
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: Member, Policy Planning Staff, Office of the Secretary of State, U.S. Department of State. This article was written and submitted before the author joined the State Department. The views expressed here do not necessarily reflect the official policy or position of the U.S. government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No one
- Where does the author intend to submit the article/manuscript for publication?
 - NYU Center on International Cooperation.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Monday, November 02, 2015 7:37 AM
To: Rao, Ajay S
Cc: PA Reviews Mailbox
Subject: RE: Publication Review: Pakistan - Yesterday and Tomorrow

B6

RELEASE IN PART B6

Great suggested edits. I will definitely heed the recommendations. THANK YOU!!!!!!!!!!!! [redacted]

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Monday, November 02, 2015 7:20 AM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: Publication Review: Pakistan - Yesterday and Tomorrow

Dear Ms. [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Additionally, Embassy Islamabad provided the following suggested edits for your consideration:

- In "America, Bad Country," Embassy Islamabad suggests changing the title to "America. Bad Country, Good Country" since both are used in the text.
- In "Roomful of Cognates," Embassy Islamabad suggests removing name "[redacted]" to avoid identification.
- In "Seven Sketches," Embassy Islamabad suggests editing the sentence that reads, "She told the staff that I looked [redacted] referring to an American officer who was already working in the section where I was assigned," to "She told the staff that I looked a lot like another American officer who was already working in the section where I was assigned," to avoid identification. Also please ensure that officer knows she will be referenced in the chapter.
- In "Capital Contained," Embassy Islamabad suggests removing the reference to [redacted] who are likely still employed at the Embassy.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Tuesday, October 20, 2015 7:46 AM
To: Rao, Ajay S; DeBose, Jameson (Brussels)
Cc: PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [REDACTED])

B6

Importance: High

Ajay, Jameson:

Please advise on the status of this review this morning.

Thank you.

From: Rao, Ajay S [mailto:RaoAS@state.gov]
Sent: Thursday, October 01, 2015 2:55 PM
To: PA Reviews Mailbox; DeBose, Jameson L
Cc: PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [REDACTED])

Hello,

I've asked Front Office, but don't expect a response until next week when everyone has returned from UNGA. I've already let them know the info regarding the author's ability to publish after the 30 days have elapsed. Thanks, Ajay

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Thursday, October 01, 2015 2:37 PM
To: Rao, Ajay S; DeBose, Jameson L
Cc: Mains, Erin Molnar; PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [REDACTED])

Dear Ajay ad Jameson:

We are checking on the status of this review. Please note that the author may publish her manuscript after 30 days (as of October 3), even if the review has not been completed, as long as the manuscript does not contain classified information.

Thank you,
PA Reviews

From: Rao, Ajay S [mailto:RaoAS@state.gov]
Sent: Tuesday, September 29, 2015 10:02 AM
To: PA Reviews Mailbox
Cc: DeBose, Jameson L; PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [REDACTED])

B6

I'll check in again. This has been with our Front Office for awhile, but they are also short staffed with various departures and UNGA. Thanks, Ajay

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Tuesday, September 29, 2015 7:55 AM
To: Rao, Ajay S; Mains, Erin Molnar
Cc: DeBose, Jameson L; PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [REDACTED])

Dear Ajay ad Jameson:

We are checking on the status of this review.

Please advise. Thank you.

From: Rao, Ajay S [mailto:RaoAS@state.gov]
Sent: Monday, September 14, 2015 8:57 AM
To: Mains, Erin Molnar; PA Reviews Mailbox
Cc: DeBose, Jameson L; PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [REDACTED]) (batch 1 of 6)

This is fine with me, but, since PA set the deadline, I defer to them. Unfortunately, I will likely miss my deadline for building clearance as well; it's currently stuck with a couple of FO clearers, and I need to follow up today. Thanks, Ajay

This email is UNCLASSIFIED.

From: Mains, Erin Molnar
Sent: Monday, September 14, 2015 8:51 AM
To: Rao, Ajay S; PA Reviews Mailbox
Cc: DeBose, Jameson L; PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [REDACTED]) (batch 1 of 6)

Ajay and PA Reviews:

We'd like to give our RSO colleagues one additional day to review. Can we get back to you by OOB Wednesday, September 16?

Thanks,
Erin

This email is UNCLASSIFIED.

From: Rao, Ajay S
Sent: Tuesday, September 08, 2015 6:58 PM
To: PA Reviews Mailbox
Cc: DeBose, Jameson L; PA Reviews Mailbox; Mains, Erin Molnar
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted] (batch 1 of 6) B6

Colleagues,

We received the additional prologue attached from the author for this manuscript. Erin, I'm not sure if Jameson briefed you on this before he went on leave, but [redacted] penned a manuscript in her personal capacity. PA should have reached out to Jameson last week for post clearance of the entire manuscript. The attached is a prologue for the manuscript. There are no forthcoming chapters or other pieces of this manuscript. Please let me know if you have any questions.

Thanks,

Ajay

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [<mailto:pareviews@state.gov>]
Sent: Wednesday, September 02, 2015 8:24 AM
To: Rao, Ajay S
Cc: DeBose, Jameson L; PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted] (batch 1 of 6)

Thanks Ajay. Jameson, I will forward the official request to you this morning. Looking for a completion of the review by COB Sept. 15. Thank you.

From: Rao, Ajay S [<mailto:RaoAS@state.gov>]
Sent: Wednesday, September 02, 2015 8:19 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox; DeBose, Jameson L
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted] (batch 1 of 6)

Yes, I can take care of shepherding SCA and SRAP reviews. The POC for Embassy Islamabad is Jameson DeBose, cc'ed. Thanks, Ajay

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [<mailto:pareviews@state.gov>]
Sent: Wednesday, September 02, 2015 6:53 AM
To: Rao, Ajay S
Cc: PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted] (batch 1 of 6)

B6

Ajay:

We are preparing this manuscript for review. Can you take care of appropriate reviews within SCA and SRAP, (as noted by [redacted] below)?

Also, can you provide an appropriate contact at Embassy Islamabad to whom we can send the manuscript for review?

Please advise.

Thank you.

From: PA Reviews Mailbox
Sent: Tuesday, September 01, 2015 10:56 AM
To: Rao, Ajay S
Cc: PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted] (batch 1 of 6)

Thank you, Ajay. We will touch base should we have questions.

Thank you.
PA Reviews

From: Rao, Ajay S [<mailto:RaoAS@state.gov>]
Sent: Tuesday, September 01, 2015 8:55 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted] (batch 1 of 6)

Hello, .

Here are the requested answers from the author to the questionnaire. Let me know if you need anything further. Best,
Ajay

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol? (Outgoing) B6
Cultural Affairs Officer, Embassy Islamabad
- What is the author's onward assignment? Public Affairs Officer, WHA/HSC (reports to work 9/21/2015)
- Is the author writing in his/her private capacity or official capacity? Private capacity
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration. Royalties, which in my case will not amount to that much
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? yes; "The views expressed are those of the author, and not necessarily those of the U.S. Department of State, the employee's agency, or the U.S. Government."
- Who else has cleared (your supervisor, others within the Department of State)? SCA/PPD Ajay Rao, has not yet been reviewed by post or Office of Pakistan Affairs [will probably need to be cleared by PAS Islamabad, Islamabad Executive Office, SCA/PPD and SRAP. If PA requires POCs, please go through SCA/PPD Desk Officer Ajay Rao]
- Where does the author intend to submit the article/manuscript for publication? Inkwater Press
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? The focus is not work duties but rather the larger cultural context of living in Pakistan. Two exceptions are "Interfaith Tuk-Tuk (backdrop is Jaipur, India) and "Dry Clean Only" (backdrop is Colombo, Sri Lanka). In both cases my visits were as a tourist there for pleasure.
- Contact Information (to include e-mail and telephone number):

Privacy/PII

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [<mailto:pareviews@state.gov>]

Sent: Monday, August 31, 2015 11:11 AM

To: Rao, Ajay S

Cc: PA Reviews Mailbox

Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by) (batch 1 of 6)

Thank you for the clarification. In that case, PA is the final review office for the manuscript. Please have the author provide the answers to the publication questionnaire and we will begin the review once this information is received.

From: Rao, Ajay S [<mailto:RaoAS@state.gov>]

Sent: Monday, August 31, 2015 10:41 AM

To: PA Reviews Mailbox

Cc: PA Reviews Mailbox

Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by) (batch 1 of 6)

Her report date is September 21, and she will be in WHA/HSC here in Washington. I'm not sure on the publishing date of the manuscript, but I can check with her. - Ajay

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Monday, August 31, 2015 10:39 AM
To: Rao, Ajay S
Cc: PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted]) (batch 1 of 6)

B6

When is the employee expected to report to the next assignment; in which bureau? What is the publishing date of the manuscript? We see that the employee may be under contract with Inkwater Press.

From: Rao, Ajay S [mailto:RaoAS@state.gov]
Sent: Monday, August 31, 2015 10:19 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted]) (batch 1 of 6)

Got it. Technically, the author is in transition now from an overseas to domestic assignment, so is not currently serving at the post she is writing about. Would post still be Final Review Office in this case? Just want to make sure.

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Monday, August 31, 2015 10:16 AM
To: Rao, Ajay S
Cc: PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted]) (batch 1 of 6)

The Bureau of Public Affairs runs the review process for employees who are serving domestically. We recommend that you contact the office of the Chief of Mission for further details on next steps for review of the manuscript. We also recommend that you review 3 FAM 4170 in its entirety (information in 3 FAM 4170 will answer some of the basic questions you have about the process and PA's role).

Thank you,
PA Reviews

From: Rao, Ajay S [mailto:RaoAS@state.gov]
Sent: Monday, August 31, 2015 10:04 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted]) (batch 1 of 6)

Thanks. How does/should the clearance process work? At what stage does PA review? Does PA not review? I received a different communication from the PA reviews mailbox as well, and I am following up on these questions with the author. Sorry for all the questions, just want to make sure that I understand the process before sending around for clearance. Thanks, Ajay

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [<mailto:pareviews@state.gov>]

Sent: Monday, August 31, 2015 10:00 AM

To: Rao, Ajay S

Cc: PA Reviews Mailbox

Subject: RE: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted]) (batch 1 of 6)

B6

Ajay,

The Chief of Mission (or his/her designee at Post) is now the final review office for all public communications for employees serving abroad, not Public Affairs (PA). As for reference, please see 3 FAM 4170.

Thank you,
PA Reviews

From: Rao, Ajay S [<mailto:RaoAS@state.gov>]

Sent: Friday, August 28, 2015 4:46 PM

To: PA Clearances Mailbox

Subject: Seeking PA Review of Public Communication in Personal Capacity: Pakistan, Yesterday and Tomorrow (by [redacted]) (batch 1 of 6)

PA Colleagues,

[redacted] (outgoing cultural attache for U.S. Embassy Islamabad) in her **personal capacity** seeks PA clearance (as Final Review Office) on the attached chapters in her book. I will be sending these chapters to you for clearance in six emails (this is batch #1). If you need contacts to reach out to at post and on the desk, please let me know, and I can assist with connecting you to these points of contact for their clearance if necessary.

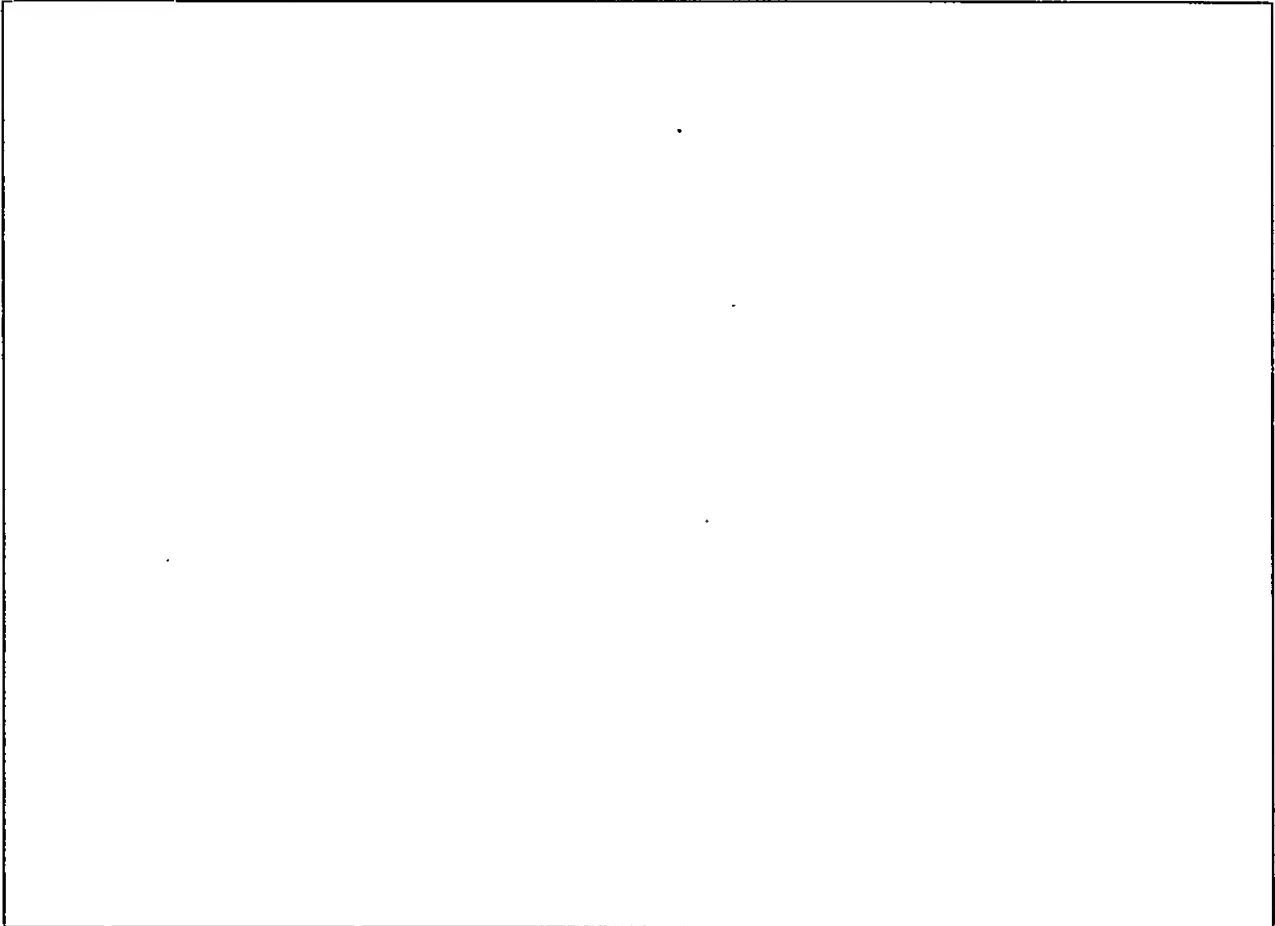
The book *Pakistan, Yesterday and Tomorrow* (working title) is a collection of brief sketches, largely in first-person narrative by author [redacted] that tell the tale of everyday personal encounters while living and working in Pakistan as the Cultural Attaché at U.S. Embassy Islamabad. These fast-track pieces show reward in the challenge of being a foreigner in a foreign land, especially where security and sensitivities are pre-set at simmer. The composite picture of living in Pakistan's capital city – only fifteen minutes from the country itself – is a celebration of a people who share more in common with the United States than is generally acknowledged. The

inner edges of these experiences capture the author's fascination with navigating cultural norms among diverse communities within the global village.

The book comprises twenty-five chapters and will most likely be published by Inkwater Press, publisher as well of [REDACTED] [REDACTED] (January 2014). A disclaimer along the following lines will be included on the credits page: "The views expressed are those of the author, [REDACTED] [REDACTED] and not necessarily those of the U.S. Department of State, the employee's agency, or the U.S. Government."

B6

CHAPTERS



B5

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, November 02, 2015 9:35 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: Publication Review: The Judgment of Paris - Can Nationally Determine Contributions Avoid Severe Climate Change?

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you.

PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, October 22, 2015 4:16 PM
To: OES-PA-DG
Cc: PA Reviews Mailbox
Subject: Publication Review: The Judgment of Paris - Can Nationally Determine Contributions Avoid Severe Climate Change?

RELEASE IN PART B6

Follow Up Flag: Follow up
Flag Status: Completed

Dear OES Colleagues:

For your review, attached is a 9-p article "The Judgment of Paris: Can Nationally Determine Contributions Avoid Severe Climate Change?", written in private capacity by State Department employee [REDACTED]. We would appreciate hearing from you by COB Tuesday, October 27. Please see Mr. [REDACTED] responses to the standard questions for additional details below.

B6

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
- o [REDACTED] Foreign Affairs Officer, E/OES/EGC
- Is the author writing in his/her private capacity or official capacity?
- o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- o Yes. The views expressed are the author's own and not necessarily those of the U.S. Government.
- Where does the author intend to submit the article/manuscript for publication?
- o Science
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
- o No

Supervisor Kim Carnahan OES/EGC

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a written, detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, November 02, 2015 10:03 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: Publication Review - How to Manage Your Millennial

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Please see the attached document with suggested edits and comments.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, October 30, 2015 3:36 PM
To: Maier, Christina A; Greenberg, Brenda L
Cc: PA Reviews Mailbox
Subject: Publication Review: Um, How to Like, Manage Your Millennial

RELEASE IN PART
B6

Dear Christy and Brenda:

For your review, attached is a short 2-page article entitled "Um, How to Like, Manage Your Millennial" written in private capacity by [REDACTED] a FSO on detail currently assigned to HR.

B6

We would appreciate hearing from you by COB Monday, November 2. Please Mr. [REDACTED] s responses to the standard questions below for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
☐ [REDACTED] FSO HR/SL/CDA
- Is the author writing in his/her private capacity or official capacity?
☐ Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
☐ No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
☐ Yes, will follow the FAM on standard disclaimer
- Where does the author intend to submit the article/manuscript for publication?
☐ Foreign Service Journal
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
☐ No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, November 02, 2015 7:10 AM
To: [REDACTED] Humphrey, Kerry S
Cc: PA Reviews Mailbox
Subject: Publication Review - China Development Bank's \$3 billion loan to Ghana

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Wednesday, October 14, 2015 5:43 PM
To: PA Clearances Mailbox
Subject: Personal analytical paper - Ghana CDB loan

B6

RELEASE IN PART
B6

To whom it may concern,

I have written a draft analytical paper on an issue I followed closely while I was the economic officer in Ghana – China's \$3 billion loan to the country. I have not decided on the exact venue of publication, but it could be a chapter of a book or a separate journal article on development in Africa. I am writing up this piece because I believe it is an important and very complex story illustrating the immense challenges of Chinese financing of large infrastructure projects in Africa.

There is no classified information in this paper, as all information, as well as cited sources, are of public in nature (mostly websites, news reports, think tank reports and IMF/World Bank reports). However, I believe it is prudent that I submit this analytical paper for your perusal before publication. Please let me know if you have any questions.

Thanks

~Best,

[redacted]

PERSONAL
This email is UNCLASSIFIED.

RELEASE IN PART B6

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]>
Sent: Wednesday, November 04, 2015 10:49 AM
To: PA Reviews Mailbox
Subject: RE: Publication Review - Global Emergency Power in the Age of Ebola

B6

Thank you. All the best,

[redacted]

[redacted]
(202) 776-8315

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Wednesday, November 04, 2015 7:51 AM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: Publication Review - Global Emergency Power in the Age of Ebola

B6

Dear Mr. [redacted]:

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted]@state.gov>
Sent: Wednesday, October 14, 2015 10:51 AM
To: PA Reviews Mailbox
Subject: Publication for review

B6

RELEASE IN PART
B6

Good morning. I am attaching a draft law journal article, which has been accepted for publication in the *Harvard International Law Journal*, for review as stated in 3 FAM 4170. Note that I will not be compensated for this publication.

Thank you for your assistance, and I look forward to hearing from you.

Best,

[redacted]

[redacted]
Attorney-Adviser
Office of the Legal Adviser (L/CID)
U.S. Department of State
(202) 776-8315

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Wednesday, November 04, 2015 7:51 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: Publication Review - What about our Kids?

RELEASE IN PART
B6

B6

Dear Ms. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

During the course of the review, PA received two comments that we are sharing with you purely for your consideration:

- The quoted statistics do not have a reference; and
- The statement about "significant" number of medevacs is not accurate – the number of children medically evacuated is very small.

Thank you,

PA-Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, October 29, 2015 3:44 AM
To: Albrecht, Karl P; Penner, Gary D
Cc: PA Reviews Mailbox
Subject: MED Publication Review - What About Our Kids

RELEASE IN PART B6

Dear MED Colleagues:

For your review, attached is a 3-page article entitled "What about our Kids" written in private capacity by B6
 Deputy Director in EAP/EX.

We would appreciate hearing from you by **COB Friday, October 30**. Please see attached Ms. responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - , Deputy Director EAP/EX
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: The views expressed herein are the author's own and do not necessarily reflect those of the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No one
- Where does the author intend to submit the article/manuscript for publication?
 - Foreign Service Journal
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, November 06, 2015 3:57 PM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: RE: Blog Series for Clearance

RELEASE IN PART
B6

B6

Mr. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in the articles are those of the author and not necessarily those of the U.S. Government.

Thank you,
PA Reviews

From: [REDACTED] [mailto:[REDACTED]]
Sent: Friday, November 06, 2015 10:55 AM
To: PA Reviews Mailbox
Subject: Re: Blog Series for Clearance

Greetings from George Washington University. Is there any word on the status of my submission? Thanks much.

[REDACTED]
Public Diplomacy Fellow
Institute for Public Diplomacy and Global Communication
George Washington University

[REDACTED]
Public Diplomacy Fellow
Institute for Public Diplomacy and Global Communication
George Washington University

On Mon, Oct 26, 2015 at 3:39 PM, PA Reviews Mailbox <pareviews@state.gov> wrote:

Mr. [REDACTED]

Our help desk colleagues notified us that you requested for a status update on the review of your blogs. We are sending a quick note to let you know that the blogs are still under review. We will touch base once the review is complete. If you have a specific deadline for publishing, please let us know.

Thank you,

PA Reviews

From: [redacted] [mailto:[redacted]]
Sent: Thursday, October 22, 2015 1:33 PM
To: PA Clearances Mailbox
Cc: [redacted]
Subject: Blog Series for Clearance

B6

Greetings,

I am on detail from the Department of State teaching public diplomacy at George Washington University for a year. Part of my assignment at the university is to contribute regular blogs on public diplomacy for the university web page. In the attached, I have included seven blogs which will go out on a weekly basis, which make sense (I hope) individually but combine to make a coherent argument. My bottom line is that there is a false dichotomy between cultural/exchanges programs seen as policy neutral and messaging seen as policy laden. Policy should govern everything we do.

I have a few examples from Afghanistan, and from two of my last assignments, Cyprus and Germany, which I think are pretty anodyne.

Thank you very much for clearing on this. I look forward to hearing from you.

[redacted]

Public Diplomacy Fellow

Institute for Public Diplomacy and Global Communication

George Washington University

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, December 10, 2015 2:17 AM
To:
Cc: PA Reviews Mailbox
Subject: Publication Review

RELEASE IN PART
B6

B6

Ms.

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Please see some suggested tracked grammatical edits for your consideration.

Thank you

PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, November 19, 2015 3:29 PM
To: EUR-Press; PRM-Press-DL; CAPRESSREQUESTS
Cc: PA Reviews Mailbox
Subject: Publication Review: American Consuls and the Politics of Rescue in Marseille, 1936-1941

EUR, PRM and CA Colleagues:

For your review, attached is a 41-page article entitled "American Consuls and the Politics of Rescue in Marseille, 1936-1941" written in private capacity by [REDACTED] in PA.

B6

We would appreciate hearing from you by **COB Wednesday, November 25**. Please see Ms. [REDACTED] responses to the standard questions below for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
o [REDACTED] Historian, PA
- Is the author writing in his/her private capacity or official capacity?
o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article?
o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
o Yes: The views expressed in this article are those of the author and do not necessary reflect the views of the Office of the Historian, the U.S. Department of State, or the U.S. government.
- Where does the author intend to submit the article/manuscript for publication?
o Holocaust and Genocide Studies
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
o No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, December 11, 2015 2:59 PM
To: Arbesu, Arnaldo
Cc: Posey, Tameka S; PA Reviews Mailbox
Subject: RE: Arnie: OES Publication Review: Montreal Protocol

RELEASE IN PART
B6

Hey Arnie – Okay, thank you!

From: Arbesu, Arnaldo
Sent: Friday, December 11, 2015 1:53 PM
To: PA Reviews Mailbox
Cc: Posey, Tameka S
Subject: FW: Arnie: OES Publication Review: Montreal Protocol

Good afternoon – I clear attached with no edits. By the way, I also cleared the Space Resiliency Summit document earlier, but never received a confirmation.

Have a great weekend and Happy holidays!

Arnie.

From: PA Reviews Mailbox
Sent: Friday, December 11, 2015 12:30 PM
To: Arbesu, Arnaldo
Cc: PA Reviews Mailbox
Subject: Arnie: OES Publication Review: Montreal Protocol

Happy Friday Arnie! For your review and clearance on the attached article. Background is below. Thank you.

From: PA Reviews Mailbox
Sent: Friday, December 11, 2015 11:17 AM
To: Bell, Esther F; OES-PA-DG
Cc: PA Reviews Mailbox
Subject: RE: OES Publication Review: Montreal Protocol

We appreciate receiving your feedback! Thank you for your review.

From: Bell, Esther F [<mailto:BellEF@state.gov>]
Sent: Friday, December 11, 2015 10:55 AM
To: PA Reviews Mailbox; OES-PA-DG
Cc: PA Reviews Mailbox
Subject: RE: OES Publication Review: Montreal Protocol

OES experts reviewed the material and discussed with ☐ some inaccuracies in the piece. OES understands that ☐ B6 made some edits to the document after discussing the inaccuracies. With these edits, OES does not object to publication under any of the three circumstances below. Thanks!

Esther Bell
Public Diplomacy Officer

U.S. Department of State
Bureau of Oceans and International Environmental and Scientific Affairs
Office Phone: 202-647-1511

BB: [redacted]



B6

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Wednesday, December 09, 2015 1:34 PM
To: OES-PA-DG
Cc: PA Reviews Mailbox
Subject: OES Publication Review: Montreal Protocol

OES Colleagues,

For your review, attached is a 2-page write-up on events in the Montreal Protocol written in private capacity by [redacted] attorney adviser in L/OES. It will be included in the American Bar Association's Year in Review on a broader range of legal developments. Brian Israel, acting Assistant Legal Adviser reviewed and cleared the article. We would appreciate hearing from you by OOB Thursday, December 10 to help meet Mr. [redacted] deadline. Please see attached Mr. [redacted] e-mail below for additional details.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

From: [redacted] [mailto:[redacted]@state.gov]
Sent: Tuesday, December 08, 2015 4:12 PM
To: PA Reviews Mailbox
Cc: Israel, Brian R; Thompson, John E
Subject: Request for review and clearance of short piece for publication

I am writing to request clearance for publication of the attached one and a half page write-up on events in the Montreal Protocol. It will be included in the American Bar Association's Year in Review on a broader range of legal developments. I am submitting it in my personal capacity. The publication will include a disclaimer indicating that the views expressed are my own and not necessarily those of the U.S. Government.

In accordance with 3 FAM 4176.3(a)(2), I have submitted this write-up for review to John Thompson (copied), Deputy Director of OES/EQT, the lead for the Montreal Protocol. Brian Israel, acting Assistant Legal Adviser for my office, L/OES has reviewed and cleared.

My deadline for submission of this write-up is December 11. Can PA Reviews clear in time to meet that deadline?

Thank you in advance.

[redacted]
Office of the Legal Adviser (L/OES)
U.S. Department of State
(202) 736-4760
[redacted]@state.gov

B6

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, December 11, 2015 8:07 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: Book Review

RELEASE IN PART
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Thank you.

PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, December 11, 2015 6:57 AM
To: PA HO Management Team
Cc: PA Reviews Mailbox
Subject: Book Review - Robert Pee, Democracy Promotion, National Security and Strategy: Foreign Policy Under the Reagan Administration

RELEASE IN PART
B6

PA HO Colleagues:

We received the attached 4-page book review written in a private capacity by PA/HO's [REDACTED] He intends to submit it to H-Diplo for publication. B6

We ask that you review this book review and provide your comments by COB today.

Please let us know if you have any questions.

Thanks.

PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, December 14, 2015 10:23 AM
To: Howard, Adam M; PA HO Management Team
Cc: PA Reviews Mailbox
Subject: RE: Publication Review - Canada and Bretton Woods

RELEASE IN PART
B6

Thank you for your review HOI

From: Howard, Adam M [<mailto:HowardAM@state.gov>]
Sent: Monday, December 14, 2015 10:15 AM
To: PA Reviews Mailbox; PA HO Management Team
Cc: PA Reviews Mailbox
Subject: Re: Publication Review - Canada and Bretton Woods

HO strongly encourages the clearance and publication of [] paper.

B6

Best,
Adam Howard
General Editor
Office of the Historian
U.S. Department of State

From: PA Reviews Mailbox
Sent: Friday, December 11, 2015 7:07 AM
To: PA HO Management Team
Cc: PA Reviews Mailbox
Subject: Publication Review - Canada and Bretton Woods

PA/HO Colleagues:

We received a 23-page paper entitled "Canada and Bretton Woods" written in a private capacity by PA/HO's []
[] She advised us that the paper will be published in an edited collection of papers resulting from a conference commemorating the 70th anniversary of the 1944 Bretton Woods conference.

We request your comments by COB Wednesday, December 16.

Please let us know if you have any questions.

Thanks

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]>
Sent: Wednesday, December 16, 2015 8:35 AM
To: PA Reviews Mailbox
Subject: Re: Publication Review: Winning the Anti-Corruption Fight: Lessons From Ukraine

RELEASE IN PART
 B6

B6

Dear PA colleagues,

Many thanks for the expeditious clearance. I know you have a lot on your plate so thanks for finding time to move this along. Great to see the system working so well.

Happy Holidays!

[redacted]
 Sent from my iPhone

On Dec 16, 2015, at 7:14 AM, PA Reviews Mailbox <pareviews@state.gov> wrote:

Mr. [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

From: [redacted] [http://redirect.state.sbu/?url=mailto:[redacted]]
Sent: Tuesday, December 15, 2015 3:44 PM
To: PA Reviews Mailbox
Subject: Re: Following Up: Winning the Anti-Corruption Fight: Lessons From Ukraine

Ok thanks. Just to be clear: there is a video of this event out on the USIP web site. This piece is simply a recounting of a public event that had already happened. Everything noted is already out in the public domain on video.

Sent from my iPhone

On Dec 15, 2015, at 3:37 PM, PA Reviews Mailbox <pareviews@state.gov> wrote:

Of course and we will keep you posted Mr. [redacted] thank you.

From: [redacted]
 [http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=mailto:[redacted]]
Sent: Tuesday, December 15, 2015 3:25 PM

To: PA Reviews Mailbox

Subject: Re: Following Up: Winning the Anti-Corruption Fight: Lessons From Ukraine

This is not highly specialized nor is it analytical. The event noted is already out on USIP's web site. Everything that is noted in this piece has been said and is already on the record. I sent this over on an abundance of caution. Please have another look. There is a misunderstanding here.

Sent from my iPhone

On Dec 15, 2015, at 3:13 PM, PA Reviews Mailbox <pareviews@state.gov> wrote:

Mr.

B6

We received your publication request via the PA Reviews Database and understand that you have a very tight deadline to meet. According to the FAM, the review period for articles of a highly-specialized nature may be as long as 20 working days. With the Secretary in Moscow today discussing Syria and Ukraine, we do not foresee this review being completed within 24-hours. As with the majority of your writings, we will do our best to turn this around quickly as possible.

Thank you,
PA Reviews

RELEASE IN PART
B6

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, December 17, 2015 6:59 AM
To: [REDACTED]
Cc: Helton, Candice H; PA Reviews Mailbox
Subject: Publication Review - Op-Ed

- B6

Dear Mr. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank-you,

PA Reviews

RELEASE IN PART B6

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, December 18, 2015 7:00 AM
To: [REDACTED] (Beijing)
Cc: Hamly, Elizabeth G; PA Reviews Mailbox
Subject: Publication Review - The British and Europe - From the End of Empire to the Rise of Euroscepticism

B6

Dear Mr. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, October 29, 2015 6:50 AM
To: EUR-Press
Cc: PA Reviews Mailbox
Subject: EUR Publication Review - The British and Europe - From the End of Empire to the Rise of Euroscepticism

RELEASE IN PART B6

Dear EUR Colleagues:

For your review, attached is a 633-page manuscript entitled "The British and Europe - From the End of Empire to the Rise of Euroscepticism" written in private capacity by [redacted] an A-100 student at FSI. Note - Mr. [redacted] wrote this book and submitted it for publication before he accepted employment at the State Department. B6

We would appreciate hearing from you by **COB Friday, November 13**. Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - o [redacted] A-100 Student, FSI
- Is the author writing in his/her private capacity or official capacity?
 - o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - o Yes
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - o Yes: Postscript: Since completing this book, I have left my position as a faculty member at the University of Arkansas to instead work for the United States Department of State as a Foreign Service Officer. It goes without saying that this work was completed in its entirety in my capacity as a university professor, and that it does not in any way represent the views of the United States Government, the US Department of State, or the US Foreign Service".
- Who else has cleared (your supervisor, others within the Department of State)?
 - o No one
- Where does the author intend to submit the article/manuscript for publication?
 - o The book is forthcoming with Cambridge University Press. The contract for it was signed in September 2013. The manuscript was submitted in January 2015 (prior to my joining the Department of State). It is currently in the production phase, anticipated to be in print sometime in the spring/early summer 2016.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - o No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

RELEASE IN PART
B6

From: [redacted] (OES) <[redacted]@state.gov>
Sent: Friday, December 18, 2015 3:03 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Publication Review Status: The Land Potential Knowledge System

B6

thanks

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Friday, December 18, 2015 2:36 PM
To: [redacted] (OES)
Cc: PA Reviews Mailbox
Subject: Publication Review Status: The Land Potential Knowledge System

Mr. [redacted]

The Department has completed the review of this request and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications, that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Please let us know if you have questions.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Wednesday, December 16, 2015 2:51 AM
To: OES-PA-DG; Brooks-LaSure, M. Allyn
Cc: PA Reviews Mailbox
Subject: OES, Allyn: Publication Clearance [REDACTED] The Land Potential Knowledge System B6

RELEASE IN PART B6

Dear OES Colleagues and Allyn:

For your clearance, attached is a 19-page article entitled "The Land Potential Knowledge System (LandPKS)" co-authored in an official capacity by [REDACTED] a senior policy advisor in OES.

The author has a tight deadline, and therefore we request your clearance by **COB Thursday, December 17**. Please see attached Mr [REDACTED] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [REDACTED] senior policy advisor, OES
- Is the author writing in his/her private capacity or official capacity?
 - Official
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - No
- Who else has cleared (your supervisor, others within the Department of State)?
 - John Thompson Deputy Director OES/EQT
- Where does the author intend to submit the article/manuscript for publication?
 - Ecosystem Health and Sustainability - I have been invited to be included as an author in the attached publication because my contribution to the topic in discussions with the authors on the topic of using a Land Potential Knowledge System to inform decision making. My responsibilities in the OES Bureau include the science policy interface and I am the U.S. representative to UNEP on the Global Environmental Outlook and to the Group on Earth Observation on the Program Board. This work is of a technical nature and supports the work I am doing as State Representative to the US Group on Earth Observation. I will receive no financial remuneration for this effort, nor is there any cost for publishing incurred. Neither me or my wife have a monetary of employment interest. I am happy to answer any additional questions> I was not planning on the authors were not planning on a disclaimer at this is part of their job responsibilities at USDA.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - Yes – Science Policy Interface

Thank you,
PA Reviews

RELEASE IN PART
B6

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Tuesday, December 22, 2015 10:06 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: Publication Review - Arctic Climate Change and Sovereignty. . .

B6

Dear Mr. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Please see the attached suggested edits and comments for your consideration.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, November 27, 2015 10:02 AM
To: OES-PA-DG; ENR-PD-Clearances; EAP-P-Office-DL; Ortiz, Alexis J
Cc: Brooks-LaSure, M. Allyn; PA Reviews Mailbox
Subject: OES, L, ENR, EAP Publication Review - Arctic Climate Change and Sovereignty...

RELEASE IN PART B6

Dear Colleagues:

For your review, attached is a 28-page article entitled "Arctic Climate Change, Energy, and Sovereignty - The Effects of an Exogenous Shock on Adherence to the Norms of Sovereignty as Supported by the Rule of Law" written in private capacity by [REDACTED], a Foreign Affairs Officer in INR/GGI/GIU.

B6

We would appreciate hearing from you by **COB Thursday, December 3**. Please see attached Mr. [REDACTED] responses to the standard questions for additional details.

B6

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [REDACTED] a Foreign Affairs Officer in INR/GGI/GIU
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: The information contained in this article are the opinions of the author and do not necessarily reflect the opinions of the Department of State or the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - INR/GGI/GIU Division Chief [REDACTED]
- Where does the author intend to submit the article/manuscript for publication?
 - Georgetown Security Studies Review.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - Yes. My current duties involve analysis of international boundary and sovereignty issues

B6

B6

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, December 28, 2015 11:43 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: Re: Publication Review - Women in American Journalism

RELEASE IN PART
B6

B6

You can use your best judgment, but I think you could say (please adjust for accuracy):

[REDACTED] is the senior press Advisor and Spokesperson for the Office of Global Women's Issues at the U.S. Department of State. The views expressed in the article are those of the author alone and do not necessarily represent the views of the Department of State or the USG.

Sent from my BlackBerry 10 smartphone.

From: [REDACTED]
Sent: Monday, December 28, 2015 5:33 PM
To: PA Reviews Mailbox
Subject: RE: Publication Review - Women in American Journalism

Thanks—I understand the disclaimer is necessary and will absolutely include it. What I'm struggling with is to include the disclaimer without the title or some sort of reference to my work at the Department is confusing to the reader. If PA is able and willing to provide an example of how others have included their title, that is much appreciated. Otherwise, I will use my best judgement so that it makes sense.

Many thanks again,

[REDACTED]

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Monday, December 28, 2015 11:29 AM
To: [REDACTED]
Subject: Re: Publication Review - Women in American Journalism

There is no need to provide your title with the article if you don't want to.

However, the use of the disclaimer is required.

Sent from my BlackBerry 10 smartphone.

From: [REDACTED]
Sent: Monday, December 28, 2015 5:26 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Publication Review - Women in American Journalism

Thanks. But if the text doesn't also include my title, it's kind of confusing no?

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Monday, December 28, 2015 11:24 AM
To: [REDACTED]

Cc: PA Reviews Mailbox

Subject: Re: Publication Review - Women in American Journalism



B6

The standard disclaimer is

The views expressed in this article are those of the author alone and do not necessarily represent the views of the Department of State or the U.S. Government.

Sent from my BlackBerry 10 smartphone.

From: 

Sent: Monday, December 28, 2015 5:16 PM

To: PA Reviews Mailbox

Cc: PA Reviews Mailbox

Subject: RE: Publication Review - Women in American Journalism

Thanks. The FAM is a bit confusing about titles and the disclaimer—obviously I am not writing in my public capacity, but adding a disclaimer that my views are not necessarily those of USG seems strange without some sort of nod to my day job. Do you have an example of a disclaimer you can point to?

From: PA Reviews Mailbox [<mailto:pareviews@state.gov>]

Sent: Tuesday, December 22, 2015 7:02 AM

To: 

Cc: PA Reviews Mailbox

Subject: Publication Review - Women in American Journalism



The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: Dewey, James B <DeweyJB@state.gov>
Sent: Tuesday, December 29, 2015 3:00 PM
To: PA Reviews Mailbox
Cc: (U) Laine, Andrew J
Subject: RE: article submitted for clearance

RELEASE IN PART
B6

Great! Thanks!

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Tuesday, December 29, 2015 2:51 PM
To: Dewey, James B; (U) Laine, Andrew J
Subject: Re: article submitted for clearance

This was cleared and we e-mailed her and sent notification via the database.

Sent from my BlackBerry 10 smartphone.

From: Dewey, James B
Sent: Tuesday, December 29, 2015 8:25 PM
To: (U) Laine, Andrew J
Cc: PA Reviews Mailbox
Subject: FW: article submitted for clearance

Hi Andy, I just want to flag this one for you as [] is a PA employee at USDC... She says "clearance," but I'm guessing B6 all this needs is a review, no? Hope you're doing well, James

This email is UNCLASSIFIED.

From: [] [mailto:[]@state.gov]
Sent: Tuesday, December 29, 2015 9:16 AM
To: Dewey, James B
Subject: RE: article submitted for clearance

Not yet. I submitted the WHA-cleared version at 11:20 AM yesterday to PA Reviews.

From: Dewey, James B [mailto:DeweyJB@state.gov]
Sent: Tuesday, December 29, 2015 9:14 AM
To: []
Subject: RE: article submitted for clearance

Has the review come through yet?

This email is UNCLASSIFIED.

From: [redacted] [mailto:[redacted]@state.gov]

Sent: Tuesday, December 22, 2015 12:20 PM

To: Dewey, James B

Cc: Johnson, Kathy A; Chacon, Sarah G

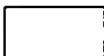
Subject: article submitted for clearance

B6

James,

Just a heads up that I submitted the ICOM Museum International magazine article for clearance to PA Reviews a few minutes ago. It is due to the publisher by December 31st. So I hope this leaves enough time for clearance.

Thanks much as always.



Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Wednesday, December 30, 2015 2:25 PM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: Review: Conflict and Terrorism in South Asia Since 9/11

RELEASE IN PART
B6

B6

Mr. [REDACTED]

The Department has completed the review of your review entitled "Conflict and Terrorism in South Asia Since 9/11", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]>
Sent: Sunday, December 06, 2015 9:17 AM
To: PA Reviews Mailbox
Subject: 5 items for review

B6

RELEASE IN PART
B6

Follow Up Flag: Follow up
Flag Status: Completed

Hello,

I just started working at the Department of State last week as an unpaid Franklin Fellow in the EUR/CARC office. I wasn't sure if I needed to get the attached five items reviewed. My Department of State email address is [redacted]@State.gov

Two are academic review articles of books about terrorism and conflict in Europe and South Asia. One is a review of an academic book covering the Chechen conflict. The last two are conference papers for academic conferences, the International Studies Association and Pacific Coast Conference on British Studies.

None of these works comment on US policy or involve any materials from the Department of State. In no way am I representing myself as giving government opinion.

Please let me know these need approved or if you have any questions.

Sincerely,

[redacted]

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Wednesday, December 09, 2015 6:46 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: Re: Review Status: China's Five-Year Planning System

RELEASE IN PART
B6

B6

Thank you very much!!

Sent from my BlackBerry 10 smartphone.

From: PA Reviews Mailbox
Sent: Tuesday, December 8, 2015 4:50 AM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: Review Status: China's Five-Year Planning System

Mr. [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government. Your paper is attached with edits incorporated for your consideration.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted]@state.gov>
Sent: Thursday, December 03, 2015 11:51 AM
To: PA Clearances Mailbox
Subject: Re: INR Clearance Request

B6

RELEASE IN PART
B6

Follow Up Flag: Follow up
Flag Status: Completed

Dear PA,

I am abroad on TDY and cannot access State Department intranet, so i cannot submit a request for PA clearance through the normal channels. Is it possible to do so via email?

Attached is the document I would like to have published.

I am an analyst in INR/EC/ET. My supervisor, [redacted] has approved it. I am awaiting approval from my bureau, which would include certification that no classified or proprietary information is involved.

B6

I need EAP and EB clearances, and will forward this email to their public affairs offices.

The venue is a Center for Strategic and International Studies online publication. CSIS is a reputable local think tank that would like to publish short summaries of a recent conference in which I recently participated. The content of my paper is 100% derived from a public testimony that I gave to the US congressional economic and security commission earlier this year (with PA approval).

The online publication would include about two-dozen short papers from China experts on the Chinese economy.

I would receive no compensation and the paper would include a disclaimer that my views did not represent those of the Department and represented my private research only. In fact, everything in the paper was based on work I did before joining the Department.

My main challenge at this point is navigating the PA process without access to the intranet, so please advise what you need from me to proceed.

Thank you very much,

[REDACTED]

Sent from my BlackBerry 10 smartphone.

From: [REDACTED]
Sent: Tuesday, April 21, 2015 1:15 AM
To: PA Clearances Mailbox
Subject: INR Clearance Request

Hi PA Clearances,

I submitted a clearance request last week for my April 22 testimony before the US-China Economic and Security Review Commission (which was due to them one hour ago).

I have not heard back, and wanted to check on the status of the application.

EAP/CM and EB's lead China coordinator have both cleared, which I believe are the two major outstanding issues.

Please advise if there is anything else holding up this request!

Thank you very much!

[REDACTED]

[REDACTED]
U.S. Department of State
Washington, DC
202-647-2186
[REDACTED]@state.gov

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Wednesday, December 09, 2015 1:48 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Personal Publication

RELEASE IN PART
B6

B6

I absolutely will. Thanks so much.

[redacted]

PERSONAL
This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Wednesday, December 09, 2015 1:46 PM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: RE: Personal Publication

[redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Thank you,
PA Reviews

From: [redacted] [mailto:[redacted]@state.gov]
Sent: Monday, November 30, 2015 11:13 AM
To: PA Reviews Mailbox
Subject: Personal Publication

Hi,

I've attached an article review for PA clearance that I was asked to prepare for H-Diplo, an online source of scholarly book and article reviews, blog postings, and other communications between diplomatic historians hosted by H-NET at Michigan State University. Please see the website for examples of the postings: <https://networks.h-net.org/h-diplo>. The review will be published online sometime early next year.

Please let me know if you have any questions, comments, or concerns, and also note the disclaimer that I've included at the beginning of the review.

Thank you.

[REDACTED]

Historian, Declassification Division
Office of the Historian
Department of State

[REDACTED]@state.gov

[REDACTED]

PERSONAL

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Monday, August 17, 2015 8:11 AM
To: PA Reviews Mailbox
Subject: RE: Review Status: Comment on Fighting the Islamic State

RELEASE IN PART
B6

B6

Thank you.

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [<mailto:pareviews@state.gov>]
Sent: Friday, August 14, 2015 11:32 AM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: Review Status: Comment on Fighting the Islamic State

Mr. [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that the author may proceed, provided that the author includes the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government. The paper is attached with suggested edits incorporated for your consideration.

Please let us know if you have questions.

Thank you,
PA Reviews

RELEASE IN PART
B6

Hartmann, Lorraine (Lori)

From: [redacted]@state.gov>
Sent: Wednesday, August 12, 2015 4:40 PM
To: Key, Adolph E; PA Clearances Mailbox
Subject: PA Clearance Request

B6

Adolph and PA, I tried to submit the attached four-paragraph article for PA clearance but the site would not process it. I attach it here and hope you can help me to submit it.

The short article would be published in the US Army War College Journal on "Fighting the Islamic State" and would have the usual, customary statement that it does not reflect official USG views.

I have an August 21 publication deadline that I hope is doable since it is so brief.

Thanks, [redacted] State Dept Rep to the Peacekeeping Institute at the U.S. Army War College

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, August 20, 2015 5:08 PM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: RE: Publication Review: Statement for Summer Fellowship

RELEASE IN PART
B6

B6

Dear [REDACTED]

The Bureau of Public Affairs has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this statement are those of the author and not necessarily those of the U.S. Government.

Please let us know if you have questions.

Thank you,
PA Reviews

From: [REDACTED] [mailto:[REDACTED]@state.gov]
Sent: Thursday, August 20, 2015 2:50 PM
To: PA Reviews Mailbox
Subject: RE: Publication Review: Statement for Summer Fellowship

Thank you, please see answers below:

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Thursday, August 20, 2015 2:41 PM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: Publication Review: Statement for Summer Fellowship

[REDACTED]

Thank you for submitting your publishing request via the PA Reviews Database. Please fill out the publication questionnaire below; we will begin the review of your paper as soon as this information is received.

Please let us know if you have questions.

Thank you,
PA Reviews

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol? [REDACTED] Intern with J/GCJ
- Is the author writing in his/her private capacity or official capacity? Private

- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration. L/Ethics has cleared; no remuneration for this article specifically
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? N/A
- Who else has cleared (your supervisor, others within the Department of State)? L/Ethics and my supervisor within J/GCJ have both cleared
- Where does the author intend to submit the article/manuscript for publication? This short statement will be submitted to Princeton's Law and Public Affairs program and the Liman Fellowship program at Yale University.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No specific duties are referenced; I say that I supported the work of J/GCJ during my internship.

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Thursday, September 03, 2015 6:06 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Review Status: Visit to the Milan Expo, Lessons for Dubai 2020

RELEASE IN PART
B6

B6

Thank you for getting back to me with PA review of my article. I have incorporated some of the suggested edits, and do note that Deputy Blinken correction.

I will send a final version of the article once published in October.

Regards,

[redacted]

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Wednesday, August 26, 2015 8:42 AM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: Review Status: Visit to the Milan Expo, Lessons for Dubai 2020

Mr. [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government. During the review, it was noted one error, namely that Deputy Secretary Blinken did not visit the Expo in August. Your paper is attached with suggested edits incorporated for your consideration; including one comment related to text on pg. 9 (see below).

He oversees a budget of XXX, a staff of XXX of which he is particularly proud of the role of the 120 Student Ambassadors.

Please let us know if you have questions.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, August 17, 2015 2:42 PM
To: NEA-Press; EUR-Press; EB-A-PD-DL; ECA-Press; EAP-Press
Cc: PA Reviews Mailbox
Subject: Publication Review: Visit to the Milan Expo, Lessons for Dubai 2020

RELEASE IN PART B6

Dear Colleagues:

For your review, attached is a 16-page article entitled "The World's Fairs Today – A Visit to the Milan Expo, Lessons for Dubai 2020", written in private capacity by State Department employee [redacted] We would appreciate hearing from you by **Thursday, August 20**. B6

Please see Mr. [redacted] responses to the standard questions for additional details below.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
o [redacted] Assistant Cultural Affairs Officer SCA/PPD
- Is the author writing in his/her private capacity or official capacity?
o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
o Yes. "The views expressed are the employee's own and not necessarily those of the Department of State or the U.S. Government."
- Who else has cleared (your supervisor, others within the Department of State)?
o I have shared the article with EUR/PD (Bea Camp) and CG Milan (Elia Tella).
- Where does the author intend to submit the article/manuscript for publication?
o Foreign Service Journal
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
o No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a written, detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, September 11, 2015 12:01 PM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: Review Status: Overseas Schools: A Parents' Guide

RELEASE IN PART
B6

B6

Ms. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Please let us know if you have questions.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: Place, Eileen M
Sent: Tuesday, September 01, 2015 9:34 AM
To: PA Clearances Mailbox
Cc: [REDACTED] Young, Rainy
Subject: FW: Final Review Office Request

RELEASE IN PART
B6

B6

Follow Up Flag: Follow up
Flag Status: Completed



I'm sending your email to the PA clearances mailbox. They will take care of your request for a clearance.

Eileen

*Eileen McCormick Place
Office of Public Engagement | Bureau of Public Affairs
202-647-8948 | placeem@state.gov*

From: [REDACTED] [mailto:[REDACTED]@state.gov]
Sent: Tuesday, September 01, 2015 8:41 AM
To: PA OPE Group
Cc: [REDACTED]
Subject: Final Review Office Request

Good morning.

I am seeking the "Final Review Offices" listed in 3 FAM 4174.3*. (The PAOPEGroup@state.gov address listed on the Public Affairs intranet site is "no longer valid".)

I am a State Department employee and also a family member. I have written an article for AAFSW's (Associates of the American Foreign Service Worldwide) upcoming book about children in the Foreign Service. Per 3 FAM, I may need to have my article reviewed before I submit it to the publisher.

Do you know if "Overseas Schools" is a "topic of Departmental concern"? There is nothing classified and there would be no negative ramifications from this article, of which I am aware. The Office of Overseas Schools has already reviewed much of this and has no objection.

If so, to whom do I submit my article for review? The article is part of a book written for AAFSW by family members of foreign service officers. We are all volunteers. The purpose of the book is to help other family members adjust to living overseas. I have attached the article so you can best advise me.

*Full text of 3 FAM 4174.3c:

c. Employees' personal capacity public communications must be reviewed if they are on a topic "of Departmental concern" (see 3 FAM 4173). Personal capacity public communications that clearly do not address matters of Departmental concern need not be submitted for review.

Regards,



Case Manager
Office of Personnel Security & Suitability
Bureau of Diplomatic Security
U. S. Department of State
Office: (571) 345-9734
Fax: (571) 345-3193



PERSONAL
This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, September 14, 2015 2:10 PM
To:
Cc: PA Reviews Mailbox
Subject: Review Status: Half Way There on National Unity in Ukraine

RELEASE IN PART
B6

B6

Mr.

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, September 10, 2015 2:51 PM
To: EUR-Press
Cc: PA Reviews Mailbox
Subject: Publication Review: Half Way There on National Unity in Ukraine

RELEASE IN PART
B6

Dear EUR Colleagues:

For your review, attached is a 3-p article "Half Way There on National Unity in Ukraine", written in private capacity by State Department employee [redacted] currently on detail at US Institute of Peace. We would appreciate hearing from you by COB Tomorrow, September 11. Please see Mr. [redacted] responses to the standard questions for additional details below.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
☐ [redacted] HR/CDA/SL
- Is the author writing in his/her private capacity or official capacity?
☐ Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
☐ No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
☐ Yes. Standard disclaimer. Views expressed are those of the author and do not necessarily reflect views of the DOS.
- Where does the author intend to submit the article/manuscript for publication?
☐ FP Peace Channel.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
☐ No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a written, detailed explanation.

Thank you,

PA Reviews

Hartmann, Lorraine (Lori)

From: Douglas, Walter T <DouglasWT@state.gov>
Sent: Tuesday, September 15, 2015 4:03 PM
To: PA Reviews Mailbox
Cc: Paik, Connie Y; PA Reviews Mailbox
Subject: RE: Walter - Publication Review - The Use of Child Soldiers During Sierra Leone's Civil War

RELEASE IN PART
B6

I clear, without edits or comments. Regards. Walter.

Walter Douglas
Deputy Assistant Secretary of State
For International Media Engagement
Bureau of Public Affairs
Department of State
Washington, D.C. 20520
Tel: 202-647-0419

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Tuesday, September 15, 2015 6:46 AM
To: Douglas, Walter T
Cc: Paik, Connie Y; PA Reviews Mailbox
Subject: Walter - Publication Review - The Use of Child Soldiers During Sierra Leone's Civil War

Walter:

Attached is a thesis for your review – it has been cleared by AF, PM and JTIP without objections.

Thank you.

From: PA Reviews Mailbox
Sent: Wednesday, September 02, 2015 3:15 AM
To: McKeeby, David I; Shiozaki, Mai
Cc: PA Reviews Mailbox
Subject: PM, JTIP Publication Review - The Use of Child Soldiers During Sierra Leone's Civil War

Dear David and Mai:

For your review, attached is a 96-page thesis entitled "The Use of Child Soldiers During Sierra Leone's Civil War" written in private capacity by [redacted] a State Department employee (PM/POLAD) assigned to long-term training at the US Army Command and General Staff College. B6

We would appreciate hearing from you by **COB Friday, September 11**. Please see attached Mr. [redacted] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?

- o PM/POLAD, Long-Term Training, US Army Command and General Staff College B6

- Is the author writing in his/her private capacity or official capacity?
 - o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - o Yes: The opinions and conclusions expressed herein are those of the student author and do not necessarily represent the views of the U.S. Army Command and General Staff College or any other governmental agency. (References to this study should include the foregoing statement.)
- Who else has cleared (your supervisor, others within the Department of State)?
 - o AF/W Director Jason Small
- Where does the author intend to submit the article/manuscript for publication?
 - o <http://cgsc.contentdm.oclc.org/>
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - o No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, September 21, 2015 12:30 PM
To:
Cc: PA Reviews Mailbox
Subject: Review Status: Understanding China's Belt and Road Initiative: Opportunities and Risks

RELEASE IN PART
B6

B6

Dear Ms.

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this statement are those of the author and not necessarily those of the U.S. Government.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: Choi, Grace H <ChoiGH2@state.gov>
Sent: Wednesday, September 16, 2015 10:17 AM
To: PA Reviews Mailbox
Cc: EAP-P-Office-DL
Subject: For PA: Publication Review -- China's Belt and Road

RELEASE IN PART
B6

Follow Up Flag: Follow up
Flag Status: Completed

Dear PA Review's Team:
We received the following request from the DoS Employee on a fellowship.
This has been reviewed by China Desk and EAP/EP. They were fine with the publication.
It is for a domestic publication and wanted to make sure that PA was also aware.

Thank you and I would greatly appreciate your thoughts!

Best,
Grace

Grace Choi
Office of Public Affairs
Bureau of East Asian and Pacific Affairs
U.S. Department of State
Phone: (202) 736-4537
Email: choigh2@state.gov

This email is UNCLASSIFIED.

From: [redacted] [mailto:[redacted]@americanprogress.org]
Sent: Friday, September 11, 2015 12:07 PM
To: EAP-Press
Subject: Publication Review: China's Belt and Road

B6

Dear EAP press,

I am a DoS Employee on a one year fellowship outside the Department. I would like to publish the attached on China's Belt and Road, based on research at the Center for American Progress. For publications related to other bureaus, I have typically gone through their press offices - please let me know if this process is the same for EAP. Please find relevant information below. As noted, we would like review completed by next Friday.
Thank you in advance!

Publication: center for american progress
Date: 9/18/2015
renumeration: none

Disclaimer: standard

Related to recent duties?: No - never worked on China or Belt and Road issues at State (last position was NEA).

Best,

[Redacted]

B6

[Redacted]

CFR Visiting Fellow

National Security and International Policy Team

Center for American Progress

m: [Redacted]

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Tuesday, September 22, 2015 11:02 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: RE: Review Status: The Evaluation Revolution in Public Diplomacy and how officers in the field can (and should!) be Involved

RELEASE IN PART
B6

B6

You're welcome Ms. [REDACTED] Thank you for your patience during the course of this review.

From: [REDACTED] [mailto:[REDACTED]]
Sent: Tuesday, September 22, 2015 10:54 AM
To: PA Reviews Mailbox
Subject: Re: Review Status: The Evaluation Revolution in Public Diplomacy and how officers in the field can (and should!) be Involved

Dear PA Colleagues,
Thank you for your assistance, suggestions, and cooperation. I have incorporated all suggested edits and will now send it to the Council for American Ambassadors, per the requirement of my DOS-CAA fellowship.
Many thank for your time and attention,
[REDACTED]

On Monday, September 21, 2015 11:36 PM, PA Reviews Mailbox <pareviews@state.gov> wrote:

Ms. [REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government. Your paper is attached with suggested edits incorporated for your consideration.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: [REDACTED] <[REDACTED]>
Sent: Saturday, September 12, 2015 2:56 PM
To: PA Clearances Mailbox
Subject: Submission of article for PA clearance - DOS PD Fellowship article due to Council of American Ambassadors this week

RELEASE IN PART
B6

B6

Dear PA colleagues,

Can you please advise on the process for submitting an article for PA clearances for publication? I was selected for a DOS program for PD officers run in partnership with the Council of American Ambassadors (CAA), and *as part of the fellowship I was required to write an article for the "Ambassadors' Review"* on an issue related to Public Diplomacy which I researched throughout the fellowship year (via interviews with current and former ambassadors and through academic study at the condensed Summer Institute at USC's Center for Public Diplomacy.) The article will appear in the CAA's professional magazine that goes to its members, entitled "The Ambassador's Review".

Since DOS selected me for this program and it's a requirement of the program that I write this article, as all previous fellows from this program have done, I'm assuming this is a permitted activity. As such, does PA have to clear the specific text? If so, the CAA requires a cleared text by this **Thursday September 17th**.

Best regards,

P.S. I'm a PD Officer, who's currently in immersion language training overseas and thus I don't have regular access to my State account [REDACTED]@state.gov nor to an OpenNet computer this year. If you need to clear the specific text, is it possible for me to submit the article to you today via email? Thank you so much for your help and please let me know if there's a different POC I should be reaching out to!

Hartmann, Lorraine (Lori)

From: [redacted]
Sent: Tuesday, September 29, 2015 10:43 AM
To: PA Reviews Mailbox
Subject: RE: Request permission to publish

RELEASE IN PART
B6

B6

Thank you so much!!!!

[redacted]

[redacted]

*U.S. Department of State Fellow
The Chicago Council on Global Affairs*

From: PA Reviews Mailbox [http://redirect.state.sbu/?url=mailto:pareviews@state.gov]
Sent: Tuesday, September 29, 2015 7:43 AM
To: [redacted] <[redacted]>
Cc: PA Reviews Mailbox <pareviews@state.gov>
Subject: RE: Request permission to publish

Dear Ms. [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government. The article is attached with a few minor suggested edits and one comment incorporated for your consideration.

Please let us know if you have questions.

Thank you,
PA Reviews

From: [redacted] [http://redirect.state.sbu/?url=mailto:[redacted]]
Sent: Friday, September 25, 2015 8:16 AM
To: PA Reviews Mailbox
Subject: Re: Request permission to publish

Thank you very much. For your information, I did not receive the automatic reply. Did the State firewall block it, perhaps?

Here is the information you have requested.

[redacted] State Department Advisor to The Chicago Council on Global Affairs, a detail assignment under HR/CD/PA

Writing in private capacity

Non remunerative article

I will include any disclaimer you request

No one in the Department has cleared. (This is not a policy article.)

Article is for the Foreign Service Journal

The article is an interview of a man with whom I work here at the Council, but the topic is an event that occurred in the 1970s.

The best way to reach me is at: or

B6

Many thanks for your consideration,

On Sep 25, 2015, at 06:31, PA Reviews Mailbox <pareviews@state.gov> wrote:

Good Morning

The PA Clearances Mailbox was closed in August. We had set up an automatic reply notifying people to send inquiries into the new mailbox – PA Reviews.

We did not receive your earlier request to review this article, but are happy to begin the review process ASAP.

Please provide the information below so we may begin our review. Thank you.

PA Reviews

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
- Is the author writing in his/her private capacity or official capacity?
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- Who else has cleared (your supervisor, others within the Department of State)?
- Where does the author intend to submit the article/manuscript for publication?
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
- Contact Information:

From:
[http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=mailto:

Sent: Thursday, September 24, 2015 12:09 PM
To: PA Clearances Mailbox
Subject: FW: Request permission to publish

Many thanks,

11

From:

Sent: Thursday, September 03, 2015 5:05 PM

To: PA Clearances Mailbox <PAclearances@state.gov>


Hello. The Foreign Service Journal would like to publish the attached article. I would very much appreciate receiving your clearance, as well as the required language to be added to the end of the article.

Many thanks,

11/11/2016

Please note that my Go token is not working at the moment. It is easiest to reach me through this mailbox or through my Chicago Council address, included above.

Many thanks,



Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, August 05, 2016 2:09 PM
To: Goings, Renee A
Cc: PA Reviews Mailbox
Subject: RE: Request for Clarification - 3FAM 4170

RELEASE IN PART B6

Hi Renee,

Thank you for your e-mail. The blanket authorization can be approved via the press office with Elizabeth or Kate. PA Reviews wouldn't need to be notified.

Thank you.

Tameka
PA Reviews

From: Goings, Renee A [mailto:GoingsRA2@state.gov]
Sent: Friday, August 05, 2016 2:02 PM
To: PA Reviews Mailbox
Subject: Request for Clarification - 3FAM 4170

Hello All;
PA/HO occasionally provides factual, publically-available information on diplomatic and Department history to the public, including journalists, through our history@state.gov mailbox. Do we need to apply for a blanket authorization, as mentioned in the FAM below? And on a related note, if we provide information directly to a journalist at the request of the press office, would we need to notify PA Reviews?

Thank you,
Renée

Renée Goings
Deputy Director
Office of the Historian
Bureau of Public Affairs
W: (202) 955-0203
C:

B6

3 FAM 4170

REVIEW OF PUBLIC SPEAKING, TEACHING, WRITING, AND MEDIA ENGAGEMENT

(CT:PER-776; 07-27-2015)

(Office of Origin: PA)

3 FAM 4171 APPLICABILITY

(CT:PER-776; 07-27-2015)

(State/USAID)

(Applies to all Employees in the United States and Abroad)

- a. The provisions of this subchapter apply to all personnel in the United States and abroad who are currently employed (even if in Leave Without Pay status) by the Department of State and the United States Agency for International Development (USAID), including but not limited to Foreign Service (FS) employees, Civil Service (CS) employees (including schedule C appointees and annuitants returning to work on temporary appointments on an intermittent basis, commonly referred to as "While Actually Employed (WAE)" personnel), locally employed staff (LE Staff), personal service contractors (PSCs), employees assigned to fellowships or details elsewhere and detailees or fellows from other entities assigned to the Department, externs/interns, and special government employees (SGEs) as defined in 18 U.S.C. 202(a) (all together referred to as "employees"). USAID service contractors and implementing partners should consult USAID's Bureau for Legislative and Public Affairs for applicable guidelines.
- b. Former Department of State employees (including former interns and externs) must seek guidance from A/GIS/IPS for applicable review process information. Former USAID employees (including former interns and externs) must consult the Bureau for Legislative and Public Affairs for applicable review process information.
- c. The provisions of this subchapter apply to all public communications as defined in 3 FAM 4173, such as speaking, teaching, writing, and press/media engagement, including that prepared for electronic dissemination in an employee's official capacity, or in an employee's personal capacity if on a topic "of Departmental concern," as defined in 3 FAM 4173.
- d. The provisions in this subchapter apply to all non-emergency public communications. During crisis situations, posts should seek guidance from Washington as the review process may change. See 12 FAH-1 H-400 for information on crisis communications.
- e. Views on matters of Departmental concern communicated through methods of internal communication (including, for example, the Department's internal dissent channel) or disclosures made pursuant to 5 U.S.C. 2302(b)(8)(B) are not subject to the review requirements of this subchapter.
- f. Employee testimony, whether in an official capacity or in a personal capacity on a matter of Departmental concern, may be subject to the review requirements of this subchapter. Employees should consult with the Department of State's Office of the Legal Adviser or USAID's Office of the General Counsel, as appropriate, to determine applicable procedures.
- g. USAID's internal implementation procedures are not comprehensively discussed in this subchapter. USAID employees should seek guidance from the Bureau for Legislative and Public Affairs.

3 FAM 4172 AUTHORITIES

(CT:PER-776; 07-27-2015)

(State/USAID)

(Applies to all Employees in the United States and Abroad)

- a. The provisions of this subchapter are consistent with and do not supersede, conflict with, or otherwise alter the employee obligations, rights, or liabilities created by statute or Executive order relating to (1) classified information, (2) communications to Congress, (3) the reporting to an Inspector General of a violation of any law, rule, or regulation, or mismanagement, a gross waste of funds, an abuse of authority, or a substantial and specific danger to public health or safety, or (4) any other whistleblower protection. The

definitions, requirements, obligations, rights, sanctions, and liabilities created by controlling Executive orders and statutory provisions are incorporated into this policy and are controlling.

b. These provisions are to be interpreted and applied consistent with the following authorities. This list is exemplary.

- (1) The Constitution of the United States, including the First Amendment and related jurisprudence;
- (2) The Anti-Lobbying Act, 18 U.S.C. 1913;
- (3) The Compliance with Procurement Integrity Act, 41 U.S.C. 2101-2107;
- (4) The Digital Millennium Copyright Act, Public Law 105-304;
- (5) The Federal Advisory Committee Act, 5 U.S.C. Appendix;
- (6) The Hatch Act, 5 U.S.C. 7321-7326;
- (7) The Immigration and Nationality Act, 8 U.S.C. 1101 et seq.;
- (8) The Privacy Act, 5 U.S.C. 552a;
- (9) Use of the Great Seal and Agency Seals; 18 U.S.C. 713 and 1017;
- (10) The Whistleblower Protection Enhancement Act, Public Law 112-119;
- (11) Annual appropriations act restrictions on publicity and propaganda;
- (12) 5 CFR 734, Political Activities of Federal Employees;
- (13) 5 CFR 2635, Standards of Ethical Conduct for Employees of the Executive Branch;
- (14) 22 CFR 172, Service of Process; Production or Disclosure of Official Information in Response to Court Orders, Subpoenas, Notices of Depositions, Requests for Admissions, Interrogatories, or Similar Requests with Demands in Connection with Federal or State Litigation; Expert Testimony (Touhy Regulations);
- (15) Executive Order 13526, Classified National Security Information, or subsequent, related orders;
- (16) Executive Order 13556, Controlled Unclassified Information;
- (17) Executive Order 13584, Developing an Integrated Strategic Counterterrorism Communication Initiative;
- (18) Protection of Sensitive Agency Information, OMB Memorandum M-06-16 (June 23, 2006);
- (19) Safeguarding Against and Responding to the Breach of Personally Identifiable Information, OMB Memorandum M-07-16 (May 22, 2007);
- (20) 3 FAM 1210, Personnel Policy;
- (21) 3 FAM 4120, Employee Responsibilities Abroad;
- (22) 3 FAM 4320, Disciplinary Action - Common Practices;
- (23) 5 FAM 460, Privacy Act Requirements;
- (24) 5 FAM 490, Use of Copyrighted Material;
- (25) 5 FAM 700, Internet and Intranet Use;
- (26) 12 FAM 540, Sensitive But Unclassified Information; and
- (27) 12 FAM 550, Security Incident Program.

3 FAM 4173 DEFINITIONS

(CT:PER-776; 07-27-2015)

(State/USAID)

(Applies to all Employees in the United States and Abroad)

Appearance: Any live or real-time (even if delayed) presentation of views or ideas, including but not limited to physical presence before an audience, participation in a text-only or visual online forum, participation in a press interview (in-person, online, or over the phone), or teaching.

Department: Both the U.S. Department of State and USAID, including U.S. and overseas offices, missions, and/or posts.

Electronic dissemination: Information disseminated through electronic means, including but not limited to email distributions, social media, removable media like CD-ROM, or other technologies.

Employees: Current FS employees, CS employees (including schedule C appointees and annuitants returning to work on temporary appointments on an intermittent basis, commonly referred to as "WAE" personnel), LE Staff, PSCs, employees assigned to fellowships or details elsewhere and detailees or fellows from other entities assigned to the Department, externs/interns, SGEs as defined in 18 U.S.C. 202(a), and any other personnel who are employed by State or USAID in the United States or abroad.

Final Review Office: The office assigned to conduct formal reviews on behalf of the Department, when required, of a particular employee's or office's public communications, as listed in the chart at 3 FAM 4174.3(a).

Media engagement: Interviews or written submissions for newspaper, magazine, TV, radio, documentary, or other media organizations or products, including online forums.

Media organization: A person or entity engaged in disseminating information to the general public through a newspaper, magazine, other publication, radio, television, or other means of mass communication, including online forums such as blogs.

Of Departmental concern: Pertaining to current U.S. foreign policy or the Department's mission (including policies, programs, operations or activities of the Department of State or USAID), or which reasonably may be expected to affect the foreign relations of the United States.

Official capacity: Pursuant to an employee's employment responsibilities, or in any capacity representing the Department, regardless of location or forum.

Personal capacity: Not pursuant to an employee's official capacity, i.e., in his or her capacity as a private citizen. The content of a personal capacity public communication may nevertheless be of Departmental concern.

Protected Information: Information protected by law, regulations, privilege, or policy including but not limited to classified, sensitive but unclassified, non-public or controlled unclassified information.

Public: Non-U.S.-government audience(s).

Public communication: Appearances and/or publications for a public audience, including engagement with press/media organizations.

Publication: Any prepared written communication presenting views or ideas for public dissemination, including but not limited to books, articles, papers, text of oral remarks, letters to the editor, and digital or online posts, including social media.

Publish: To disseminate a publication.

Social media: Digital technologies, applications and platforms that allow publishing, communication, and collaboration among individuals and institutions.

3 FAM 4174 GENERAL POLICY AND PROCEDURES

3 FAM 4174.1 Policy

(CT:PER-776; 07-27-2015)

(State/USAID)

(Applies to all Employees in the United States and Abroad)

- a. The Department encourages employees, in both their official and personal capacities, to undertake responsible activities, including public communications, devoted to increasing public study and understanding of the nation's foreign relations.
- b. Social media provides an important means for the Department to fulfill its lead role in the conduct of U.S. foreign policy. The Department encourages innovative and responsible use of social media by employees.

3 FAM 4174.2 Overview

(CT:PER-776; 07-27-2015)

(State/USAID)

(Applies to all Employees in the United States and Abroad)

- a. Public communications may be conducted in employees' official capacities, or in their personal capacities. As detailed in this subchapter, different standards and procedures govern each of these situations. Online communications such as social media use are not exempted from those standards and procedures except as described herein.
- b. Employees' official capacity public communications, including written submissions to media organizations, interviews with the media, or other official media appearances must be reviewed and cleared by the applicable Final Review Office, as defined in 3 FAM 4174.3(a). However, the Final Review Office, in its discretion, may issue certain blanket authorizations to engage to employees, offices, or sections, particularly for social media engagement; see 3 FAM 4175.1(c).
- c. Employees' personal capacity public communications must be reviewed if they are on a topic "of Departmental concern" (see 3 FAM 4173). Personal capacity public communications that clearly do not address matters of Departmental concern need not be submitted for review.
 - (1) The personal capacity public communications review requirement is intended to serve three purposes: to determine whether the communication would disclose classified or other protected information without authorization; to allow the Department to prepare to handle any potential ramifications for its mission or employees that could result from the proposed public communication; or, in rare cases, to identify public communications that are highly likely to result in serious adverse consequences to the mission or efficiency of the Department, such that the Secretary or Deputy Secretary must be afforded the opportunity to decide whether it is necessary to prohibit the communication (see 3 FAM 4176.4).
 - (2) The purposes of the review are limited to those described in paragraph (1); the review is not meant to insulate employees from discipline or other administrative action related to their communications, or otherwise provide assurances to employees on matters such as suitability for continued employment (see, e.g., 3 FAM 4130 for foreign service personnel and 5 CFR 731 for civil service).

personnel). Ultimately, employees remain responsible for their personal capacity public communications whether or not such communications are on topics of Departmental concern.

- d. No current or former employee is permitted to publish or otherwise disclose to the public (including by posting to the Internet or social media) materials that contain classified information. However, employees may make a protected disclosure of classified information to the Office of the Special Counsel or the Office of the Inspector General.
- e. In addition to complying with the provisions of this subchapter, employees engaged in public communication must also comply with applicable laws and regulations, including the Standards of Ethical Conduct for Employees of the Executive Branch (5 CFR Part 2635) and laws referenced in that regulation, and other authorities listed in 3 FAM 4172.

3 FAM 4174.3 Final Review Offices

(CT:PER-776; 07-27-2015)

(State/USAID)

(Applies to all Employees in the United States and Abroad)

- a. Where the provisions in this subchapter require formal review on behalf of the Department for official or personal capacity public communications, that review will be conducted by the appropriate "Final Review Office" listed in the chart below:

Agency	Employees	Final Review Office
State	Employees in U.S. and Chiefs of Mission	Bureau of Public Affairs (PA)*
State	Employees abroad other than Chiefs of Mission**	Chief of Mission or designee
USAID	Employees in U.S.	USAID's Bureau for Legislative and Public Affairs (LPA)
USAID	Employees abroad**	Chief of Mission or designee with concurrence of LPA

*The Final Review Office for public communications by the PA Assistant Secretary is the Under Secretary for Public Diplomacy and Public Affairs or his/her designee.

**Does not generally include those employees abroad only on temporary duty status; such individuals must submit their communications for review to the relevant Final Review Office for their normal location of assignment, unless the latter has approved other arrangements.

- b. Public communications that are subject to review must be submitted in advance to the appropriate Final Review Office, in an editable format. That Office will respond as quickly as possible within the timeframes below if the proposed communication does not involve equities of another U.S. Government entity (e.g., NSA, NSC, CIA, DoD, etc.). When the proposed communication must be referred to another U.S. Government entity or entities for review, additional time may be required to complete the review.
- c. To ensure that no classified information is improperly disclosed, an employee must not take any steps to proceed with a public communication (including making commitments to publishers or other parties) until he or she receives written notice to proceed from the Final Review Office, except as described below. If,

upon expiration of the relevant timeframes below, the Final Review Office has not provided an employee with either a final response or an indication that a public communication involves equities of another U.S. Government entity (including a list of the entity or entities with equities), the employee may use, issue, or publish materials on matters of Departmental concern that have been submitted for review so long as such materials do not contain information described in 3 FAM 4176.2(a) and taking into account the principles in 4176.2(b). When an employee has been informed by the Final Review Office that his or her public communication involves equities of another U.S. Government entity or entities, the employee should not proceed without written notice to proceed from the Final Review Office. Upon the employee's request, the Final Review Office will provide the employee with an update on the status of the review of his or her public communication, including, if applicable, the date(s) on which the Department submitted the employee's communication to another entity or entities for review. Ultimately, employees remain responsible for their personal capacity public communications whether or not such communications are on topics of Departmental concern:

Intended Public Communication*	Review Timeframe
Books, manuscripts, and other publications of considerable length	30 working days
Articles, papers, and other writings of a highly specialized nature	20 working days
Other papers or articles, including online publications	15 working days
Notification of a planned speaking engagement or other appearance	8 working days
Speeches, remarks, talking points, or notes for appearances	5 working days
Informal blog postings	5 working days
Other social media postings	2 working days

*Note: As this subchapter describes, not all public communication is subject to any type of review, and in some cases blanket authorization to engage, as described in 3 FAM 4175.1(c), may be granted. When in doubt, employees should consult their supervisors or Final Review Office for guidance.

3 FAM 4175 OFFICIAL CAPACITY PUBLIC COMMUNICATIONS

3 FAM 4175.1 General

(CT:PER-776; 07-27-2015)

(State/USAID)

(Applies to all Employees in the United States and Abroad)

- a. Official capacity public communication may be conducted only if approved by the applicable Final Review Office (see 3 FAM 4174.3(a)) pursuant to the provisions of this subchapter. (For USAID see the Automated Directives System (ADS)).

- b. Public communication undertaken as part of an official Department-approved fellowship or detail on matters of Departmental concern, including that of employees detailed outside the Department as well as employees from other agencies detailed to the Department, will be subject to the official capacity review process.
- c. An individual, office and/or section that routinely conducts official public communication in order to satisfy essential programmatic responsibilities may be exempted from the review process if advance blanket authorization to engage has been previously granted by the Final Review Office. The blanket authorization to engage, once given, allows the holder to communicate publicly subject to the parameters of the authorization, and provided that all content conforms to the content rules outlined in 3 FAM 4175.2. Offices or sections whose employees have been granted blanket authorizations to engage must develop an internal review mechanism and accountability process for such content within the office. The Final Review Office will periodically review previously issued blanket authorizations to engage, and reserves the right to revoke such an authorization upon determination that revocation is in the best interest of the Department. Holders of a blanket authorization to engage may also be disciplined or subject to other administrative action related to their communications.
- d. When engaging in speaking, teaching, or writing as part of his or her official duties, an employee may not accept compensation for the activity from any source other than the U.S. Government. See 11 FAM 600.
- e. An employee may use his or her official title for purposes of identification, since the employee will have been authorized to engage in the activity in an official capacity.

3 FAM 4175.2 Content of Official Capacity Public Communications

(CT:PER-776; 07-27-2015)

(State/USAID)

(Applies to all Employees in the United States and Abroad)

a. Official capacity content must:

- (1) Be relevant and appropriate, in keeping with Department messaging, and in line with the communicating employee's, office's, or section's programmatic responsibilities. When relevant, employees should consult with other interested Department offices, posts, or sections;
- (2) Adhere to the content and security policies in 5 FAM 776.3 and 5 FAM 777, as applicable;
- (3) Identify, when using third party content, if there is a relationship between the Department and the third party to ensure transparency; and
- (4) When at post, respect host country laws consistent with Department policy. Employees are responsible for knowing host country laws.

b. Content must not:

- (1) Be inconsistent with stated U.S. Government policy, unless clearly contextualized as another entity's view shared in the interest of educating, informing, or encouraging dialogue about U.S. Government policies, U.S. principles, or U.S. society;
- (2) Comment on matters that are highly sensitive for the foreign relations or foreign policy goals of the United States, or on matters for which PA should otherwise be the first to speak for the Department, unless approved by PA or authorized (in consultation with the Department of State's Office of the Legal Adviser or USAID's Office of the General Counsel, as appropriate) by law or regulations;

- (3) Be libelous, defamatory, or offensive, including with regard to representatives of foreign governments, except when the text reflects an official cleared policy stance;
 - (4) Contain, or in any way allow the public to access, classified or other protected information, even if it is already publicly available due to a previous unauthorized disclosure, including:
 - (a) Material that the Department believes should be protected from public disclosure under the Freedom of Information Act (FOIA), 5 U.S.C. 552(b), including internal pre-decisional deliberative material;
 - (b) Information that reasonably could be expected to interfere with law enforcement proceedings or operations;
 - (c) Information pertaining to procurement in violation of 41 U.S.C. 2101-2107;
 - (d) Sensitive personally identifiable information as defined in 5 FAM 795.1(f); and
 - (e) Other nonpublic information, when used in a manner as prohibited by 5 CFR 2635.703.
 - (5) Promote a personal business or political point of view; or
 - (6) Contain a solicitation of any kind, or advertising on behalf of a non-U.S. government entity, without advance approval from the Department of State's Office of the Legal Adviser or USAID's Office of the General Counsel, as appropriate.
- c. Particular guidance for social media content:
- (1) The guidelines and restrictions in this subchapter have been developed to provide greater discretion to employees to post relevant content to official Department social media sites, and other social media sites as appropriate, subject to the guidance of their supervisors;
 - (2) Re-posting or re-using official content from sites managed by the Department, or other U.S. government agencies where relevant and consistent with Department messaging, is encouraged. The posting of original content is also encouraged provided it complies with the requirements outlined in paragraphs (a) and (b) above;
 - (3) Employees may post appropriate third-party content or links to external sites, social media accounts, or specific pieces of social media content deemed to be reputable for informational purposes, provided the content complies with the requirements outlined in paragraphs (a) and (b) above. Content managers are responsible for ensuring that use of external links is not biased toward any one source or publication, and should be careful not to be perceived as official endorsement or sanction of a commercial enterprise;
 - (4) When non-U.S. Government links are provided, the social media profile must include the following information, if possible, and otherwise a link to a site containing a Terms of Use (see 5 FAM 793.4) that contains the following information: The links contained herein are for informational purposes only and do not necessarily reflect the views or endorsement of the U.S. Government; and
 - (5) See 5 FAM 794 for additional guidelines on content record-keeping.
- d. Copyrighted materials must be used only in accordance with current copyright laws, which typically require permission from the copyright owner. Refer to 5 FAM 490 Use of Copyrighted Material, for specific policy in this area. Material created by the Department cannot be copyrighted, and no copyright insignia ("©") or statement claiming copyright of a purely government work may be used, including on social media. Employees should also check the terms of the license for any third party material incorporated into Department material that they wish to use, to be sure that the use they intend is allowable.

3 FAM 4175.3 Review Process for Official Appearances and Media Engagement

(CT:PER-776; 07-27-2015)

(State/USAID)

(Applies to all Employees in the United States and Abroad)

- a. All invitations for official appearances, including to speak, lecture, or participate in conferences or media interviews in an official capacity, must be referred for review to the appropriate Final Review Office. (See chart at 3 FAM 4174.3(a).) This should be done as soon as the invitation is made, even if materials (such as a speech or talking points) have not yet been prepared. Such materials should be submitted as soon as possible thereafter, as described below in paragraph (b). For employees submitting a request to PA, such requests should be submitted via PAReviews@state.gov.
- b. PA clears all public remarks by senior officials at the Assistant Secretary level and above, including Chiefs of Mission. All other employees must first submit the text, talking points, interview notes, or other materials to support the appearance to their immediate supervisors and any other offices concerned with the subject matter for preliminary review, editing, and approval. Once those preliminary approvals have been collected, the materials must be submitted to the Final Review Office, noting all such clearances, and referencing the Final Review Office's clearance of the engagement itself, sought per paragraph (a) above.
- c. For informal presentations, professional meetings, conferences, and panel discussions where, because of the nature of the public appearance, no prepared script or outline will be available for review, the speaker is responsible for seeking out and then observing policy limitations from relevant subject matter offices in order to ensure that his or her remarks are consistent with U.S. foreign policy and could not reasonably be expected to interfere with the efficiency or mission of the Department. Further, as always, the speaker is responsible for ensuring that his or her remarks involve no violation of security or other dissemination of classified or other protected information.
- d. When participating in an online video or text-based chat or other engagement conducted over the Internet, employees must adhere to the same review requirements as for live public appearances.
- e. Generally, official statements to the press are made only by the Secretary; a Deputy Secretary or Under Secretary; Ambassadors at post; the Assistant Secretary for Public Affairs; Department Spokesperson or Deputy Spokesperson; authorized personnel of the Office of Press Relations; and other Department officials cleared to deliver such statements by the Final Review Office. As with other official appearances, drafts of public remarks or talking points for engagements with the media should be submitted as early as possible for clearance.
- f. While the Chief of Mission or his or her designee serves as the Final Review Office at posts, requests for employees abroad to engage with U.S. domestic media organizations and organizations with significant U.S. domestic impact, particularly English language outlets, must also be reviewed by PA. Prior consultation with PA on other important public statements is also expected.

3 FAM 4175.4 Review Process for Official Capacity Publications

(CT:PER-776; 07-27-2015)

(State/USAID)

(Applies to all Employees in the United States and Abroad)

- a. PA clears all official capacity publications by senior officials at the Assistant Secretary level and above, including Chiefs of Mission. All other employees must first submit the text to their immediate supervisors and any other offices concerned with the subject matter for preliminary review, editing, and approval. Once those preliminary approvals have been collected, the materials must be submitted to the

Final Review Office (as described in 3 FAM 4174.3(a)), noting all such clearances. The Final Review Office will then make the final approval determination. For employees submitting a request to PA, such requests should be submitted via PAReviews@state.gov.

- b. As described in greater detail below in 3 FAM 4175.5, this process also applies to official social media postings and content prepared for placement in online forums such as blogs or Internet-based publications. However, per the guidance described in 3 FAM 4175.1(c), there may be instances where advance blanket authorization to engage is granted to individuals, offices, or sections who routinely communicate with the public on matters of Departmental concern, particularly through social media.

This email is UNCLASSIFIED.

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Thursday, October 29, 2015 2:53 PM
To: PA Reviews Mailbox; Hamly, Elizabeth G
Cc: PA Reviews Mailbox
Subject: RE: New Development -- Fighting for Foreigner Request

RELEASE IN PART
B5,B6

B6

Thank you, all—for working on this. Best—[redacted]

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Thursday, October 29, 2015 11:04 AM
To: Hamly, Elizabeth G
Cc: Shipper, [redacted] PA Reviews Mailbox
Subject: RE: New Development -- Fighting for Foreigner Request

Thank you, Elizabeth. There are no issues with the disclaimer and affiliation, Mr. [redacted] may go forth.

From: Hamly, Elizabeth G [mailto:HamlyEG@state.gov]
Sent: Thursday, October 29, 2015 9:38 AM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox; Shipper, Apichai
Subject: RE: New Development -- Fighting for Foreigner Request

Thank you!

His disclaimer shall read: The views expressed in this book are those of the author and do not necessarily reflect the views of the U.S. Department of State or the U.S. Government.

His affiliation shall read: [redacted] holds the Asia Regional Chair at the Foreign Service Institute of the U.S.

Department of State and is Adjunct Associate Professor of Asian Studies at Georgetown University.

Regards –

Beth

Elizabeth (Beth) G Hamly
Management and Program Analyst
Office of the Executive Director
Foreign Service Institute
(ph) 703-302-6731 (fax) 703-302-7227

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [<mailto:pareviews@state.gov>]
Sent: Thursday, October 29, 2015 9:08 AM
To: Hamly, Elizabeth G
Cc: PA Reviews Mailbox
Subject: RE: New Development -- Fighting for Foreigner Request

Beth:

Thanks for your patience. Per Maegan Conklin, as the book does not contain any new information, it does not need to be submitted for review.

Please let us know how Mr. [] intends to refer to his affiliation with the Department. He also will need to use the appropriate disclaimer. B6

Thank you

From: Hamly, Elizabeth G [<mailto:HamlyEG@state.gov>]
Sent: Wednesday, October 28, 2015 2:58 PM
To: PA Reviews Mailbox
Subject: FW: New Development -- Fighting for Foreigner Request

Please see the response from L below; are we clear to proceed?

Let me know if we need to do anything further --

Beth

Elizabeth (Beth) G Hamly
Management and Program Analyst
Office of the Executive Director
Foreign Service Institute
(ph) 703-302-6731 (fax) 703-302-7227

This email is UNCLASSIFIED.

From: Walter, Marguerite C.
Sent: Wednesday, October 28, 2015 1:55 PM
To: Hamly, Elizabeth G
Subject: RE: New Development -- Fighting for Foreigner Request

Hi Elizabeth,

[Redacted]

B5

Best,

Marguerite C. Walter
Attorney-Adviser
Office of Ethics and Financial Disclosure (L/EFD)
Office of the Legal Adviser
U.S. Department of State
202-663-3752

This email is UNCLASSIFIED.

From: Hamly, Elizabeth G
Sent: Wednesday, October 28, 2015 9:07 AM
To: Ethics Attorney Mailbox
Subject: FW: New Development -- Fighting for Foreigner Request

Please see email chain below.

B5

Thank you -

Elizabeth (Beth) G Hamly
Management and Program Analyst
Office of the Executive Director
Foreign Service Institute
(ph) 703-302-6731 (fax) 703-302-7227

This email is UNCLASSIFIED.

From: [REDACTED]
Sent: Wednesday, October 28, 2015 8:53 AM
To: Hamly, Elizabeth G
Subject: RE: New Development -- Fighting for Foreigner Request

B6

Beth—There is no new materials or revisions other than my affiliation. I do not have an e-copy of the book. The compensation is book royalty from the sale (a very small percentage which does not add up to more than \$50/year).

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [<mailto:pareviews@state.gov>]

Sent: Wednesday, October 28, 2015 7:00 AM

To: Hamly, Elizabeth G

Cc: PA Reviews Mailbox

Subject: RE: Fighting for Foreigner Request

Beth:

We consulted with L on this request, as the author is now a Department employee and the book is on a matter of official concern.

Per L's guidance, this book will have to be submitted for review and L/Ethic's will need to determine if there is any conflict concerning compensation.

We will need the author to highlight any new material/revisions in the book.

You can submit the book via e-mail or upload to the existing request in the database.

Please let us know if you or the author have any questions.

Thank you.

From: Hamly, Elizabeth G [<mailto:HamlyEG@state.gov>]

Sent: Tuesday, October 27, 2015 7:55 AM

To: PA Reviews Mailbox

Cc: PA Reviews Mailbox

Subject: RE: Fighting for Foreigner Request

See responses below, marked with ** --

Elizabeth (Beth) G Hamly
Management and Program Analyst
Office of the Executive Director
Foreign Service Institute
(ph) 703-302-6731 (fax) 703-302-7227

This email is UNCLASSIFIED.

From: PA Reviews Mailbox [<mailto:pareviews@state.gov>]

Sent: Tuesday, October 27, 2015 7:42 AM

To: Hamly, Elizabeth G

Cc: PA Reviews Mailbox

Subject: Fighting for Foreigner Request

Elizabeth:

We just received this request and need more information. You indicate that it is a book being reprinted in paperback, but the submission is 1.5 pages of quotes.

Was Mr. [] employed by the Department when the book was originally published? Was it the book originally approved by PA? What is this page of quotes?

B6

**No, Mr. [] was not employed by the Department when it was originally published so no, it was not originally approved by PA? In the interest of time, I sent the publisher's currently proposed catalog description for the book, as well as what will be used on their website and on the book's jacket or cover. I don't know that the author even has an e-version of the book, given it was published seven years ago.

Given that he is seeking remuneration, this request will have to be approved by L/Ethics, as well as EAP and PA.

**It's the same remuneration he's been getting off the hard copies, but I understand.

Please provide the additional information, but please note that the request for a 10 am today approval is unlikely.

**So should he just remove the disclaimer and reference to being employed by the Department altogether?

Thanks -

Beth

Thank you.

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Thursday, November 12, 2015 7:25 AM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: RE: Submission Returned - (* The Art of Diplomacy--(Ahmed C *))

RELEASE IN PART
B6

B6

Dear Ms. [REDACTED]

B6

We are following up on your request for a PA review of your blog.

Please provide answers to the following questions so we may begin the review.

Thank you

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
- Is the author writing in his/her private capacity or official capacity?
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- Who else has cleared (your supervisor, others within the Department of State)?
- Where does the author intend to submit the article/manuscript for publication?
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

From: PA Reviews Mailbox
Sent: Friday, November 06, 2015 3:55 PM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: RE: Submission Returned - (* The Art of Diplomacy--(Ahmed C *))

[REDACTED]

Your article, Ahmed Chalabi and The First Law of Diplomacy, is still under the review of the Department. The personal capacity public communications review requirement is intended to serve three purposes: to determine whether the communication would disclose classified or other protected information without authorization; to allow the Department to prepare to handle any potential ramifications for its mission or employees that could result from the proposed public communication; or, in rare cases, to identify public communications that are highly likely to result in serious adverse consequences to the mission or efficiency of the Department.

3 FAM 4174.3 c (also states) To ensure that no classified information is improperly disclosed, an employee must not take any steps to proceed with a public communication (including making commitments to publishers or other parties) until he or she receives written notice to proceed from the Final Review Office, except as described below. If, upon expiration of the relevant timeframes below, the Final Review Office has not provided an employee with either a final response or an indication that a public communication involves equities of another U.S. Government entity (including a list of the entity or entities with equities), the employee may use, issue, or publish materials on matters of Departmental concern that have been submitted for review so long as such materials do not contain information described in 3 FAM 4176.2(a) and taking into account the principles in 4176.2(b). When an employee has been informed by the Final

Review Office that his or her public communication involves equities of another U.S. Government entity or entities, the employee should not proceed without written notice to proceed from the Final Review Office. Upon the employee's request, the Final Review Office will provide the employee with an update on the status of the review of his or her public communication, including, if applicable, the date(s) on which the Department submitted the employee's communication to another entity or entities for review. Ultimately, employees remain responsible for their personal capacity public communications whether or not such communications are on topics of Departmental concern:

Intended Public Communication*	Review Timeframe
Books, manuscripts, and other publications of considerable length	30 working days
Articles, papers, and other writings of a highly specialized nature	20 working days

In addition, you will need to include the standard disclaimer that is required for personal capacity communication (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government. We also request that you fill out the questionnaire below for our records.

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
- Is the author writing in his/her private capacity or official capacity?
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- Who else has cleared (your supervisor, others within the Department of State)?
- Where does the author intend to submit the article/manuscript for publication?
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

We are attaching the FAM for your reference. If you have questions, please let us know.

Thank you.
PA Reviews

From: [redacted] [mailto:[redacted]]
Sent: Friday, November 06, 2015 8:42 AM
To: PA Reviews Mailbox
Subject: Re: Submission Returned - (* The Art of Diplomacy--(Ahmed C *))

B6

I wrote that information in "comments". Did it not show up? I just submitted again, with same info in comments.

Chicago Council on Global Affairs wants to post this on their blog at noon today.

Thanks,

[redacted]

On Fri, Nov 6, 2015 at 7:09 AM, PA Reviews Mailbox <pareviews@state.gov> wrote:



Clearance Request

Request Title: (* The Art of Diplomacy--(Ahmed C *)

This clearance request is being returned by the PA Reviews Mailbox so that modifications can be made.

[View Sent Back by PA List](#)

Hartmann, Lorraine (Lori)

From: Dewey, James B <DeweyJB@state.gov>
Sent: Tuesday, December 15, 2015 8:05 PM
To:
Cc: PA Reviews Mailbox
Subject: Re: article for journal

RELEASE IN PART
B6

B6

Hi

Yes, please do submit it as soon as possible to PA Reviews. I imagine it will enjoy a quick turnaround.

Shauri and I are very much looking forward to the holiday. Merry Christmas to you and yours!

J

Sent from my BlackBerry 10 smartphone.

From:
Sent: Tuesday, December 15, 2015 5:02 PM
To: Dewey, James B
Subject: article for journal

James! Hi there. Quick question for you. I'm finishing up an article for the ICOM publication "Museum International." It is about the USDC and our efforts to collect the opening with Cuba. Once Kathy has OK'd it, is this something that would go through the regular PA Clearance box, or what do you recommend? The hard part is that it needs to be to the publisher by Dec 31st... so I'm hoping it can get through the clearance machine in time.

I do hope you and Shauri are doing well, and looking forward to Christmas in the USA.

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Tuesday, December 29, 2015 11:02 AM
To: [REDACTED]
Cc: Bryan, Judith L; PA Reviews Mailbox
Subject: Re: USDC Article

RELEASE IN PART
B6

B6

[REDACTED]

Thank you - Andy Laine clears for PA.

Sent from my BlackBerry 10 smartphone.

From: [REDACTED]
Sent: Monday, December 28, 2015 5:20 PM
To: PA Reviews Mailbox
Cc: Paik, Connie Y; Bryan, Judith L
Subject: RE: USDC Article

FOR CLEARANCE:

Please see attached article with WHA edits. Also attached is the document with Figures and captions.

In WHA, the following people have cleared:

Lynn Roche, Deputy Director, WHA/PD
Madelina Young-Smith, Public Diplomacy Desk Officer, WHA/CCA
Bruce-Kleiner, PAO, US Embassy Havana

For PA/USDC, Judith Bryan has cleared.

As noted in the original submission, this is an article for the ICOM publication "Museum International." It is due to the publisher by December 31st.

ICOM = International Council of Museums, situated under UNESCO (<http://icom.museum/>)
Museum International quarterly magazine: <http://icom.museum/media/museum-international/>

Thank you very much for your help.

[REDACTED]
PA/USDC
202-472-8208

From: PA Reviews Mailbox
Sent: Wednesday, December 23, 2015 4:22 PM
To: [REDACTED] PA Reviews Mailbox
Cc: Paik, Connie Y
Subject: RE: USDC Article

Hi [REDACTED]

Please just reply to this email chain when you have WHA clearance. I see the item is already in the database.

Best,
Connie

From: [redacted]
Sent: Wednesday, December 23, 2015 2:38 PM
To: PA Reviews Mailbox
Subject: RE: USDC Article

B6

Almost completed with WHA approval. Once received, do I need to re-submit the article on the PA Reviews website, or shall I send to this inbox as an email attachment?

Thank you,

[redacted]

From: PA Reviews Mailbox
Sent: Tuesday, December 22, 2015 1:11 PM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: Re: USDC Article

Please send it to WHA Press and then key us know when they clear. Thank you.

Sent from my BlackBerry 10 smartphone.

From: [redacted]
Sent: Tuesday, December 22, 2015 7:34 PM
To: PA Reviews Mailbox
Subject: RE: USDC Article

No, I was instructed to send it to PA Reviews.

To whom in WHA shall I send it?

From: PA Reviews Mailbox
Sent: Tuesday, December 22, 2015 12:31 PM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: USDC Article

Hi [redacted]

I just looked at your article - has it been cleared by WHA?

We'll need this clearance before proceeding.

Thank you.

Sent from my BlackBerry 10 smartphone.

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Friday, December 11, 2015 2:56 PM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: RE: Seeking update on review of written material

RELEASE IN PART
B6

B6

No worries! You're welcome.

From: [REDACTED] [mailto:[REDACTED]@state.gov]
Sent: Friday, December 11, 2015 2:54 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Seeking update on review of written material

Great and thanks, sorry you are the go-between.

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Friday, December 11, 2015 2:51 PM
To: [REDACTED]
Cc: PA Reviews Mailbox
Subject: RE: Seeking update on review of written material

Hi [REDACTED]

With the edits, OES does not object to the publication.

Thank you.

From: [REDACTED] [mailto:[REDACTED]@state.gov]
Sent: Friday, December 11, 2015 2:13 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Seeking update on review of written material

Excellent. May I assume OES clearance from this? I haven't been able to reach them.

[REDACTED]

[REDACTED]
Office of the Legal Adviser (L/OES)
U.S. Department of State
(202) 736-4760
[REDACTED]@state.gov

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Friday, December 11, 2015 1:57 PM
To: [REDACTED]

Cc: PA Reviews Mailbox

Subject: RE: Seeking update on review of written material

B6

[REDACTED]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in the article are yours alone and not necessarily those of the U.S. Government.

Please let us know if you have questions.

Thank you,
PA Reviews

From: [REDACTED] [mailto:[REDACTED]@state.gov]

Sent: Friday, December 11, 2015 12:28 PM

To: PA Reviews Mailbox

Cc: PA Reviews Mailbox

Subject: RE: Seeking update on review of written material

Thanks, that's helpful. Here is my current draft, which takes on board all the comments I received from OES last night. In doing so I've revised, deleted, and reordered some text. I sent this draft to OES a short time ago. What form of confirmation of OES and any other clearance do you need?

Glad to talk if that would be helpful to you.

[REDACTED]
Office of the Legal Adviser (L/OES)
U.S. Department of State
(202) 736-4760
[REDACTED]@state.gov

From: PA Reviews Mailbox [mailto:pareviews@state.gov]

Sent: Friday, December 11, 2015 12:18 PM

To: [REDACTED]

Cc: PA Reviews Mailbox

Subject: RE: Seeking update on review of written material

Good afternoon [REDACTED]

As you are already aware, OES experts reviewed the material and discussed some inaccuracies in the piece with you. We understand that some edits were made to the document. We did not receive the final version from OES this morning. Assuming that the most recent version will be published; do you have a copy that we can share with our reviewer?

Thank you,
PA Reviews

B6

From: [redacted] [mailto:[redacted]@state.gov]

Sent: Friday, December 11, 2015 12:09 PM

To: PA Reviews Mailbox

Subject: Seeking update on review of written material

Earlier this week I submitted a short write-up titled "Montreal Protocol" for review by COB yesterday. Can you update me on the status? The PA web page shows it as still pending but it is also shown as "cleared." My deadline for submission is today.

Thanks in advance.

[redacted]
Office of the Legal Adviser (LOES)
U.S. Department of State
(202) 736-4760
[redacted]@state.gov

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
 Sent: Monday, October 26, 2015 11:57 AM
 To: IO-PG
 Cc: PA Reviews Mailbox
 Subject: IO: Publication Review - Global Emergency Power in the Age of Ebola

RELEASE IN PART B5, B6

IO Colleagues,

For your review, attached is a 56-page article entitled "Global Emergency power in the Age of Ebola" written in private capacity by [REDACTED] an Attorney-Advisor in L/CID. We would appreciate hearing from you by Monday, November 2. L/OES and OES reviewed the article and recommended an IO review. Please see comments from OES/IHB Bob Sorenson for additional information below.

B6

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

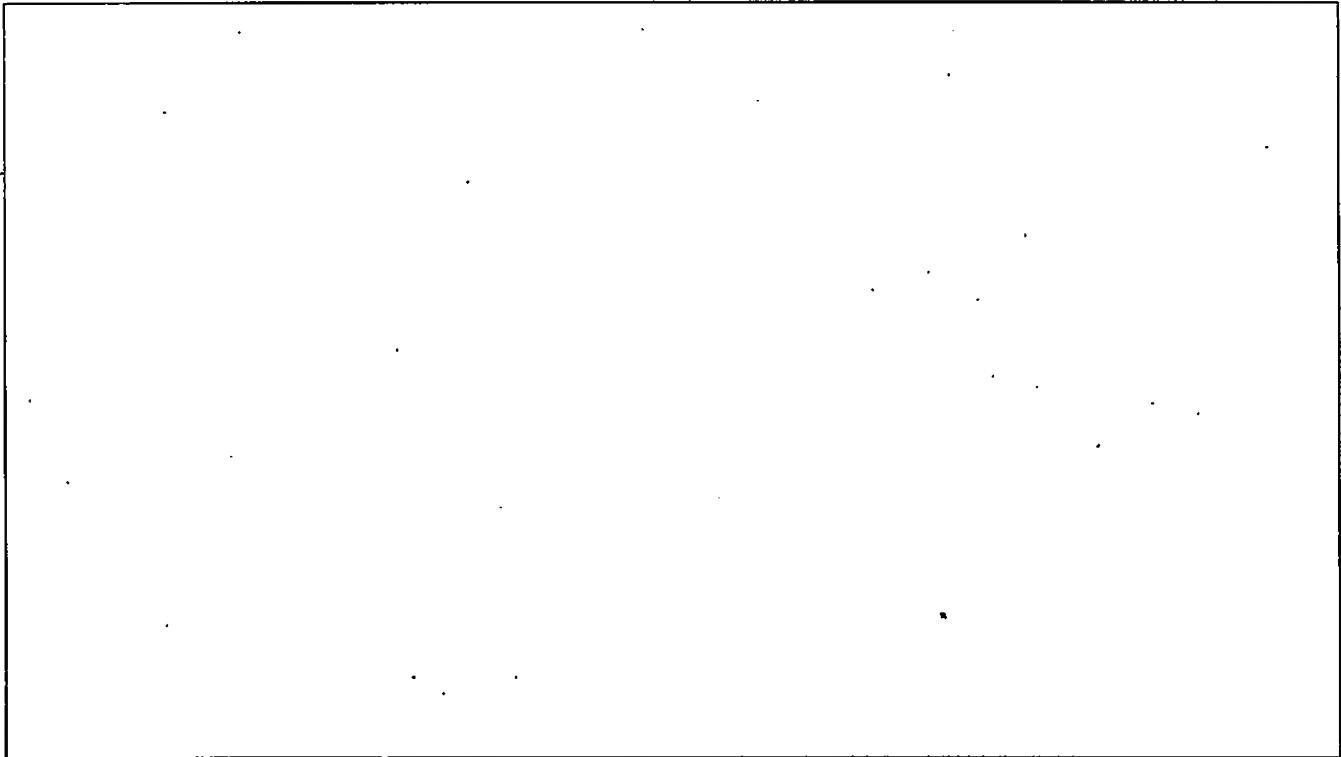
Thank you,
 PA Reviews

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
- o [REDACTED] Attorney-Advisor, L/CID
- Is the author writing in his/her private capacity or official capacity?
- o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- o Yes: The views expressed herein are the author's own and do not necessarily reflect those of the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
- o L/CID have been informed. L/OES Hannah Lobel and OES/IHB Bob Sorenson
- Where does the author intend to submit the article/manuscript for publication?
- o Harvard International Law Journal - The article was accepted for publication in the Harvard International Law Journal in March 2015, before I had been offered employment with the State Department. A version was published online at http://papers.ssrn.com/sol3/papers.cfm?abstract_id=2587720 around that time.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
- o No

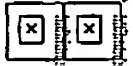
From: Henry, Kia T [mailto:HenryKT@state.gov]
Sent: Monday, October 26, 2015, 11:23 AM
To: PA Reviews Mailbox; OES-PA-DG
Cc: PA Reviews Mailbox
Subject: RE: Gentle Reminder: OES Publication Review - Global Emergency Power in the Age of Ebola

Hello, sorry for the delayed response. OES/IHB Bob Sorenson clears with comments (below) and recommendation of IO clearance:



B5

Kia Henry
Public Diplomacy Officer
Office of Policy and Public Outreach
Bureau of Oceans and International Environmental and Scientific Affairs
U.S. Department of State
Blackberry



B6

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, October 26, 2015 3:52 AM
To: EAP-P-Office-DL; AF-Press; EB-A-PD-DL; ENR-PD-Clearances
Cc: PA Reviews Mailbox
Subject: EAP, AF, EB, ENR Publication Review - China Development Bank's \$3 billion loan to Ghana

RELEASE IN PART B6

Dear EAP, AF, EB and ENR Colleagues:

For your review, attached is a 16-page article entitled "China Development Bank's \$3 billion loan to Ghana" written in private capacity by [REDACTED], an International Economist in EB/EPPD.

B6

We would appreciate hearing from you by OOB Thursday, October 29. Please see Mr. [REDACTED] responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - [REDACTED], International Economist, EB/EPPD.
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - The views expressed are those of the author and not necessarily the views of the State Department or USG..
- Who else has cleared (your supervisor, others within the Department of State)?
 - No one
- Where does the author intend to submit the article/manuscript for publication?
 - Cambridge University Press
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]>
 Sent: Monday, October 19, 2015 3:22 PM
 To: PA Reviews Mailbox; [redacted]
 Cc: Fitzsimmons, Elizabeth N
 Subject: RE: [redacted] Manuscript for Clearance

RELEASE IN PART
B6

Follow Up Flag: Follow up
 Flag Status: Flagged

See answers below, in red.

Thank you,

[redacted]

From: PA Reviews Mailbox [http://redirect.state.sbu/?url=mailto:pareviews@state.gov]
 Sent: Monday, October 19, 2015 8:02 AM
 To: [redacted]
 Cc: [redacted] PA Reviews Mailbox
 Subject: RE: [redacted] Manuscript for Clearance

Dear [redacted]

We received your e-mail and can open the article. Before we begin our review, please provide answers to the following questions (it's a requirement for everyone who submits articles to PA for review). Once we receive your answers, we will begin our review.

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?

[redacted] currently in transition (since August 14) to full retirement from the State Department on 11/30/15. My title previous to 08/14/15 was Principal Deputy Assistant Secretary of State for South and Central Asian Affairs.

- Is the author writing in his/her private capacity or official capacity?

Writing in private capacity.

- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.

NOTE: The article will not be published until the first half of 2016 (projected), when I will be a private citizen. I will receive \$2,000 for this article.

- Does the author intend to use a disclaimer? If so, how will the disclaimer read?

Glad to add a disclaimer, if required, but the article will be published when I am a private citizen. Please advise.

- Who else has cleared (your supervisor, others within the Department of State)?

No one has cleared because I am no longer in any official position, but the following have reviewed the text and commented: Ambassador (ret.) Laura Kennedy, Ambassador George Krol (Kazakhstan), Ambassador Allan Mustard (Turkmenistan).

- Where does the author intend to submit the article/manuscript for publication?

The Centre for International Governance Innovation (CIGI), Ottawa, Canada, commissioned the article. Former Canadian colleague, Ambassador Margaret Skok, now at CIGI, asked me to write the article.

- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

I was SCA PDAS (see first question above) October 2013-August 2015. The article is an informed primer for non-experts based on my 22 years of experience working on and in Central Asia: PAO at Embassy Tashkent (1993-1995), Ambassador to Tajikistan (2003-2006), Charge d'affaires to Turkmenistan (2007), Ambassador to Kazakhstan (2008-2011). Any reference in the article (e.g., Kunduz, Afghanistan) comes from my broad reading of open-source material.

Sincerely,

PA Reviews

From: [redacted] [http://redirect.state.sbu/?url=mailto:[redacted]@state.gov]
Sent: Friday, October 16, 2015 5:09 PM
To: PA Reviews Mailbox
Cc: Fitzsimmons, Elizabeth N; [redacted]; Shelton, Grace W; Trudeau, Elizabeth K
Subject: [redacted] Manuscript for Clearance

B6

PA Colleagues:

I have attached an article for PA to clear for publication. Please confirm that you have received this email and can open the attachment.

BACKGROUND: I finished my assignment as SCA PDAS on August 14. I am now in transition and will formally retire from the State Department on November 30. The attached article for the Centre for International Governance Innovation (CIGI) in Ottawa, Canada, is due to the editors on NOV 15, but will not be published until the first quarter of 2016, when I will be a private citizen.

I have access to OpenNet via GO with an RSA token, but a number of functions are not available remotely – e.g., I cannot access the PA web site for clearances and the PA Help Desk gave me this email address from the GAL.

My daily, primary email address is [redacted]. It is important that you copy any replies to that address.

Again, please confirm that you have received this email and can open the attachment.

I'm grateful for your help and look forward to your clearance.

Regards,

[redacted]

This email is UNCLASSIFIED.

From: [redacted] [http://redirect.state.sbu/?url=mailto:[redacted]]
Sent: Friday, October 16, 2015 4:18 PM
To: [redacted]
Subject: [redacted] Manuscript for Clearance
Importance: High

[redacted] manuscript for PA clearance.

Hartmann, Lorraine (Lori)

From: PA Reviews Mailbox
Sent: Monday, October 19, 2015 8:34 AM
To: Ortiz, Alexis J; WHA-Press
Cc: PA Reviews Mailbox
Subject: L, WHA Publication Review - Nottebohm Case (Liechtenstein v. Guatemala) International Court of Justice

RELEASE IN PART
B6

Dear L and WHA Colleagues:

For your review, attached is a 15-page article entitled "Nottebohm Case (Liechtenstein v. Guatemala) International Court of Justice" written in private capacity by an FSO in PRM/PIM.

B6

We would appreciate hearing from you by COB Thursday, October 22. Please see attached Mr. responses to the standard questions for additional details.

QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
 - FSO, PRM/PIM
- Is the author writing in his/her private capacity or official capacity?
 - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
 - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
 - Yes: The views expressed herein are the author's own and do not necessarily reflect those of the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)?
 - No one.
- Where does the author intend to submit the article/manuscript for publication?
 - This is a chapter for an edited book project on Latin America and the International Court of Justice, organized by Professor Jean-Marc Sorel, from the École de Droit de la Sorbonne, Université Paris I, and Professor Paula Wojcikiewicz Almeida, from the Fundação Getúlio Vargas, Rio de Janeiro, to be published by Routledge in the UK..
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
 - No - this is a review of a famous international law case from 1955 and related views on legal standards

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);

3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,
PA Reviews

Hartmann, Lorraine (Lori)

From: [redacted] <[redacted]@state.gov>
Sent: Monday, December 28, 2015 2:19 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Article Clearance

B6

RELEASE IN PART
B6

Thank you!

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Monday, December 28, 2015 11:47 AM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: Re: Article Clearance

[redacted]

For the clearance page, you should simply provide a list of everyone who has cleared the paper. Generally, the rule of thumb is any office/bureau that has an equity should clear.

Sent from my BlackBerry 10 smartphone.

From: [redacted]
Sent: Monday, December 28, 2015 5:40 PM
To: PA Reviews Mailbox
Cc: PA Reviews Mailbox
Subject: RE: Article Clearance

Thank you,

Where can I find guidance on the clearance page for PA reviews?

Best,

[redacted]

From: PA Reviews Mailbox [mailto:pareviews@state.gov]
Sent: Thursday, December 24, 2015 12:26 AM
To: [redacted]
Cc: PA Reviews Mailbox
Subject: RE: Article Clearance

[redacted]

Please submit this paper via the PA Reviews Database (<https://pareviews.state.gov>). Please include a clearance page for the paper as well.

Please let us know if you have any questions.

Thank you.

From: [redacted] (mailto:[redacted]@state.gov)

Sent: Wednesday, December 23, 2015 6:04 PM

To: PA Reviews Mailbox

Subject: Article Clearance

Good Afternoon,

I work in the Bureau of Energy Resources where I manage a development assistance program on power sector issues. One of the implementers for our program is the Dept of Energy's Pacific Northwest National Lab (PNNL). They perform technical analysis in Central America under an interagency agreement. One of the projects that they completed and has been receiving a lot of traction is an analysis on transmission reinforcements in Central America. As a result, they wanted to share the methodology used for this work at an Institute of Electrical and Electronics Engineers (IEEE) conference so others can benefit from the work. The attached paper was drafted for submission to the conference. Stakeholders in Central America approved the sharing of the methodology. Given my role in designing this project and edits to this paper, PNNL has listed me as an author.

Please let me know if you clear on this paper being submitted to the IEEE with my name. There is no compensation for submitting this paper.

Thank you,

[redacted]
Global Power Sector Program Manager
Office of Energy Programs
Bureau of Energy Resources
U.S. Department of State
Tel: (202) 736-7872